

**FLOW CONTROL AND SERVICE  
DIFFERENTIATION IN OPTICAL BURST  
SWITCHING NETWORKS**

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MASTER OF SCIENCE

By

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April 2005

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## ABSTRACT

# FLOW CONTROL AND SERVICE DIFFERENTIATION IN OPTICAL BURST SWITCHING NETWORKS

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Optical Burst Switching (OBS) is being considered as a candidate architecture for the next generation optical Internet. The central idea behind OBS is the assembly of client packets into longer bursts at the edge of an OBS domain and the promise of optical technologies to enable switch reconfiguration at the burst level therefore providing a near-term optical networking solution with finer switching granularity in the optical domain. In conventional OBS, bursts are injected to the network immediately after their assembly irrespective of the loading on the links, which in turn leads to uncontrolled burst losses and deteriorating performance for end users. Another key concern related to OBS is the difficulty of supporting QoS (Quality of Service) in the optical domain whereas support of differentiated services via per-class queueing is very common in current electronically switched networks. In this thesis, we propose a new control plane protocol, called Differentiated ABR (D-ABR), for flow control (i.e., burst shaping) and service differentiation in optical burst switching networks. Using D-ABR, we show with the aid of simulations that the optical network can be designed to work at any desired burst blocking probability by the flow control service of the

proposed architecture. The proposed architecture requires certain modifications to the existing control plane mechanisms as well as incorporation of advanced scheduling mechanisms at the ingress nodes; however we do not make any specific assumptions on the data plane of the optical nodes. With this protocol, it is possible to almost perfectly isolate high priority and low priority traffic throughout the optical network as in the strict priority-based service differentiation in electronically switched networks. Moreover, the proposed architecture moves the congestion away from the OBS domain to the edges of the network where it is possible to employ advanced queueing and buffer management mechanisms. We also conjecture that such a controlled OBS architecture may reduce the number of costly Wavelength Converters (WC) and Fiber Delay Lines (FDL) that are used for contention resolution inside an OBS domain.

*Keywords:* Optical burst switching, rate control, service differentiation, congestion control.

## ÖZET

### OPTİK ÇOĞUŞMA ANAHTARLAMALI AĞLARDA AKIŞ DENETİMİ VE HİZMET AYRIMI

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Elektrik ve Elektronik Mühendisliği Bölümü Yüksek Lisans

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Optik Çoğuşma Anahtarlama (OBS) gelecek nesil optik Internet için aday mimari olarak düşünülmektedir. OBS' deki temel fikir istemci paketlerinin giriş düğümlerinde daha uzun çoğuşmalar şeklinde toplanmasıdır. Çoğuşma seviyesinde anahtarların yeniden düzenlenmesine imkan tanıyarak optik ağ çözümlerini yakın gelecekte mümkün kılacak olan optik teknolojilerin umut verici olması da bu fikri desteklemektedir. Alışlagelmiş OBS'de, çoğuşmalar oluşturulduktan hemen sonra hatlardaki yük yoğunluğuna bakılmaksızın optik ağa gönderilmektedir. Bu ise kontrolsüz çoğuşma kayıplarına ve son nokta kullanıcıları için performans bozukluğuna neden olmaktadır. OBS ile ilgili diğer önemli bir problem ise günümüz elektronik anahtarlama ağlarında sınıfa dayalı sıralama yöntemi ile hizmet ayrımı desteğinin çok yaygın olarak kullanılmasına rağmen optik alanda hizmet ayrımı desteğinin zor olmasıdır. Bu tezde, optik çoğuşma anahtarlama ağlarda akış denetimi (çoğuşma şekillendirme) ve hizmet ayrımı için Ayrıştırılabilir İzin Verilen Bit Hızı (D-ABR) olarak adlandırdığımız yeni bir denetim düzlemi protokolü öneriyoruz. Önerdiğimiz protokolün akış kontrol hizmeti sayesinde optik bir ağın istenilen çoğuşma kayıp olasılığında çalışacak şekilde tasarlanabileceği simülasyonlarla gösterilmektedir.

Önerilen mimari, ağ girişi düğümlerine gelişmiş çizelgeleme yöntemlerinin eklenmesini gerektirmekle beraber var olan denetim düzlemi mekanizmalarında da belirli değişikliklerin yapılmasını gerektirmektedir; ancak veri düzlemiyle ilgili herhangi bir varsayımda bulunulmamaktadır. Bu protokol sayesinde, yüksek öncelikli ve düşük öncelikli trafiği, elektronik anahtarlamalı ağlardaki kesin önceliğe dayalı hizmet ayırımında olduğu gibi, optik ağlarda da neredeyse mükemmel bir şekilde birbirinden ayırmak mümkündür. Dahası, önerilen protokol, sıklığı OBS alanından gelişmiş elektronik belleklerin kullanılabilir olduğu ağ girişi düğümlerine taşımaktadır. Böyle kontrollü bir OBS mimarisinin OBS bölgesindeki çekişmenin çözülmesinde kullanılan pahalı dalga boyu dönüştürücülerinin ve lif geciktirme hatlarının sayısını azaltabileceğini de ön görüyoruz.

*Anahtar kelimeler:* Optik çoğuşma anahtarlaması, veri hız denetimi, hizmet ayrıştırma, sıklık kontrolü.

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**To My Family...**

# Chapter 1

## Introduction

With the advances in Wavelength Division Multiplexing (WDM) technology, bandwidth provided by a single fiber is increasing everyday. Bandwidth demand on the Internet is also increasing with growing interest in multimedia applications like digital video, voice over IP, etc. On the other hand, the rate of increase in the speed of electronic processing, which is given by Moore's Law, is slower than the rate of increase in fiber capacity. This makes the processing of high-speed data in the electronic domain infeasible and results in the need of optical switching. Three types of optical switching architectures are proposed: Wavelength Switching (WS), Optical Packet Switching (OPS) and Optical Burst Switching (OBS).

Wavelength switching is the optical form of the circuit switching in electronic networks. WS has three distinct phases; lightpath set-up, data transmission and lightpath tear down. The lightpath set-up phase uses a two-way reservation protocol in which a control packet is sent to the destination node to establish a connection and waits for an acknowledgement from the destination before starting data transmission. When there is no more data to send, the source sends a control signal to the destination to tear down the connection. In WS, a separate



lightpath is set up between each source-destination pair and all the traffic is sent through this lightpath. Switch configurations are carried out in the lightpath set-up phase and the data remains in optical domain through its way, hence WS is transparent in terms of bit rates and modulation types. Furthermore, no buffering is needed in the optical domain. However, since the lightpath is not shared between different source-destination pairs and the set-up time overheads are typically large, WS is not considered to be a bandwidth-efficient solution for bursty data traffic as in the Internet [19].

OPS is the optical equivalent of electronic packet switching. It is suitable for supporting bursty traffic since it allows statistical sharing of the wavelengths among different source and destination pairs [19]. In OPS, the control header is carried with its payload and it is processed electronically or optically at each intermediate node. The payload remains in optical domain while its header is being processed. There are many difficulties keeping the payload in optical domain. One problem is that currently there is no optical equivalent of the Random Access Memory (RAM). Hence, the payload can be delayed only a limited amount of time by using Fiber Delay Lines (FDLs). Another difficulty with OPS is synchronization. Each node has to extract the control header from the incoming packet and it should re-align the modified control header with its payload before sending the packet to the next node. Consequently, OPS does not appear to be a near-term optical networking solution due to the limits in current optical technologies [19].

Optical Burst Switching (OBS) has recently been proposed as a candidate architecture for the next generation optical Internet [1]. The central idea behind OBS is the promise of optical technologies to enable switch reconfiguration in microseconds therefore providing a near-term optical networking solution with finer switching granularity in the optical domain [2]. At the ingress node of an IP over OBS network, IP packets destined to the same egress node and with similar

QoS requirements are segmented into bursts, which are defined as a collection of IP packets whereas IP packet re-assembly is carried out at the egress OBS node. In OBS, the reservation request for a burst is signalled out of band (e.g., over a separate wavelength channel) as a Burst Control Packet (BCP) and processed in the electronic domain. We assume the JET reservation model [1] in which each BCP has an offset time information that gives the Optical Cross Connect (OXC) the expected arrival time of the corresponding burst. The offset time, on the other hand, is adjusted at each OXC to account for the processing/switch configuration time. When the BCP arrives at an OXC toward the egress node, the burst length and the arrival time are extracted from the BCP and the burst is scheduled in advance to an outgoing wavelength upon availability. Contention happens when multiple bursts contend for the same outgoing wavelength and it is resolved by either deflection or blocking [3]. The most common deflection technique is in the wavelength domain; some of the contending bursts can be sent on another outgoing wavelength channel through wavelength conversion [4]. In Full Wavelength Conversion (FWC), a burst arriving at a certain wavelength can be switched onto any other wavelength towards its destination. In Partial Wavelength Conversion (PWC), there is a limited number of converters, and consequently some bursts cannot be switched towards their destination (and therefore blocked) when all converters are busy despite the availability of free channels on wavelengths different from the incoming wavelength [5]. Other ways of deflection-based contention resolution are in time domain by sending a contending burst through a Fiber Delay Line (FDL) or in space domain by sending a contending burst via a different output port so as to follow an alternate route [1]. If deflection cannot resolve contention using any of the techniques above then a contending burst is blocked (i.e., data is lost) whose packets might be retransmitted by higher layer protocols (e.g., TCP). Burst blocking in an OBS domain is undesirable and reduction of blocking probabilities is crucial for the success of OBS-based protocols and architectures.

Differentiated services model adopted by the IETF serves as a basis for service differentiation in the Internet today [6]. However, class-based queueing and advanced scheduling techniques (e.g., Deficit Round Robin [7]) that are used for service differentiation in IP networks cannot be used in OBS domain due to the lack of optical buffers with current optical technologies. It would be desirable to develop a mechanism by which operators can coherently extend their existing service differentiation policies in IP networks to their OBS-based networks as well. For example, if the legacy policy for service differentiation is based on packet-level strict priority queueing then one would desire to provide a service in the OBS domain that would mimic a strict priority-based service differentiation. How this can be done without queueing and complex scheduling at the OBS nodes is the focus of this thesis. An existing approach is to assign different offset times to different classes of bursts which increases the probability of successful reservation for a high-priority burst at the expense of increased blocking rates for low-priority bursts, therefore providing a new way of service differentiation [8]. However, this approach suffers from increased end-to-end delays especially for high-priority traffic which has larger offset times [9]. In the alternative “active dropping” approach [9], low-priority bursts are dropped using loss rate measurements to ensure proportional loss differentiation.

In this thesis, we propose a new explicit-rate based flow control architecture for OBS networks with service differentiation. This flow control mechanism is implemented only at the control plane and the optical layer is kept unchanged. We propose that this flow control is based on the explicit-rate distributed control mechanism used for ATM networks, for example the ERICA algorithm [10]. In this architecture, we propose that Resource Management (RM) packets in addition to BCPs are sent through the out-of-band control channel to gather the available bit rates for high- and low-priority bursts using a modification of the Available Bit Rate (ABR) service category in Asynchronous Transfer Mode (ATM) networks [11]. We use the term “Differentiated ABR” for the proposed

architecture. Having received these two explicit rates, a scheduler at the ingress node is proposed for arbitration among high- and low-priority bursts across all possible destinations. Putting such an intelligence at the control plane to minimize burst losses in the OBS domain has a number of advantages such as improving the attainable throughput at the data plane. Moreover, the proposed architecture moves congestion away from the OBS domain to the edges of the network where buffer management is far easier and less costly, substantially reducing the need for expensive contention resolution elements like OXCs supporting full wavelength conversion and/or sophisticated FDL structures.

The rest of the thesis is organized as follows. In Chapter 2, we present an overview of OBS and the existing mechanisms for service differentiation and congestion control in OBS networks. We present the proposed OBS protocol for congestion control and service differentiation in Chapter 3. Numerical results are provided in Chapter 4. In the final chapter, we present our conclusions and future work.

# Chapter 2

## Literature Overview

### 2.1 Optical Burst Switching (OBS)

Optical burst switching provides a granularity between packet switching and wavelength switching [1]. In OBS, IP packets from different sources for the same egress edge node are assembled into a burst at the ingress edge node. When the burst arrives at the egress node, it is de-assembled back into IP packets and IP packets are electronically routed to their destinations as shown in Fig. 2.1 [32]. When a burst is formed, a Burst Control Packet (BCP) is associated with it and sent to the network in advance on a separate control channel. The control packet is then processed electronically at each core node. According to the information carried in the BCP, the resources are reserved for the burst and switch settings are done beforehand.

The JET protocol is the most widely adopted reservation protocol for OBS networks which does not require any kind of optical buffering at the intermediate nodes. In JET-based OBS, a control packet is first sent towards the network over the control channel to make a reservation for the burst. After an offset time, the burst is sent to the core network without waiting for an acknowledgment for the

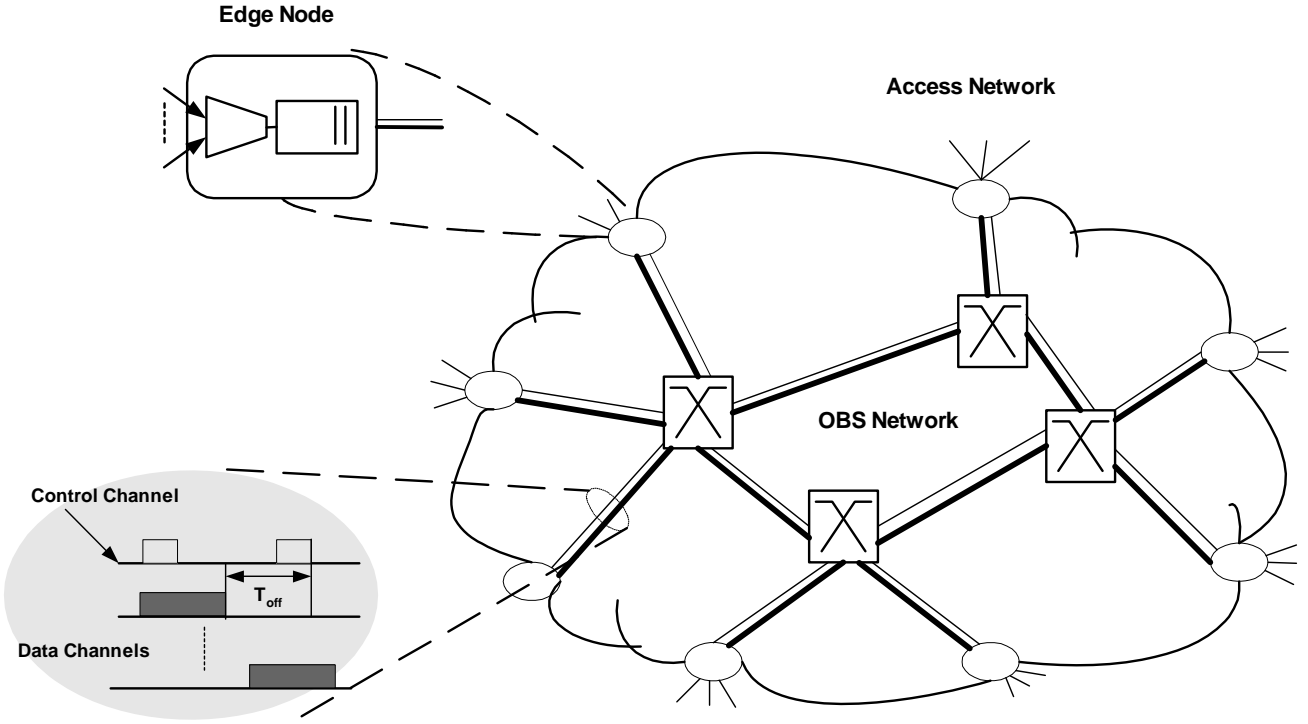


Figure 2.1: OBS network architecture.

connection establishment, hence JET-based OBS uses the one-way reservation mechanism unlike the wavelength switching case. Since switch settings are done before the burst arrives, the burst passes through the network without requiring O/E/O conversion and buffering. Fig. 2.2 depicts how the JET protocol operates [1]. As we see in this figure, first the control packet is sent towards the network. The control packet is then processed at the intermediate nodes and wavelength reservation and switch settings are done. During this time, the burst is buffered at the ingress node. After an offset time  $T_{off}$ , the burst is sent to the network over the optical data channel. Suppose that the time required to process the control packet and to configure the switch is  $\Delta$  and the number of the hops to the destination is  $H$ . Then, the offset time,  $T_{off}$ , should be chosen such that  $T_{off} \geq H\Delta$  to ensure that there is enough time for each intermediate node to complete the processing of the control packet before the burst arrives [1]. Suppose we set  $T_{off} = 3\Delta$ . Then, the total delay experienced by the burst in Fig. 2.2 is  $P + 3\Delta$  where  $P$  is the propagation delay.

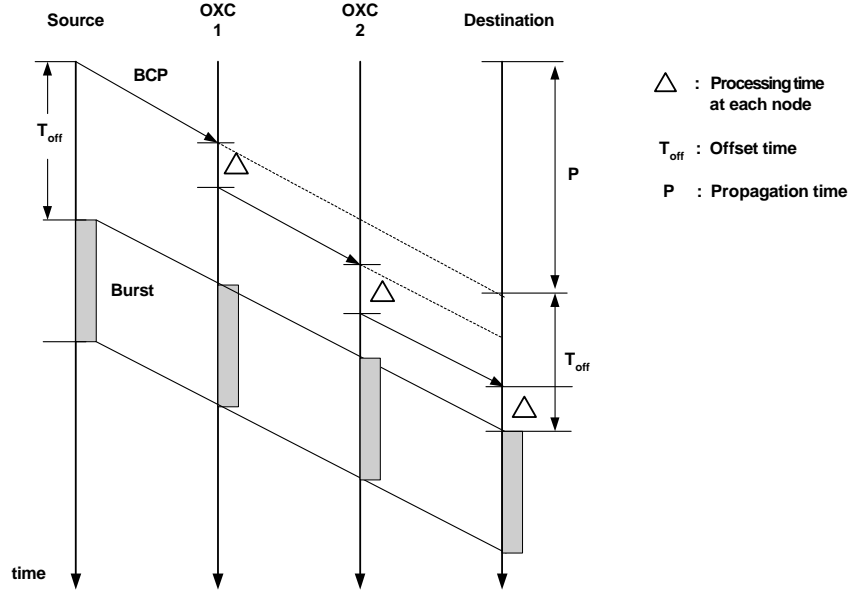


Figure 2.2: OBS with JET protocol.

In JET, BCP carries the offset time and burst length information in addition to the usual control header data. The offset time field is used by intermediate nodes to determine the arrival time of the burst. Since the time gap between the BCP and the burst decreases when the BCP propagates through the network, the offset time field is updated at each intermediate node as  $T'_{off} = T_{off} - \Delta$ . The burst length information, which is carried by the BCP, enables the switches to make close-ended reservations for bursts. Since the switch knows the exact arrival and departure times of the bursts, it can take efficient reservation decisions which can increase the bandwidth utilization and hence decrease the burst blocking probability [1, 3].

Fig. 2.3 demonstrates how the switch can make use of the information on burst start and end times [1]. In this figure,  $t'_1$  and  $t'_2$  are the arrival times of BCPs for bursts 1 and 2, respectively, whereas  $t_1$  and  $t_2$  are the actual burst arrival times. In both cases, the second burst will succeed in making reservation if and only if the switch knows the arrival and departure times of both the first and second bursts [3].

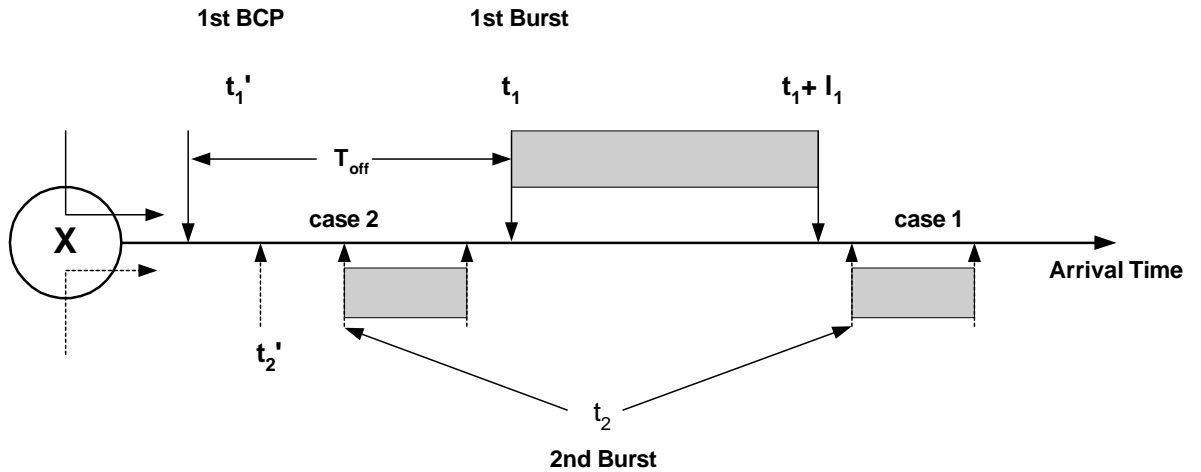


Figure 2.3: JET protocol with burst length information.

### 2.1.1 Burst Assembly

In OBS networks carrying IP traffic, IP packets from different sources for the same egress node are aggregated into the same burst at the ingress node. This procedure is called “burst assembly”. Fig. 2.4 shows the architecture of an ingress edge node where burst assembly is carried out [3]. Packets with different priorities are sent to different queues for burstification and the burst scheduler assembles the packets from the same service category into bursts.

There are different methods proposed for the burst assembly (burstification) procedure [3, 33]. One approach is “time-based assembly” in which a timer with value  $T$  is set when the burst assembly starts and packets are aggregated into a burst until the timer expires. In this type of burstification, the choice of  $T$  is critical because when  $T$  is chosen large it increases the latency of the packets and if it is short than many bursts with small sizes are generated which increases the



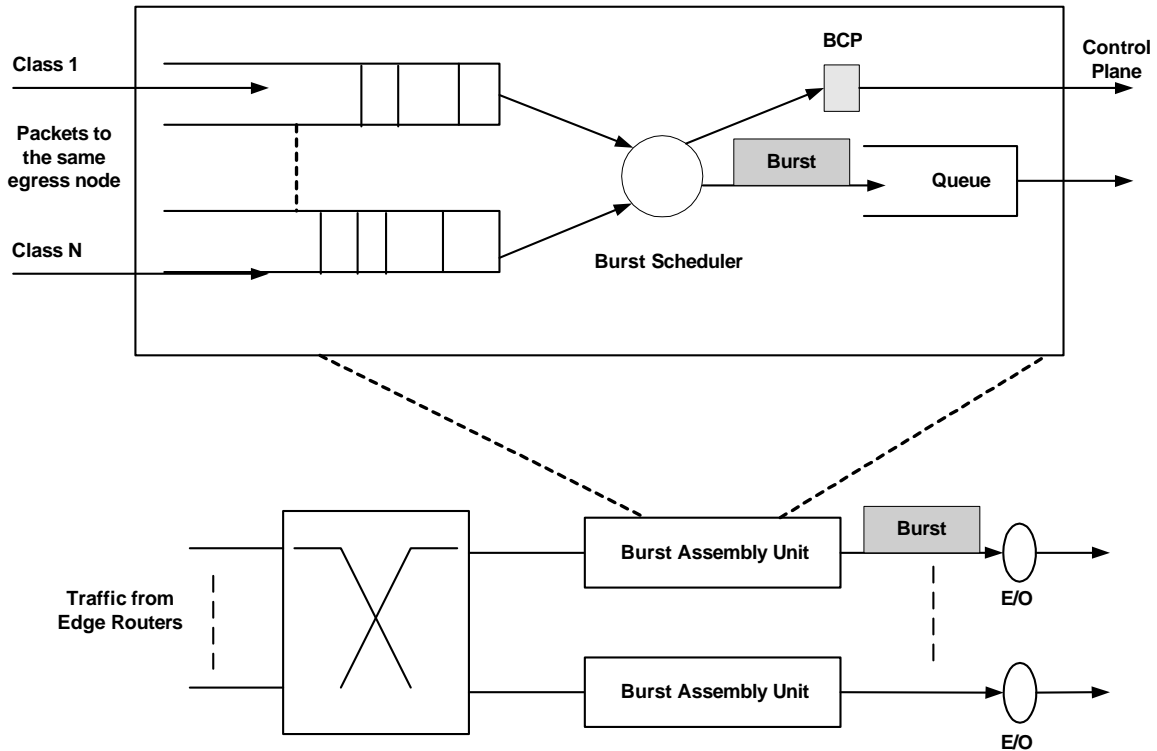


Figure 2.4: OBS ingress node architecture.

control overhead. Moreover, too small bursts require too fast switch reconfigurations which may not be feasible by today's switch fabrics. Another approach is to use fixed burst lengths instead of fixed time intervals. In this method, packets are aggregated into the same burst until the burst length reaches a predefined minimum burst length. The drawback of this method is that when traffic rates are low, then the burst assembly takes longer time and packets experience longer delays. One approach is to combine these two methods dynamically according to the real traffic measurements where a burst is formed when either a timer expires or a predefined minimum burst length is reached [33].

When the burst assembly procedure is completed, a BCP is sent towards the network to request a resource reservation for the burst. The actual burst is delayed by an offset time before being transmitted to the network. When the burst is queued up at the ingress node after the BCP is sent, the potential incoming packets in the meantime cannot be included in the current burst since the BCP already contains the length of the burst when it is sent to the network.

In order to reduce the delay experienced by newly incoming packets, a predicted burst length may be used in the BCP instead of the actual burst length, i.e.  $l + f(t)$  where  $l$  is the actual burst length and  $f(t)$  is the predicted increase in the burst length during the offset time [3]. After the offset time if the final burst length is shorter than the predicted one, then some of the resources will be wasted and otherwise then only a small number of packets will have to wait for the next burst.

### 2.1.2 Contention Resolution

When multiple bursts contend for the same outgoing wavelength, a contention is said to occur. Basically there are three ways of resolving contention [32]:

- *Wavelength Domain:* The contending bursts can be sent on a different wavelength channel of the designated output link by using wavelength converters. The switch may have Full Wavelength Conversion (FWC) capability or Partial Wavelength Conversion (PWC) capability. In FWC, a burst arriving at a certain wavelength can be switched onto any other wavelength towards its destination. In Partial Wavelength Conversion (PWC), there is a limited number of converters which are shared among all wavelengths, and consequently some bursts cannot be switched towards their destination (and therefore blocked) when all converters are busy despite the availability of free channels on wavelengths different from the incoming wavelength [5].
- *Time Domain:* Contention may be resolved in time domain by delaying the contending bursts until the contention is resolved by using FDLs. FDLs provide only a fixed amount of delay unlike the electronic buffers. Different optical buffering approaches are suggested by using FDLs. Optical buffers may be categorized in terms of the number of the FDL stages; i.e, single-stage or multistage, and in terms of the used buffering configuration; i.e,

Feed-Forward (FF) or Feedback (FB) configuration [34]. In FF configuration, each FDL forwards the optical packet (or the burst in OBS) to the next stage of the switch whereas in the FB configuration the packet is sent back to the input of the same stage.

- *Space Domain:* In the space domain contention resolution scheme, one of the contending bursts is sent through an another route to the destination which is also called “deflection routing”. In OBS, the deflection route should be determined beforehand and the offset time should be chosen to compensate for the extra processing time encountered by the BCP. Moreover, use of deflection routing may occasionally degrade the system efficiency unexpectedly because deflected bursts may cause contention elsewhere.

The OBS switch may use a combination of the above methods to resolve contention. The effect of using FDLs and WCs on burst blocking probability is studied in [32]. It is shown that using FDLs with lengths equal to a few mean burst lengths performs well in terms of blocking probability. Increasing the number of FDLs is also shown to reduce the burst blocking probabilities, as would be expected [32].

When contention cannot be resolved by using at least one of the above methods, the contending burst will be dropped. In [35], a new contention resolution technique called “burst segmentation” is proposed to reduce burst losses. In this method, bursts are divided into segments where a segment may contain one or more data packets and when contention occurs only the contending segments are dropped instead of dropping the complete burst. Different approaches exist depending on which part of the burst is to be dropped [35]. One approach is to drop the tail of the original burst and another is to drop the head of the contending burst.

### 2.1.3 Burst Scheduling Algorithms

When a BCP arrives at the switch, a burst scheduling algorithm is run to assign one of the available wavelengths to the incoming burst assuming the availability of wavelength converters. Different burst scheduling algorithms are proposed in the literature. Some of these algorithms are summarized in [3]. A burst which has been assigned a wavelength is called a scheduled burst whereas the incoming burst that is not scheduled yet is called an unscheduled burst. Following algorithms are proposed for burst-scheduling in the literature [3]:

- *Horizon / Latest Available Unscheduled Channel (LAUC)*: The horizon of a wavelength is defined as the latest time at which the wavelength will be in use. The LAUC algorithm chooses the wavelength channel with minimum horizon that is less than the start time of the unscheduled burst. Since this approach does not make use of the gaps between scheduled bursts, it is not considered to be a bandwidth-efficient algorithm.
- *LAUC with Void Filling (LAUC-VF)*: This algorithm makes use of the gaps between scheduled bursts. There are many variants of this algorithm such as Min-SV (Starting Void), Min-EV (Ending Void), and Best Fit. In Min-SV, the algorithm chooses the wavelength among available wavelengths for which the gap between the end of the scheduled burst and the start of the unscheduled burst is minimum. Min-EV tries to minimize the gap between the end of the unscheduled burst and the start of the scheduled burst. Finally, Best Fit algorithm tries to minimize the total length of the starting and ending voids that would be introduced after reservation.

Fig. 2.5 depicts which channels would be scheduled with different scheduling algorithms [3].  $C_i$  is the  $i_{th}$  wavelength on the output link,  $t_s$  and  $t_e$  are the start and end times of the unscheduled burst respectively. As shown in the figure, if the horizon algorithm is used then  $C_3$  will be chosen because it has the earliest

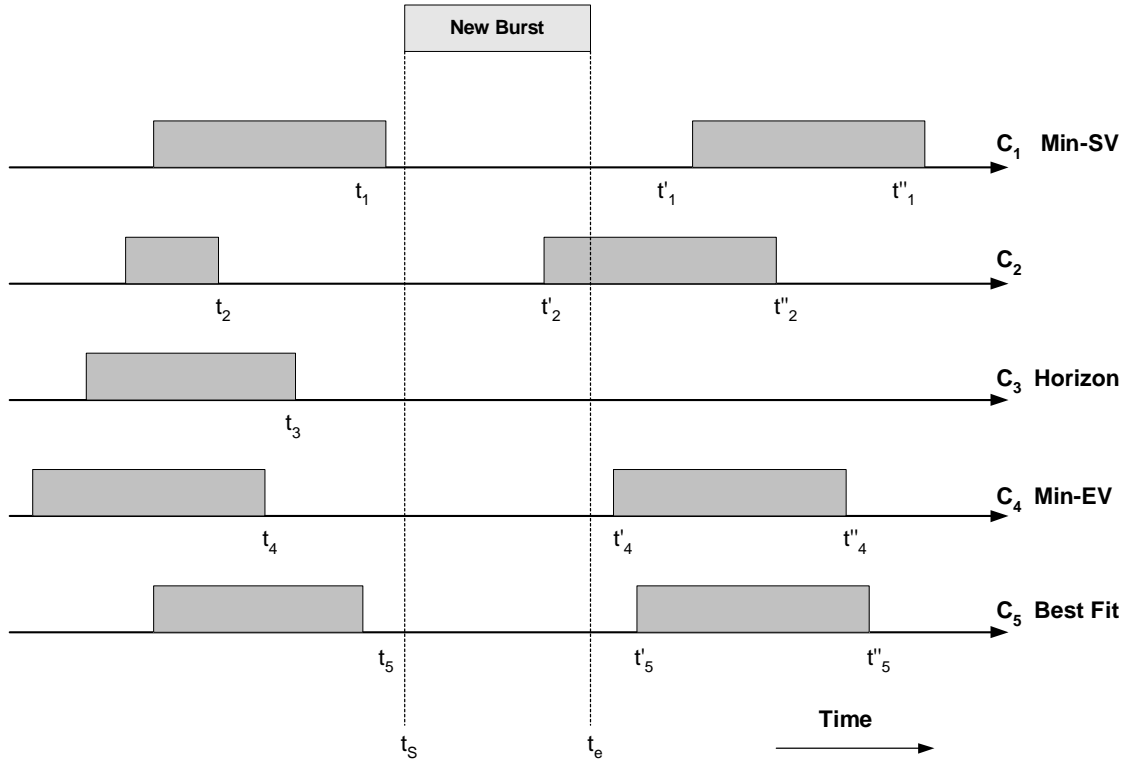


Figure 2.5: Working principles of different scheduling algorithms.

horizon time among the channels. If min-SV algorithm is used, then  $C_1$  will be chosen for which the gap between the starting time of the incoming burst and the end time of the scheduled burst, i.e.  $t_s - t_1$ , is minimum among candidates. Similarly,  $C_4$  will be chosen for the Min-EV algorithm and  $C_5$  will be chosen for the Best Fit algorithm.  $C_2$  will not be chosen in any case since the scheduled burst is in conflict with the incoming burst.

When there are FDLs as well, further scheduling algorithms can also be introduced to take advantage of FDLs [36, 37].

#### 2.1.4 Service Differentiation in OBS Networks

One approach to manage QoS in OBS networks is to use different offset times for different classes of bursts [20]. In OBS, recall that a burst is sent after the

BCP by an offset time so that the wavelength is reserved and switch settings are done before the burst arrives.

Offset time based QoS schemes assign extra offset times to high priority class bursts. To show how this scheme works, suppose that there are two classes of traffic i.e., classes 0 and 1 where class 0 bursts have lower priority than class 1 bursts [20]. Let  $t_a^i$  be the arrival time of the class  $i$  request denoted by  $req(i)$ ,  $t_s^i$  be the arrival time of the corresponding burst,  $l_i$  be the length of the  $req(i)$  burst and finally let  $t_o^i$  be the offset time assigned to the class  $i$  burst. Without loss of generality suppose that the offset time for class 0 bursts is 0 and an extra offset time, denoted by  $t_o^1$ , is given to class 1 bursts. Therefore,  $t_s^1 = t_a^1 + t_o^1$  and  $t_s^0 = t_a^0$ . First suppose that a high priority burst request arrives and makes the reservation for the wavelength as shown in Fig. 2.6a. After the class 1 request, a class 0 request arrives and attempts to make a reservation. From Fig. 2.6,  $req(1)$  will always succeed in making a reservation but  $req(0)$  will succeed only when  $t_s^0 < t_s^1$  and  $t_a^0 + l_0 < t_s^1$  or  $t_s^0 > t_s^1 + l_1$ , otherwise it will be blocked.

In the second case,  $req(0)$  arrives first and makes a reservation as shown in Fig. 2.6b. After  $req(0)$ ,  $req(1)$  arrives and attempts to make the reservation for the corresponding class 1 burst. When  $t_a^1 < t_a^0 + l_0$ ,  $req(1)$  would be blocked if no extra offset time had been assigned to  $req(1)$ . But with extra offset time, it can accomplish successful reservation if  $t_a^1 + t_o^1 > t_a^0 + l_0$ . In the worst case, suppose that  $req(1)$  arrives just after the arrival of  $req(0)$ , then  $req(1)$  will succeed only if the offset time is longer than the low priority burst size. Hence, class 1 bursts can be completely isolated from class 0 bursts by choosing the extra offset time assigned to the high priority bursts long enough.

In [21] and [22], a QoS scheme with extra offset time is studied with FDLs. The offset time required for class isolation when making both wavelength and FDL reservations is quantified. When there are FDLs, how the class isolation is maintained and how the offset time should be chosen is presented in this study.

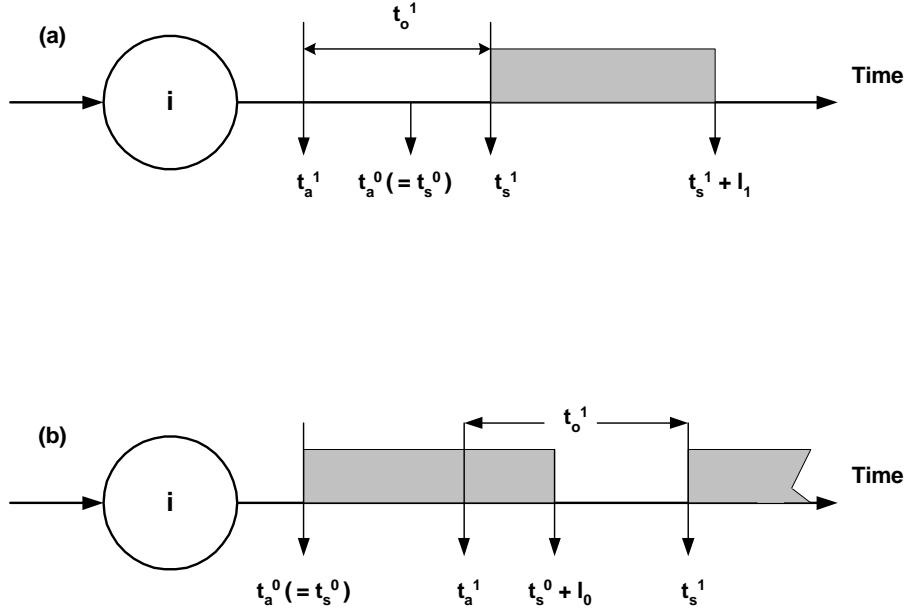


Figure 2.6: Class isolation without FDLs.

To see how the class isolation is provided when there are FDLs, suppose that there are two traffic classes as in the previous example and offset time for class 0 traffic is again 0. But this time suppose that there is only one single fiber delay line, which can provide a variable delay between 0 and  $B$  [21]. In the first case, suppose that when  $req(0)$  arrives at  $t_a^0$  the wavelength is in use by another burst as shown in Fig. 2.7a. Therefore, if there were not FDLs it would be blocked, but with FDLs if the amount of the delay required  $t_b^0$  is less than  $B$  then the FDL is reserved for the class 0 burst as shown in Fig. 2.7b and the wavelength is reserved for the class 0 burst from  $t = t_s^0 + t_b^0$  till  $t = t_s^0 + t_b^0 + l_0$ . Now assume that  $req(1)$  arrives as shown in Fig. 2.7a. If the offset time assigned to class 1 bursts is long enough i.e.,  $t_a^1 + t_o^1 > t_s^0 + t_b^0 + l_0$ , then it will succeed to make a reservation. If the offset time assigned to class 1 bursts is not long enough to make a wavelength reservation successfully, then  $req(1)$  needs to reserve the FDLs. In this case if  $t_a^1 + t_o^1 > t_s^0 + l_0$  then  $req(1)$  reserves the FDL successfully.

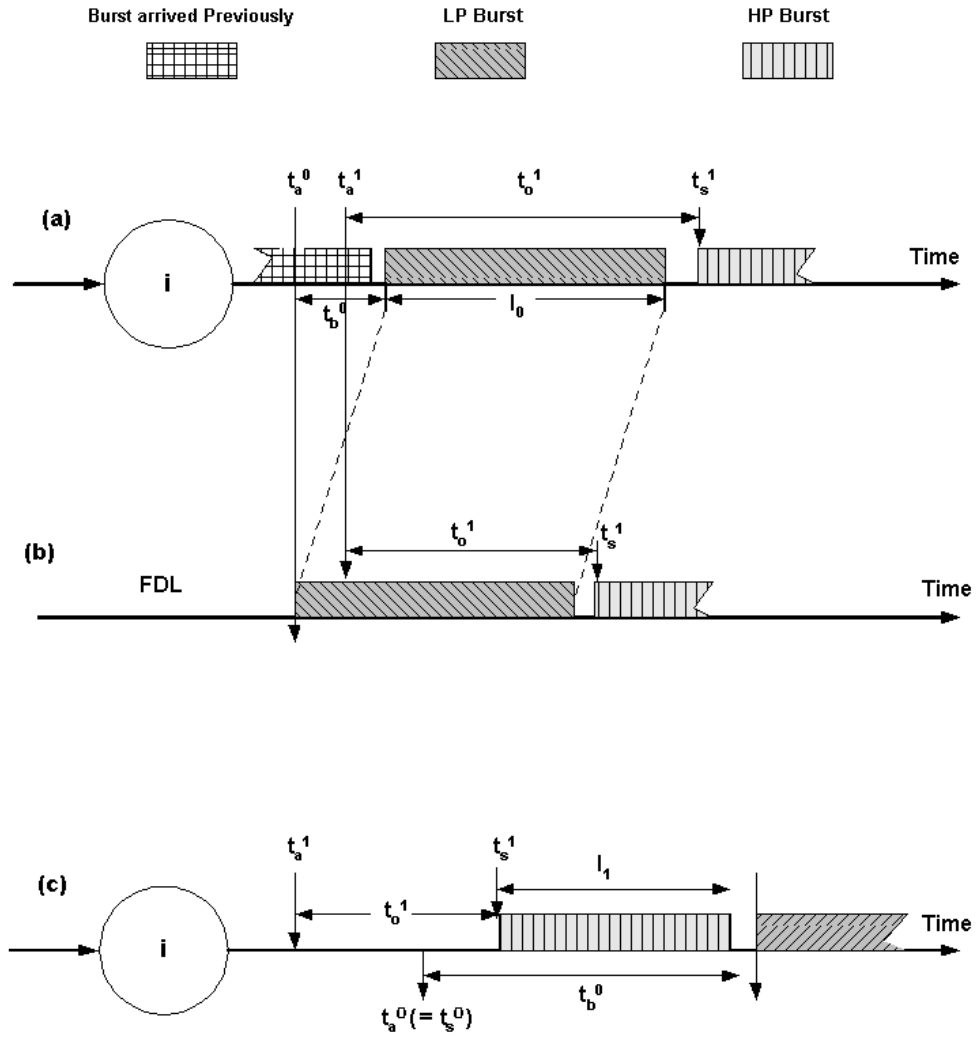


Figure 2.7: Class isolation at an optical switch with FDLs.

Finally, assume that  $req(1)$  arrives before  $req(0)$  as shown in Fig. 2.7c., then it reserves the wavelength without being affected by  $req(0)$ . The class 0 request will succeed in reserving wavelength only if  $t_s^0 + l_0 < t_a^1 + t_o^1$ . Again we see that by choosing offset times appropriately, class 1 bursts can perfectly be isolated from class 0 bursts in both reserving wavelengths and FDLs. Additional QoS classes can be introduced by incorporating additional offset times to the new QoS classes.

Table 2.1 shows how extra offset time effects the class isolation.  $L$  is the mean burst length of class 0 bursts where burst lengths are exponentially distributed [21].  $R$  is the degree of the isolation between classes i.e. probability that a



class 1 burst will not be blocked by a class 0 burst,  $B$  is the maximum amount of the delay provided by FDLs. Giving sufficiently large extra offset times to higher priority bursts can provide sufficient isolation between classes but these extra offset times increase the end-to-end delay of the network which is a critical design parameter for real time applications. Also degree of the isolation depends on burst length and interarrival time distributions. Finally, the differentiation is not even among classes i.e. the ratio of class  $i$  loss probability to the class 0 loss probability is not equal to the ratio of class  $i - 1$  loss probability to the class 0 loss probability.

R	0.6321	0.9502	0.9932
$t_{offset}$ ( FDL )	L	3.L	5.L
$t_{offset}$ ( $\lambda$ )	L + B	3.L + B	5.L + B

Table 2.1: Relation between extra offset time and degree of isolation.

Another approach similar to offset time based QoS is given in [28] but this approach does not use extra offset times. The authors propose a linear prediction filter (LPF) based forward reservation method to reduce end-to-end delays and also provide QoS. In this method, normal resource reservation procedure is implemented for low priority traffic (class 0 traffic) where BCP is sent to the core network after the completion of burst assembly and it contains the actual burst length. For high priority bursts (class 1 traffic), the BCP is sent before burst assembly completion by a time  $T_p$  and it contains the predicted burst length, which is obtained by a LPF. After the burst assembly, if the actual burst length is less than the predicted burst length then the BCP pre-transmission is supposed to be successful and the burst is sent to the core network just after the burst assembly completion as shown in Fig. 2.8 where  $T_b$  is the time when the burst assembly starts,  $l_a$  is the length of the burst,  $T_h^i$  is the time when BCP is transmitted for class  $i$  traffic,  $T_d^i$  is the time when the class  $i$  burst is transmitted to the core network. If the actual burst length is larger than the predicted burst length,

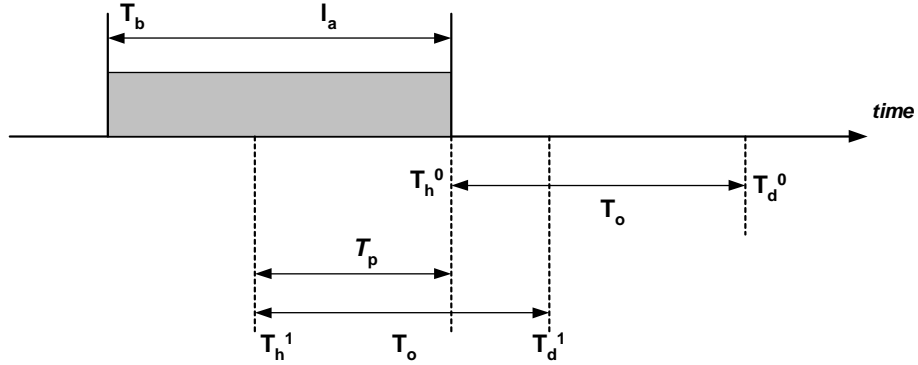


Figure 2.8: FFR based QoS example.

the BCP pre-transmission deemed a failure and BCP has to be retransmitted after burst assembly. End-to-end delay of the high priority bursts is reduced by this method. However, when the pre-transmission fails (predicted burst length is larger than the actual burst length), class isolation also fails. On the other hand if the predicted burst length is shorter than the actual burst length then resources are wasted. Moreover, the QoS design parameter  $T_p$  strictly depends on burst the length distribution.

In [23], a controllable QoS differentiation, namely Proportional QoS, on delay and burst loss probability without extra offset times is offered. In proportional QoS, an intentional burst dropping scheme is used to provide proportionally differentiated burst loss probability and a waiting time priority (WTP) scheduler is used to provide proportionally differentiated average packet delay.

In intentional burst dropping scheme, burst loss rates of each class are constantly calculated at each switch and low priority bursts are intentionally dropped to maintain proportional data loss between classes. This gives longer free time periods on the output link capacity, which increases the probability of high priority bursts to be admitted. This method provides proportionality between burst loss rates of different classes of traffic and proportionality factor can be used as a design parameter [23].

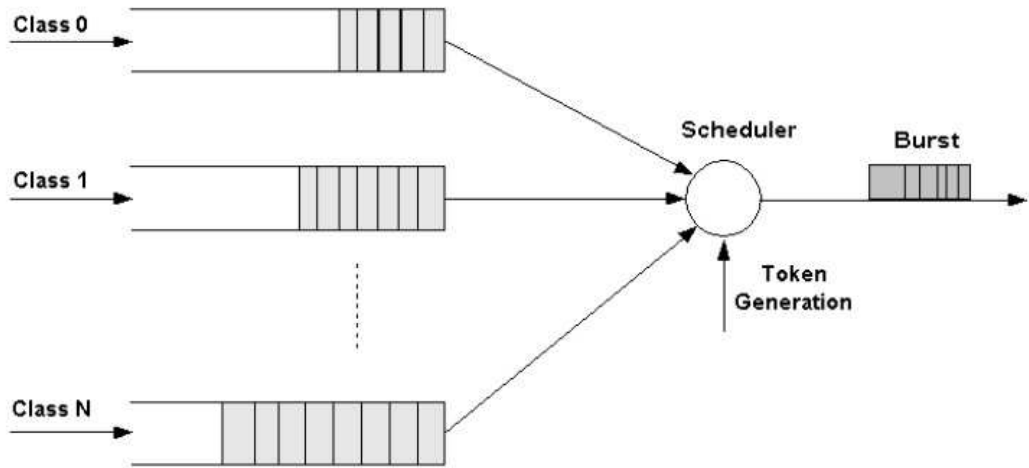


Figure 2.9: WTP edge scheduler.

In the WTP Scheduler, there is a queue for each class of traffic as shown in Fig. 2.9. A burst is formed and transmitted when a token is generated. Token generation is a Poisson process. Priority of each queue is calculated as  $p_i(t) = w_i(t)/s_i$  where  $w_i(t)$  is the waiting time of the packet at the head of queue  $i$  and  $s_i$  is the proportionality factor for class  $i$ . The queue with the largest  $p_i(t)$  is chosen for burst assembly. By this way, proportional average packet delays are maintained among classes [23].

In intentional burst dropping an arriving burst is dropped if its predefined burst loss rate is violated regardless of the availability of the wavelength. This gives more free times on the wavelength for high priority bursts but it leads to higher burst blocking probabilities and also worsens wavelength utilization.

Another approach is to use soft congestion resolution techniques in QoS support [24, 25, 26, 27]. In [24], authors suggest a QoS scheme, which combines prioritized routing and burst segmentation for differentiated services in optical network. When multiple bursts contend for the same link, a contention occurs. One way of the contention resolution is deflection routing in which the contending burst is routed over an alternative path. When the choice of the burst that will be deflected is based on priority then it is called as prioritized deflection. When contention cannot be resolved by traditional methods such as wavelength

conversion, deflection routing etc. one of the bursts is dropped completely. Burst segmentation is suggested to reduce packet losses during a contention. In burst segmentation instead of dropping the burst completely only the overlapping packets are dropped [31]. To further reduce the packet losses, the overlapping packets may be deflected. QoS is provided by selectively choosing the bursts that will be segmented or deflected. In [24] authors define three policies for handling contention. These are:

- *Segment First and Deflect Policy (SFDP)*: Original burst is segmented and its overlapping packets are deflected if an alternate port is available otherwise the tail is dropped.
- *Deflect First and Drop Policy (DFDP)*: Contending burst is deflected if possible otherwise it is dropped.
- *Deflect First, Segment and Drop Policy (DFSDP)*: Contending burst is deflected to an alternate port if available otherwise original burst is segmented and its tail is dropped.

Table 2.2 is given in [24] to show how the above policies can be used to provide QoS. Longer remaining burst column in Table 2.2 shows, after segmentation which one of the bursts has longer remaining data. Authors show that the loss rate and delay of the high priority bursts are less than the corresponding low priority values by simulations.

In [25], a burst assembly and scheduling technique is offered for QoS support in optical burst switched networks. In this method, packets from different classes are assembled into the same burst such that the priority of the packets decreases towards the tail of the burst. When contention occurs, the original burst is segmented so that the tail is dropped. Since the packets at the tail have lower priority than the packets at the head of the burst, the loss rate of the high priority packets is reduced.

Condition	Original Burst Priority	Contending Burst Priority	Longer Remaining Burst	Policies
1	High	High	Contending	DFSDP
2	High	Low	Contending	DFDP
3	Low	High	Contending	SFDP
4	Low	Low	Contending	DFSDP
5	High	High	Original	DFDP
6	High	Low	Original	DFDP
7	Low	High	Original	SFDP
8	Low	Low	Original	DFDP

Table 2.2: QoS policies for different contention situations.

Another contention resolution technique with QoS support is given in [26]. This method is suggested for slotted OBS networks where control and data channels are divided into fixed time slots and bursts are sent only at time slot boundaries. At the optical core node, all the BCPs destined to the same output port are collected for a time period of  $W$  and a look-ahead window is obtained. Then, contention regions are determined in the corresponding burst window. By applying a heuristic algorithm, the bursts that should be dropped within a data window are determined. Priority and length of the bursts are used as parameters in the algorithm. The authors of [26] suggest that either partial or absolute differentiation can be provided. In partial differentiation, a high priority burst with a short length can be blocked by a low priority burst with a longer length. The problem with this algorithm is that BCPs should be stored for duration of  $W$  time units before they are transmitted and FDLs should be used at each hop to delay bursts by  $W$  to maintain original offset times. Moreover, the end-to-end data delay increases as the window size increases. To maintain a low end-to-end delay,  $W$  should be kept small but this time the performance of the algorithm degrades.

In [29], a preemptive wavelength reservation mechanism is introduced to provide proportional QoS. To see how it works assume that there are  $N$  classes

$c_1, c_2, \dots, c_N$  where  $c_1$  has the lowest priority and  $c_N$  has the highest priority. A predefined usage limit is assigned to each class of traffic i.e.,  $p_i$  such that  $\sum_{i=1}^N p_i = 1$ . At each switch, following data is held for each class of traffic:

- Predefined usage limit
- Current usage
- A list of scheduled bursts within the same class, start and stop times of the reservations for the bursts and a predefined timer for each reservation

Current usage of the class  $i$ ,  $r_i$ , is calculated over a short time period  $t$ . It is the total reservation time of class  $i$  bursts over the total reservation time of the bursts of all classes. A class is said to be in profile if current usage is less than the predefined usage limit otherwise it is said to be out of profile. When a new request cannot make a reservation, a list is formed which contains the classes whose priorities are less than the priority of the current request and whose current usages exceed their usage limits. Then the switch checks whether the new request can be scheduled by removing one of the existing requests in the list beginning from the lowest priority request. If such an existing request is found, it is preempted and the switch updates the current usage of both classes. When a reservation is preempted, two methods are used to prevent wasting of resources at downstream nodes. If the burst is preempted during transmission, the switch stops the burst transmission and sends a signal at the physical layer indicating the end of burst. In the other situation, a downstream node can use a predefined timer for each reservation, which is activated at the requested start time of the burst. If the burst does not arrive before the timer expires then the switch decides on the occurrence of a fault and cancels the reservation for this burst.

All the above existing QoS schemes provide a level of isolation between QoS classes but it is not clear whether the isolation is in the strict-priority sense.

Moreover, these existing proposals do not attempt to ensure fairness among the competing connections. In this thesis, we study the support of strict priority-based service differentiation in OBS networks while maintaining perfect isolation between high and low priority classes. Another dimension of our research is fair allocation of bandwidth among contending connections.

### 2.1.5 Flow Control in OBS Networks

In all the QoS schemes mentioned in the previous section, how the burst loss rate for high priority bursts can be reduced has been studied. However, when the network is heavily congested, then burst losses will also be very high for high priority traffic bursts and the QoS schemes of the previous section would not work properly. In order to prevent the network entering into a congestion state where bursts losses are high, contention avoidance policies can be used. Contention avoidance policies can be non-feedback based or feedback based. In non-feedback based congestion control, the edge nodes regulate their traffic according to a predefined traffic descriptor or some stochastic model. In feedback-based congestion avoidance algorithms, sources dynamically shape their traffic according to the feedback returned from the network.

In the following studies, a number of congestion control mechanisms for optical burst switched networks have been proposed. In [39], a feedback-based congestion avoidance mechanism called as Source Flow Control (SFC) is introduced. In this proposed mechanism, the optical burst switches send explicit messages to the source nodes to reduce their rates on congested links. Core node switches measure the load at their output ports. If the calculated load is larger than the target load then they broadcast a flow rate reduction (FFR) request besides the label of the lightpath and the core switch address where the congestion occurs. FFR has two fields: control field and rate reduction value field. In the control field there are two flags: idle flag and no increase flag. When the idle

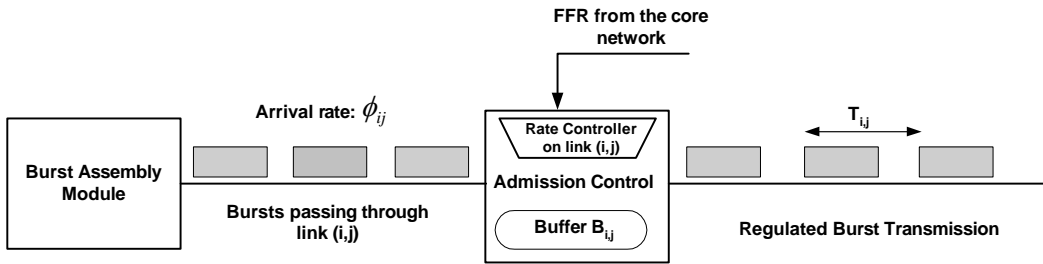


Figure 2.10: Source flow control mechanism working at edge nodes.

flag is set sources can increase their rates, when no increase flag is set sources are not allowed to increase their current rates. Rate reduction field shows the rate reduction value,  $R_{ij}$ , required on link  $(i, j)$ . It is calculated as follows:

$$R_{ij} = \frac{\rho - \rho_{th}}{\rho_{th}}$$

where  $\rho$  is the actual traffic load on link  $(i, j)$  and  $\rho_{th}$  is the target load on link  $(i, j)$ . The core switch address where the contention occurs is also sent to the ingress nodes so that the ingress node can use an alternative path to the congested path. When sources receive the FFR message and if the rate reduction field is set then they decrease their current rates using link  $(i, j)$  as stated in FFR. The actual rates are stored to be used when congestion disappears. An admission control is used to send the bursts at the determined rate. Authors suggest using timers such that when a burst is transmitted a timer is set whose value is equal to the inverse of the required transmission rate. When the timer expires, a new burst is sent as shown in Fig. 2.10. When the contention disappears on link  $(i, j)$ , the sources return their original rates using a random delay. In this type of admission control burst rates are adjusted assuming that burst lengths are constant. The rates are not explicitly declared for each source but only the overload factor is sent to the sources and the fairness among sources is not tested. Also no service differentiation mechanism is offered in this congestion control mechanism.



Another congestion control algorithm for OBS networks is given in [30]. In this algorithm the intermediate nodes send the burst loss rate information to all edge nodes so that they can adjust their rates to hold the burst loss rate at a critical value. For all edge nodes the maximum amount of traffic that the node can send, i.e. critical load, to the network in case of heavy traffic is determined offline. By analyzing the burst loss rates returned from switches, edge nodes determine whether the network is in heavy load situation or not. If the network is congested then an edge node decides on its transmission rate as follows:

- If its current load is less than its critical load then it can increase its rate if needed
- If its current load is greater than its critical load then it reduces its transmission rate
- If its current load is equal to its critical load it does not change its rate

This method guarantees a minimum bandwidth to each edge node. Also when an edge node does not use its bandwidth, other edge nodes can share this bandwidth but it is not clear that the bandwidth can be fairly shared between edge nodes. Authors of [30] also suggest a burst retransmission scheme which is invoked when an edge node receives a negative acknowledge (NACK) from the core network which shows a burst drop. This scheme works as follows:

- When an ingress node transmits a burst, keeps its copy and sets a timer
- If the ingress node receives a NACK for this burst, it retransmits the burst and sets the timer again
- If the timer expires the ingress node supposes that the burst is transmitted successfully and deletes the copy of the burst.

Using this scheme, the OBS network is shown in [30] to respond to burst losses quickly.

## Chapter 3

# Differentiated ABR

We envision an OBS network comprising edge and core OBS nodes. A link between two nodes is a collection of wavelengths that are available for transporting bursts. We also assume an additional wavelength control channel for the control plane between any two nodes. Incoming IP packets to the OBS domain are assumed to belong to one of the two classes, namely High-Priority (HP) and Low-Priority (LP) classes. For the data plane, ingress edge nodes assemble the incoming IP packets based on a burst assembly policy (see for example [12]) and schedule them toward the edge-core links. We assume a number of tune-able lasers available at each ingress node for the transmission of bursts. The burst de-assembly takes place at the egress edge nodes. We suggest to use shortest-path based fixed routing under which a bi-directional lightpath between a source-destination pair is used for the burst traffic. We assume that the core nodes do not support deflection routing but they have PWC and FDL capabilities on a share-per-output-link basis [13].

The proposed architecture has the following three central components [40]:

- Off-line computation of the effective capacity of optical links,

- D-ABR protocol and its working principles,
- Algorithm for the edge scheduler.

### 3.1 Effective Capacity

Let us focus on an optical link with  $K$  wavelength channels per link, each channel capable of transmitting at  $p$  bits/s. Given the burst traffic characteristics (e.g., burst interarrival time and burst length distributions) and given a QoS requirement in terms of burst blocking probability  $P_{loss}$ , the Effective Capacity (EC) of this optical link is the amount of traffic in bps that can be burst switched by the link while meeting the desired QoS requirement. In order to find the EC of an optical link, we need a burst traffic model. In our study, we propose the effective capacity to be found based on a Poisson burst arrival process with rate  $\lambda$  (bursts/s), an exponentially distributed burst service time distribution with mean  $1/\mu$  (sec.), and a uniform distribution of incoming burst wavelength. Once the traffic model is specified and the contention resolution capabilities of the optical link are given, one can use off-line simulations (or analytical techniques if possible) to find the EC by first finding the minimum  $\lambda_{min}$  that results in the desired blocking probability  $P_{loss}$  and then setting  $EC = \lambda_{min}p/\mu$ . We note that improved contention resolution capability of the OBS node also increases the effective capacity of the corresponding optical link. We study two contention resolution capabilities in this paper, namely PWC and FDL. In PWC, we assume a wavelength converter bank of size  $0 < W \leq K$  dedicated to each fiber output line. Based on the model provided in [5], a new burst arriving at the switch on wavelength  $w$  and destined to output line  $k$

- is forwarded to output line  $k$  without using a Tune-able Wavelength Converter (TWC) if channel  $w$  is available, else

- is forwarded to output line  $k$  using one of the free TWCs in the converter bank and using one of the free wavelength channels selected at random, else
- is blocked.

An efficient numerical analysis procedure based on blocktridiagonal LU factorizations is given in [5] for the blocking probabilities in PWC-capable optical links and therefore the EC of an optical link can very rapidly be obtained in bufferless PWC-capable links.

We study the case of  $L$  FDLs per output link where the  $i_{th}$  FDL,  $i = 1, 2, \dots, L$  can delay the burst  $b_i = i/\mu$  sec. The burst reservation policy that we use is to first try wavelength conversion for contention resolution and if conversion fails to resolve contention we attempt to resolve it by suitably passing a contending burst through one of the  $L$  FDLs. To the best of our knowledge, no exact solution method exists in the literature for the blocking probabilities in OBS nodes supporting FDLs and therefore we suggest using off-line simulations in the latter scenario to compute the EC of FDL-capable optical links. The optical link model using PWC and FDLs that we use in our simulation studies is depicted in Fig. 3.1.

## 3.2 D-ABR Protocol

The feedback information received from the network plays a crucial role in our flow control and service differentiation architecture. Our goal is to provide flow control so as to keep burst losses at a minimum and also emulate strict priority queueing through the OBS domain. For this purpose, we propose that a feedback mechanism similar to the ABR service category in ATM networks is to be used in OBS networks as well [14]. In the proposed architecture, the ingress edge node of

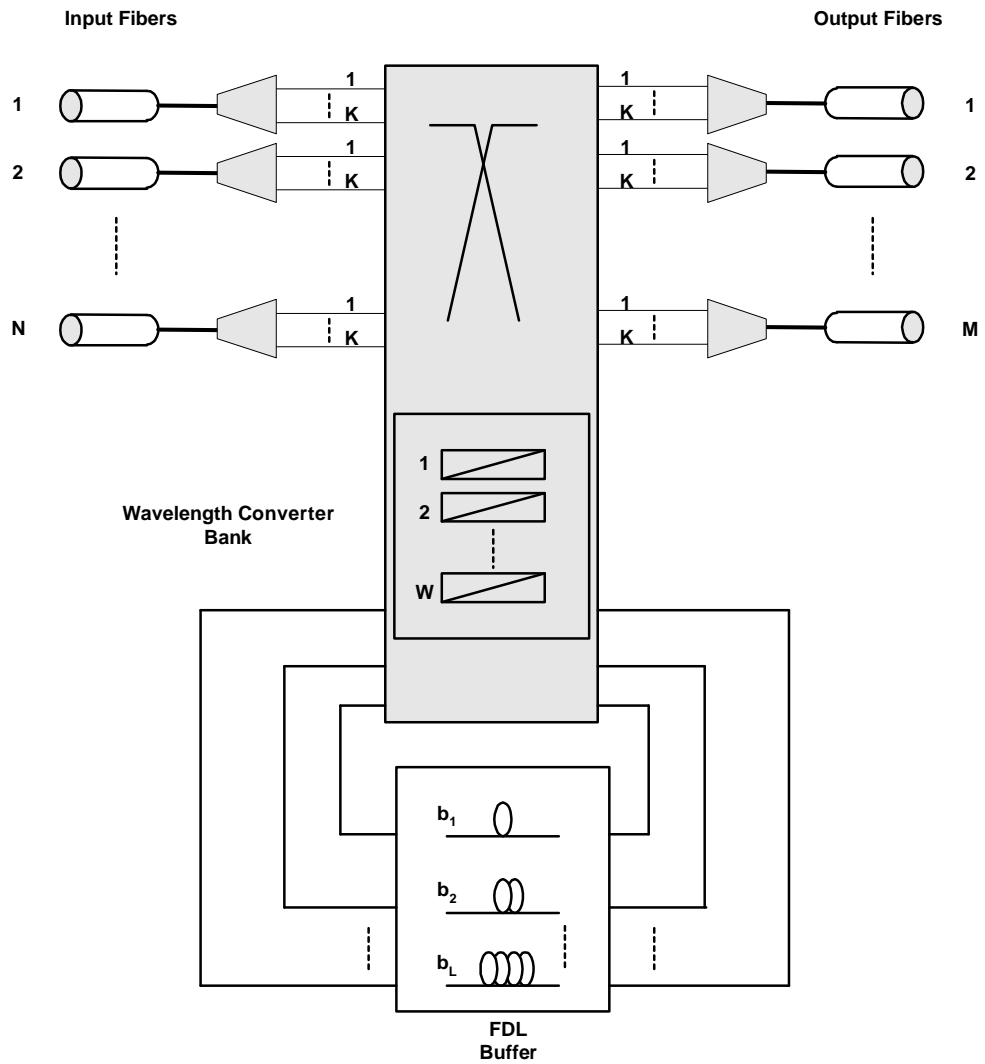


Figure 3.1: The general architecture of the OBS node under study.

bi-directional lightpaths sends Resource Management (RM) packets with period  $T$  sec. in addition to the BCPs through the control channel. These RM packets are then returned back by the egress node to the ingress node using the same route due to the bidirectionality of the established lightpath. Similar to ABR, RM packets have an Explicit Rate (ER) field but we propose for OBS networks one separate field for HP bursts and another for LP bursts. RM packets also have fields for the Current Bit Rate (CBR) for HP and LP traffic, namely HP CBR and LP CBR, respectively. This actual bit rate information helps the OBS nodes in determining the available bit rates for both classes. On the other hand, the two ER fields are then written by the OBS nodes on backward RM packets using a modification of ABR rate control algorithms, see for example the references for existing rate control algorithms [15, 16, 17].

In our work, we choose to test the basic ERICA (Explicit Rate Indication for Congestion Avoidance) algorithm due to its simplicity, fairness, and rapid transient performance [10]. Moreover, the basic ERICA algorithm does not use the queue length information as other ABR rate control algorithms do, but this feature turns out to be very convenient for OBS networks with very limited queueing capabilities (i.e., limited number of FDLs) or none at all. We leave a more detailed study of rate control algorithms for OBS networks for future work and we outline the basic ERICA algorithm and describe our modification to this algorithm next in order to mimic the behavior of strict priority queuing.

We define an averaging interval  $T_a$  and an ERICA module for each output port. An ERICA module has two counters, namely the HP counter and the LP counter, to count the number of bits arriving during an averaging interval. These counters are updated whenever a burst arrives to the output port as follows:

if an HP burst arrives then,

$$\text{HP Counter} = \text{HP Counter} + \text{Burst Size},$$

else

$$\text{LP Counter} = \text{LP Counter} + \text{Burst Size.}$$

The counters are used to find the HP and LP traffic rates during an averaging interval. The pseudo-code of the algorithm that is run by the OBS node at the end of each averaging interval is given in Fig. 3.2. The EC of the link is the capacity that HP traffic can use. The remaining capacity is up for use for LP traffic. The parameter  $a$  in Fig. 3.2 is used to smooth the capacity for LP traffic. In our work we have used  $a = 1$ . The load factors and fair shares for each class of traffic are then calculated along the lines of the basic ERICA algorithm [10]. All the variables set at the end of an averaging interval will then be used for setting the HP and LP Explicit Rates (ER) upon the arrival of backward RM cells within the next averaging interval. Note that all the information used in this algorithm is available at the BCPs and therefore the algorithm runs only at the control plane.

The algorithm to be used for calculating the explicit rates for the lightpath is run upon the arrival of a backward RM cell. The pseudo-code for the algorithm is depicted in Fig. 3.3. The central idea of the basic ERICA algorithm is to achieve fairness and high utilization simultaneously whereas with our proposed modification we also attempt to provide isolation between the HP and LP traffic. The load factors in the algorithm are indicators of the congestion level of the link [10]. High overload values are undesirable since they indicate excessive utilization of the link. Low overload values are also undesirable since they indicate the underutilization of the link. The optimum operating point is around unity load factor. The switch allows the sources that transmit at a rate less than *FairShare* to raise their rates to *FairShare* every time it sends a feedback to a source [10]. If a source does not use its *FairShare* completely, the remaining capacity is shared among the sources which can use it. *LightpathShare* in the algorithm is used for this purpose. *LightpathShare* tries to bring the network to an efficient operating point, which may not be necessarily fair. Combination of these two quantities brings the network to the optimal operation point rapidly.



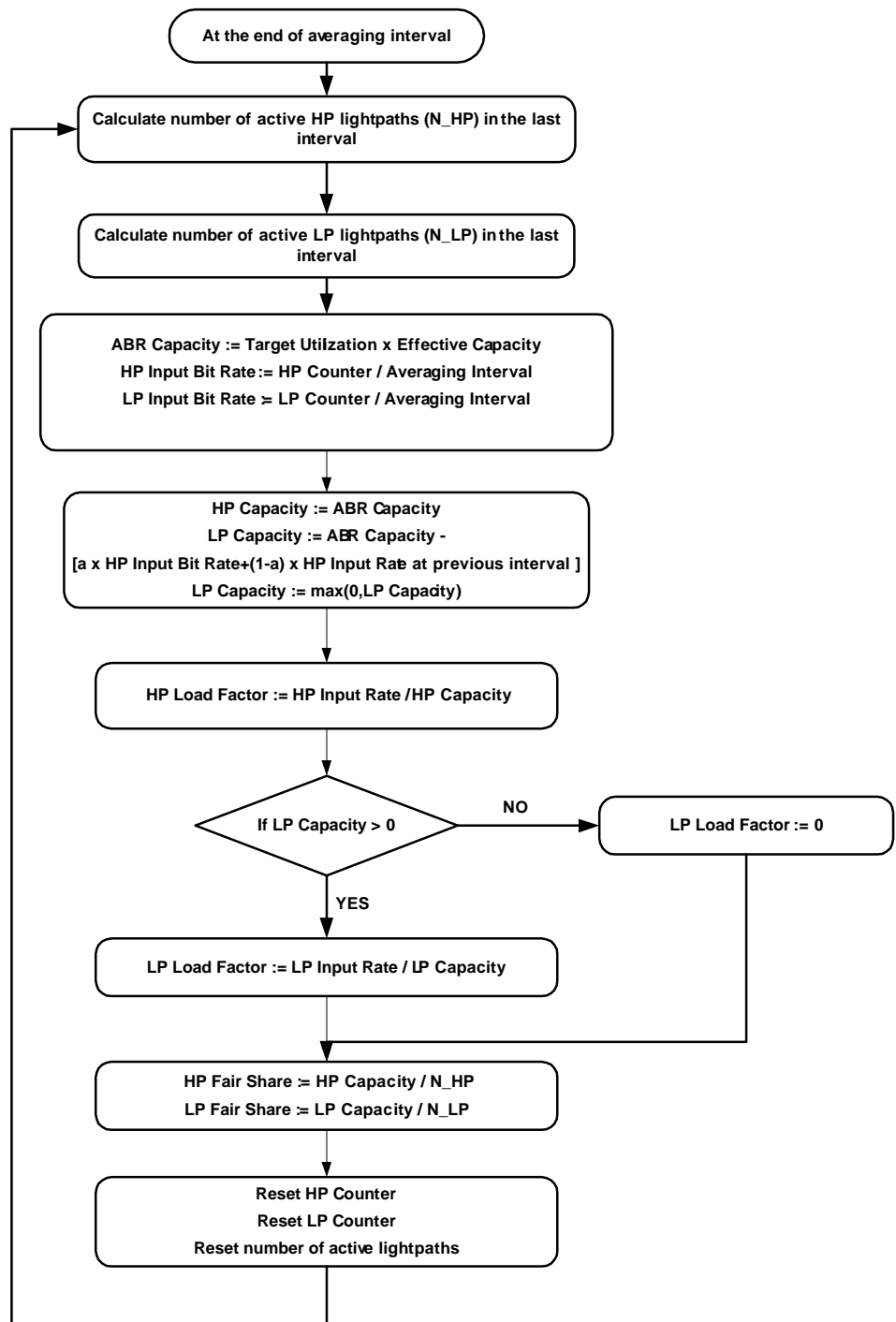


Figure 3.2: Proposed algorithm to be run by the OBS node at the end of each averaging interval.

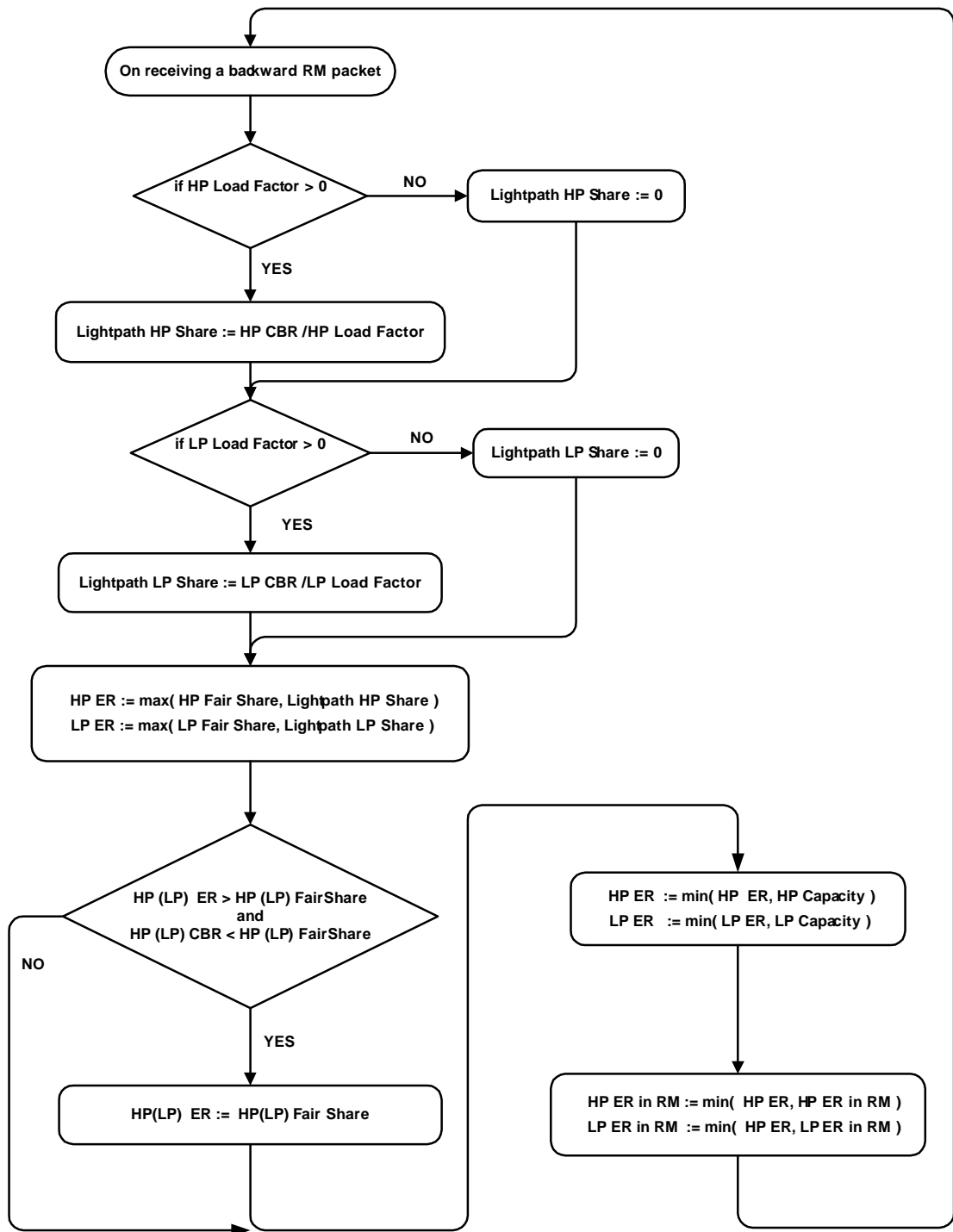


Figure 3.3: Proposed algorithm to be run by the OBS node upon the arrival of a backward RM packet.

The calculated ER cannot be greater than the effective capacity of the link and it is checked in the algorithm as shown in Fig. 3.3. Finally, to ensure that the bottleneck ER reaches the source, the ER field of the BCP is replaced with the calculated ER at a switch only if the switch's ER is less than the ER value in the BCP [10]. Having received the information on HP and LP explicit rates, the sending source decides on the Permitted Bit Rate (PBR) for HP and LP traffic, namely HP PBR and LP PBR, respectively. These PBR parameters are updated on the arrival of a backward RM packet at the source:

$$\text{HP PBR} := \min(\text{HP ER}, \text{HP PBR} + \text{RIF} * \text{HP PBR}),$$

$$\text{LP PBR} := \min(\text{LP ER}, \text{LP PBR} + \text{RIF} * \text{LP PBR}),$$

where RIF stands for the Rate Increase Factor and the above formula conservatively updates the PBR in case of a sudden increase in the available bandwidth with a choice of  $\text{RIF} < 1$ . On the other hand, if the bandwidth suddenly decreases, we suggest in this study the response to this change to be very rapid. The HP (LP) PBR dictates the maximum bit rate at which HP (LP) bursts can be sent towards the OBS network over the specified lightpath. We use the term Differentiated ABR (D-ABR) to refer to the architecture proposed in this thesis that regulates the rate of the HP and LP traffic. The distributed D-ABR protocol we propose distributes the effective capacity of optical links to HP traffic first using max-min fair allocation and the remaining capacity is then used by LP traffic still using the same allocation principles. Max-min fairness is defined in [18] as maximizing the bandwidth allocated to users with minimum allocation while achieving fairness among all sources. Fig. 3.4 shows an example of how max-min fairness works. There are three sessions of traffic and sessions 1, 2, 3 go through only one arc whereas session 0 goes through all 3 arcs. The capacity of each link is given in Fig. 3.4. Max-min fairness algorithm gives a rate of  $1/2$  to sessions 0,1,2 and a rate of  $5/2$  to session 3 to avoid wasting the extra capacity available on the right-most link [18].

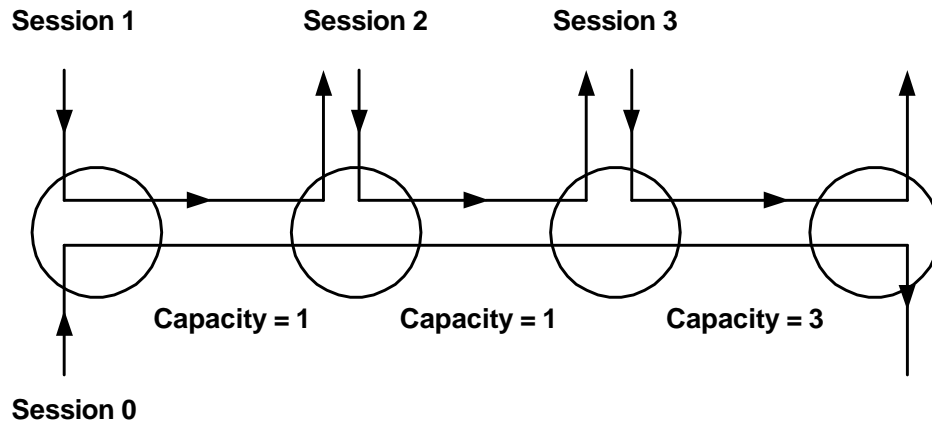


Figure 3.4: Illustration of Max-Min Fairness.

In ERICA, the choice of the averaging interval and RM inter-arrival time is critical. The sources send RM packets periodically. The switch also measures the overload and number of the active sources periodically. When a source sends RM packets with an interval smaller than the averaging interval, the switch uses the same overload value for the calculation of explicit rates as shown in Fig. 3.3. When two RM packets from the same source during an averaging interval carry different CBR values, one of the calculated explicit rates will not accurately reflect the actual load on the link since the switch uses the same overload for both RM packets. This will result in unwanted rate oscillations [10]. On the other hand when the RM interval is chosen larger than the averaging interval, the system will be unresponsive to the rate changes since the switch will not receive any RM cells for multiple averaging intervals. Hence, the RM cell period should be well matched to the switch's averaging interval so that the switch gives only one feedback per VC during an averaging interval. One way of achieving this is to set the source interval to the maximum of all the switching intervals in the path. But this time, the switches with smaller intervals will not receive any RM for many intervals, which at the end effects the transient response of the system. One modification to the basic algorithm is that the switch provides

only one feedback value in an averaging interval independent from the number of RM packets that it receives. The switch calculates the ER only once in an averaging interval and stores it. Then, it uses the same ER value for all BRM packets, which are received during the next averaging interval. By this way, the source and switch intervals should not have to be correlated any more.

Another problem with the basic ERICA algorithm is that the switch uses the CBR fields in the BRM packet but these values do not reflect the network load level any more. One may use the latest CBR information to overcome this drawback as suggested in [10]. To maintain the latest CBR information the switch copies the CBR fields from the FRM cells and uses the latest available CBR information when it receives BRM cell instead of using the CBR fields of BRM cell. Another approach to use the latest CBR information at the same time providing a single feedback during an averaging interval is using per-VC CBR measurement option, which is introduced in [10]. We use the term VC as in ATM networks as a virtual connection between two end points, namely the source and the destination, carrying a single class of traffic. In the per-VC CBR measurement option, the switch calculates the CBRs for each VC and uses these calculated CBRs instead of using the CBR values carried by RM cells. To calculate CBRs, the switch counts the number of bits received during an averaging interval and at the end of the averaging interval, it uses the following formula to calculate CBR for each VC:

$$\text{CBR} = (\text{Number of Bits Received During } T_a) / T_a$$

### 3.3 Edge Scheduler

An ingress edge node maintains two queues, namely the HP and LP queues, on a per-egress basis. Since there are multiple egress edge nodes per ingress, a scheduler at the ingress edge node is needed to arbitrate among all per-egress

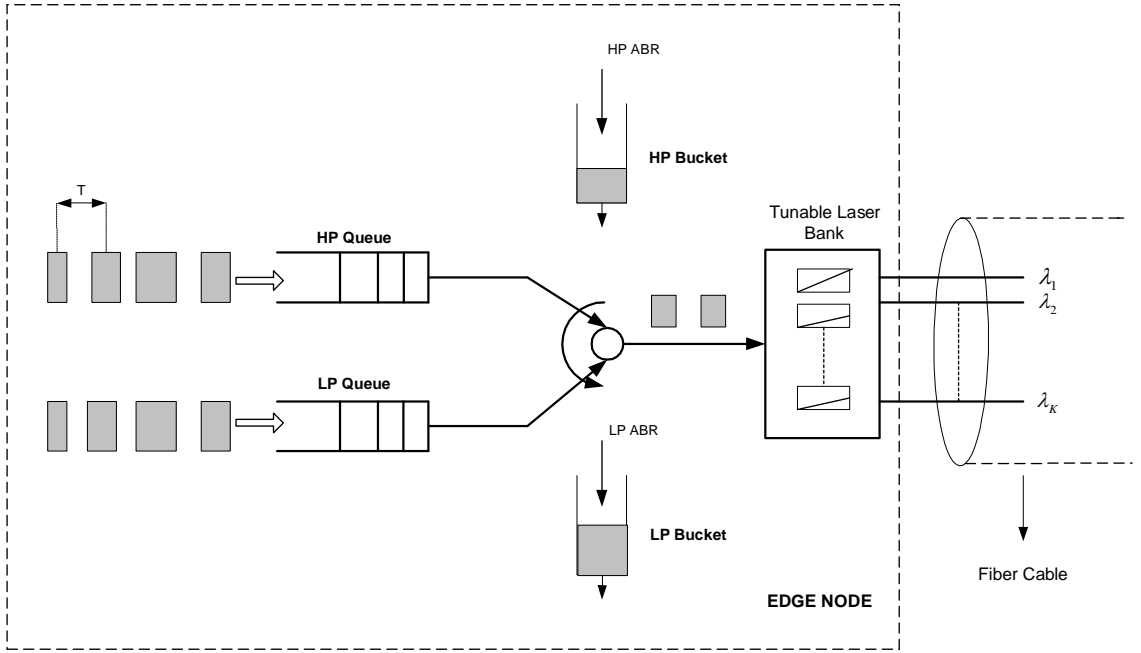


Figure 3.5: The structure of the edge scheduler.

queue pairs while obeying the rate constraints imposed by PBR values that are described in the previous subsection. The ingress node structure is presented in Fig. 3.5 for the special case of a single destination (i.e., single lightpath). In Fig. 3.5, there are two buckets of size  $B$  bytes for HP and LP traffic. The HP (LP) bucket fills with credits at the rate dictated by HP (LP) PBR. Whenever the HP bucket occupancy is at least  $L_b$  bytes ( $L_b$  denotes the length of the burst at the head of the HP queue) then that burst can be transmitted using one of the  $M$  tuneable lasers while draining  $L_b$  bytes from the bucket. If either the HP queue is empty or if there are not enough credits for the HP burst at the head of the HP queue then the LP bucket is checked whether the burst at the head of the LP queue can be transmitted. A similar procedure then applies to LP bursts as for HP bursts. If either there are no waiting bursts or neither of the credits suffices to make a transmission, the edge scheduler goes into a wait state until either a new burst arrival or a sufficient bucket fill. Fig. 3.6 presents the case for multiple destinations. As shown in this figure, there are two queues, namely

the HP queue and the LP queue, for each destination and there is a separate bucket for each queue. Each bucket fills according to the corresponding PBR. There are two round-robin schedulers and one strict-priority scheduler. Round-robin schedulers are used to arbitrate the traffic among all per-egress queue pairs. A strict-priority scheduler is used for making sure that HP traffic is not affected by the load on LP queues. The edge scheduler checks first the HP bursts and transmits them upon credit availability and tries later transmitting the LP bursts. The edge scheduler stops burst transmission if there are not available tunable lasers. If there are available tunable lasers, the edge scheduler stops burst transmission only if either there are not available credits in the buckets for burst transmission or there are available credits in the buckets but there are no bursts in the corresponding queues to transmit. There is a timer for each bucket and when there are not enough credits in a bucket for burst transmission, the corresponding timer is set so that it expires when there are enough credits for burst transmission. When buckets have enough credits or queues have bursts to transmit, the edge scheduler starts to transmit as long as there are available tunable lasers. The pseudo-code for the edge scheduler is given in Figures 3.7 and 3.8. In the code, *HP\_Flow\_Ptr* and *LP\_Flow\_Ptr* variables keep track of last burst transmission to mimic round-robin schedulers. *HP\_Burst\_Size{i}* and *LP\_Burst\_Size{i}* are the burst sizes at the head of the HP queue and the LP queue for the  $i_{th}$  egress nodes, respectively.

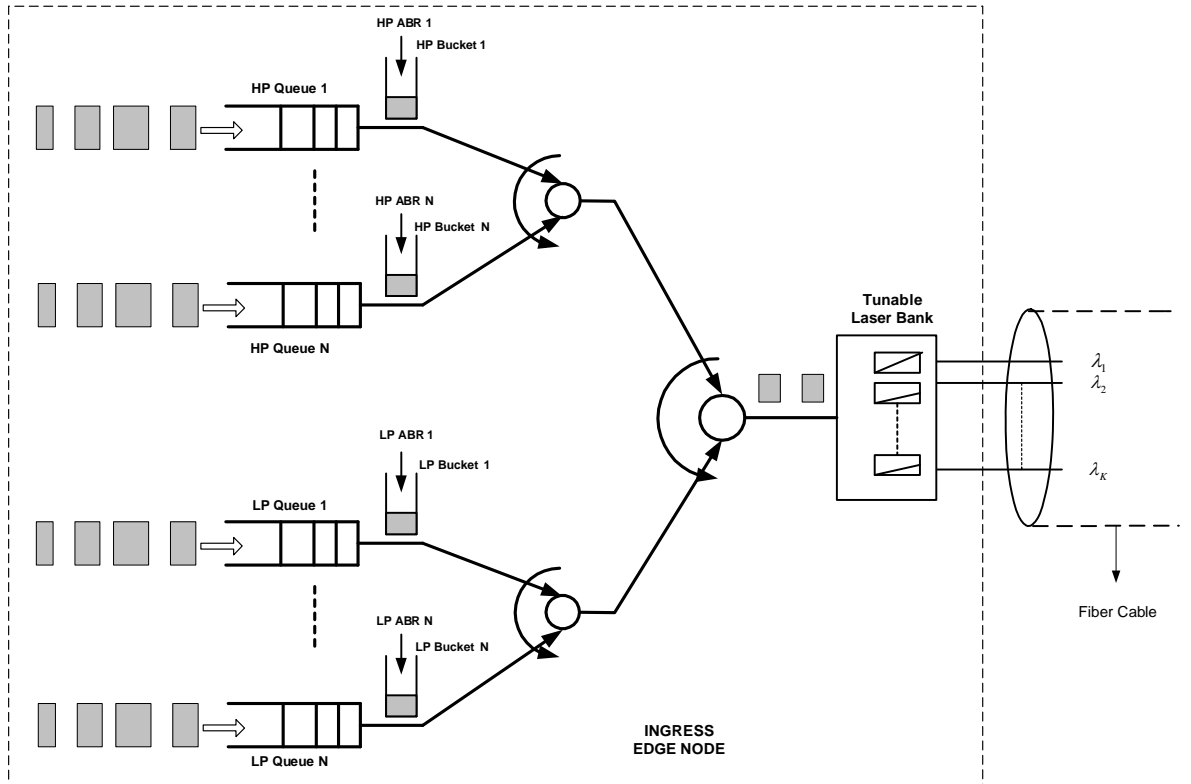


Figure 3.6: The structure of the edge scheduler for multiple destinations.

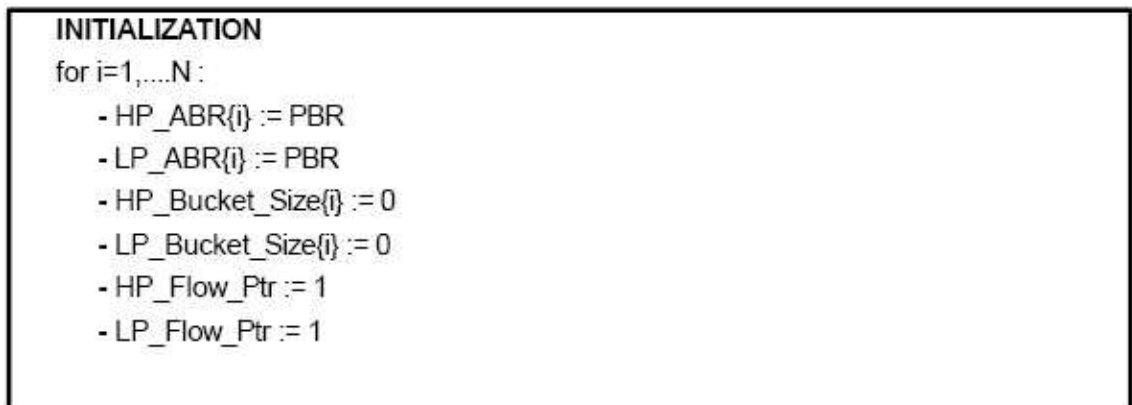


Figure 3.7: Initialization of the edge scheduler algorithm.



### ALGORITHM

1. Update Buckets
3. flag := 1
4. **while** flag = 1 **do**
  5. flag := 0
  6. **for** all of the HP Queues ( in a round-robin way starting from HP\_Flow\_Ptr ) **do**
    7. **if** there are available TLs **then**
      8. **if** HP\_Bucket\_Size{i} >= HP\_Burst\_Size{i} **then**
        9. Choose one of the available wavelengths randomly
        10. Transmit Burst
        11. HP\_Bucket\_Size{i} := HP\_Bucket\_Size{i} - HP\_Burst\_Size{i}
        12. HP\_Flow\_Ptr := Next HP Queue
        13. flag := 1
      14. **else**
        15. Set HP\_Timer{i} := (HP\_Burst\_Size{i} - HP\_Bucket\_Size{i}) / HP\_PBR{i}
    16. **else**
      17. Go to Step 36
  18. Go to Step 4
19. Update Buckets
20. flag := 1
21. **while** flag = 1 **do**
  22. flag := 0
  23. **for** all of the LP Queues ( in a round-robin way starting from LP\_Flow\_Ptr ) **do**
    24. **if** there are available TLs **then**
      25. **if** LP\_Bucket\_Size{i} >= LP\_Burst\_Size{i} **then**
        26. Choose one of the available wavelengths randomly
        27. Transmit Burst
        28. LP\_Bucket\_Size{i} := LP\_Bucket\_Size{i} - LP\_Burst\_Size{i}
        29. LP\_Flow\_Ptr := Next LP Queue
        30. flag := 1
      31. **else**
        32. Set LP\_Timer{i} := (LP\_Burst\_Size{i} - LP\_Bucket\_Size{i}) / LP\_PBR{i}
    33. **else**
      34. Go to Step 36
  35. Go to Step 21
36. Wait Until one of the following events happens :
  - A timer expires
  - A Burst arrives to an empty queue
  - One of the TLs becomes available when all of them are in use
37. Go to Step 1

Figure 3.8: Edge scheduler algorithm.

# Chapter 4

## Numerical Results

We have used an event-based simulator in our simulations. The simulator is developed by using the C++ programming language. The simulator allows us to define the simulation topology and the traffic demand matrix which are input by using text files. In Section 4.1, we use a one switch topology for proof of concept purposes. In Section 4.2, we repeat the simulations for the General Fairness Configuration-1 (GFC-1) topology, which is used by the ATM forum [38] to test the fairness of the algorithm. By using this topology, we show that the proposed algorithm works well also for more complex topologies than the one used in Section 4.1. Finally, in Section 4.3, we use a two-switch topology to compare the performance of the proposed protocol with the performance of the conventional that does not use any flow control.

### 4.1 One-Switch Topology

We study the effectiveness of the proposed D-ABR protocol in the simulation topology depicted in Fig. 4.1. All the links are assumed to have the same propagation delay  $D$ . In this study, there are 25 ingress nodes and one single egress

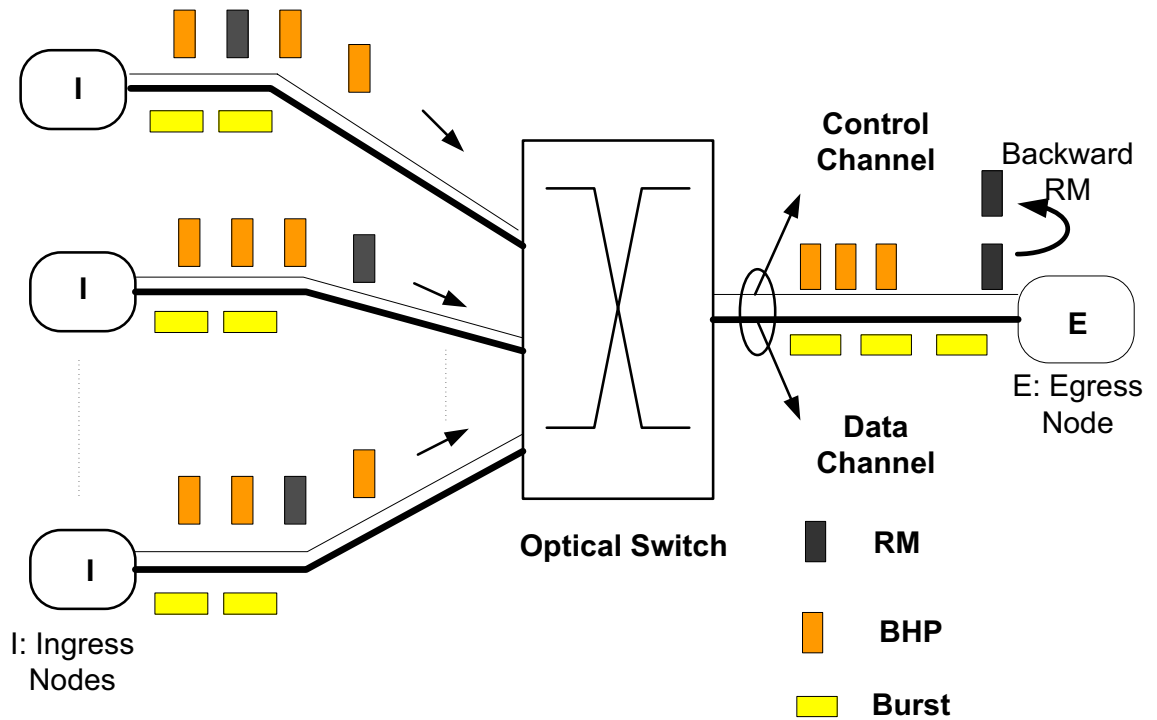


Figure 4.1: One switch simulation topology.

node, thus representing an OBS multiplexing system. Each of the fibers has  $K = 100$  wavelength channels. The capacity  $p$  of each channel is assumed to be  $10 \text{ Gbps}$ . The burst length is exponentially distributed with mean  $20 \text{ Kbytes}$ . We set all the bucket sizes to  $B = 2 \text{ Mbytes}$  and all the HP and LP queues maintained at the ingress nodes are assumed to have infinite storage capacity. The RM cells are sent every  $T = T_a$  seconds. The RIF is set to  $1/16$ . Each of the ingress nodes is connected to one single OBS core node using  $M = 4$  tuneable lasers. Sources are classified into 5 classes, each comprising 5 ingress nodes where the HP and LP Poisson burst arrival rates are the same within a class. We also vary the traffic demands in bps in time based on Table 4.1.

For comparison purposes, we tested four different scenarios, which are described in Table 4.2. In the scenarios A and B, we use  $EC = 700 \text{ Gbps}$  which is separately shown to ensure  $P_{loss} \approx 3.2 \cdot 10^{-5}$  by off-line simulations for an optical link with 15 FDLs and 20 TWCs (see Fig. 4.2). In scenario C, we use target

	$0 \leq t < 150s$		$150s \leq t < 300s$		$300s \leq t < 450s$	
	HP rate (Gbps)	LP rate (Gbps)	HP rate (Gbps)	LP rate (Gbps)	HP rate (Gbps)	LP rate (Gbps)
Class 1	35	20	35	20	15	20
Class 2	15	5	20	5	20	5
Class 3	18	0	35	0	25	0
Class 4	12	30	12	30	10	30
Class 5	0	25	0	25	0	25

Table 4.1: The burst rates for HP and LP traffic for each of the five classes.

	Scenario			
	A	B	C	D
D (ms)	2	20	2	2
$T_a$ (s)	0.1	1	0.1	0.1
$W$ (# converters)	20	20	20	50
$L$ (# FDLs)	15	15	15	0
EC (Gbps)	700	700	700*0.95	500

Table 4.2: The simulation Scenarios A, B, C, and D.

utilization 0.95 so that we set  $EC = 700 * 0.95$  to further reduce burst losses. We finally use  $EC = 500$  Gbps in the final scenario D (i.e., no FDLs) and this choice of EC yields  $P_{loss} \approx 1.8 \cdot 10^{-4}$  (obtained by the numerical algorithm in [5]) as shown in Fig. 4.3.

First we study the total number of bursts (HP or LP) dropped in time  $(0, t)$  for the four scenarios A-D in Fig. 4.4. The best performance in terms of dropping rate is achieved with Scenario C but at the expense of reduction in throughput since the EC of the OBS node is set such that the load on the node is less. The burst drop rate is generally constant in all the scenarios except for  $t = 150s$  when there is an abrupt increase in the overall traffic demand. This change is followed by a substantial number of blocked bursts and the blocking performance immediately improves once the D-ABR protocol reaches the steady-state. Since the traffic demand decreases at  $t = 300s$  we do not see any additional burst drops due to traffic change at this instant. We monitor  $P_{loss}$  in the interval  $160s \leq t \leq 450s$  (i.e., in the steady-state) and these blocking probabilities are also shown on Fig. 4.4. The steady-state measured burst blocking probabilities

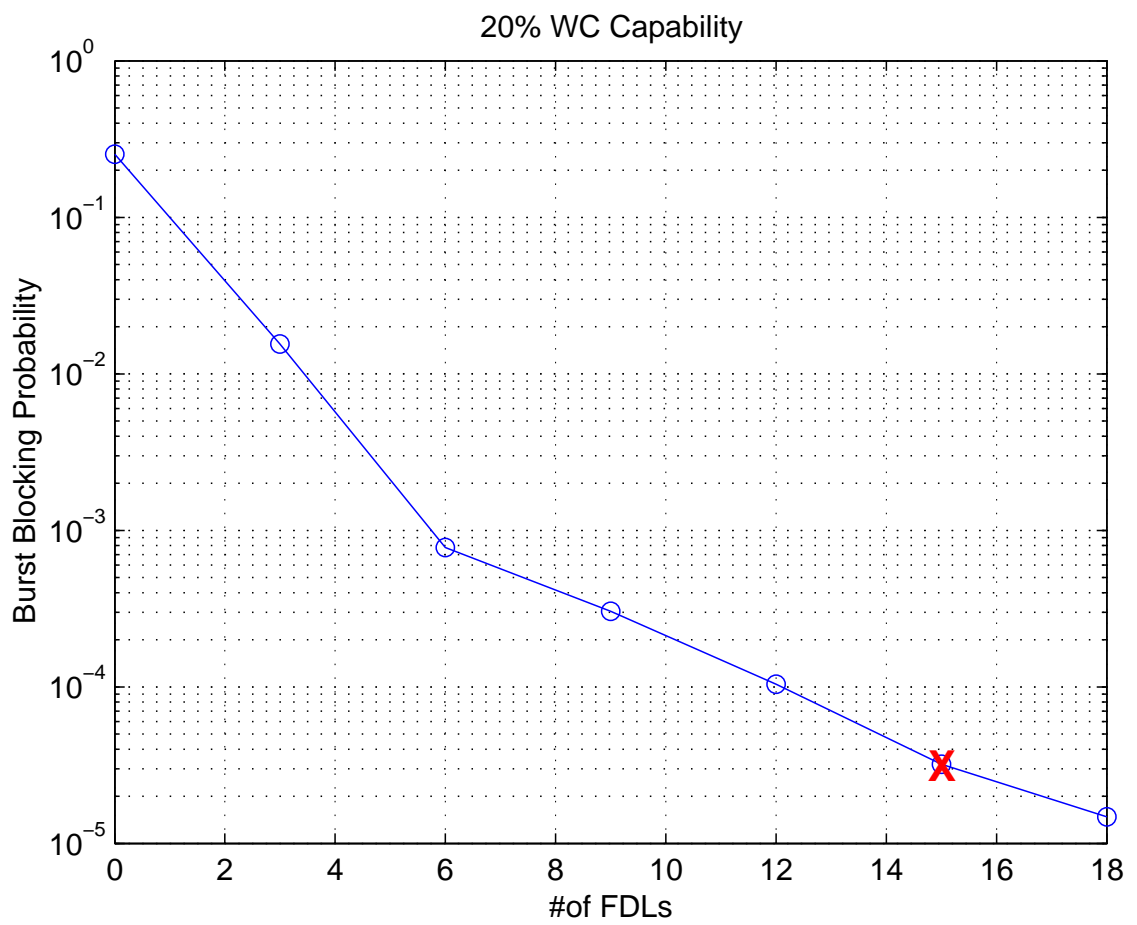


Figure 4.2: Offline simulation results for 20 % WC capability and 70 % link utilization.

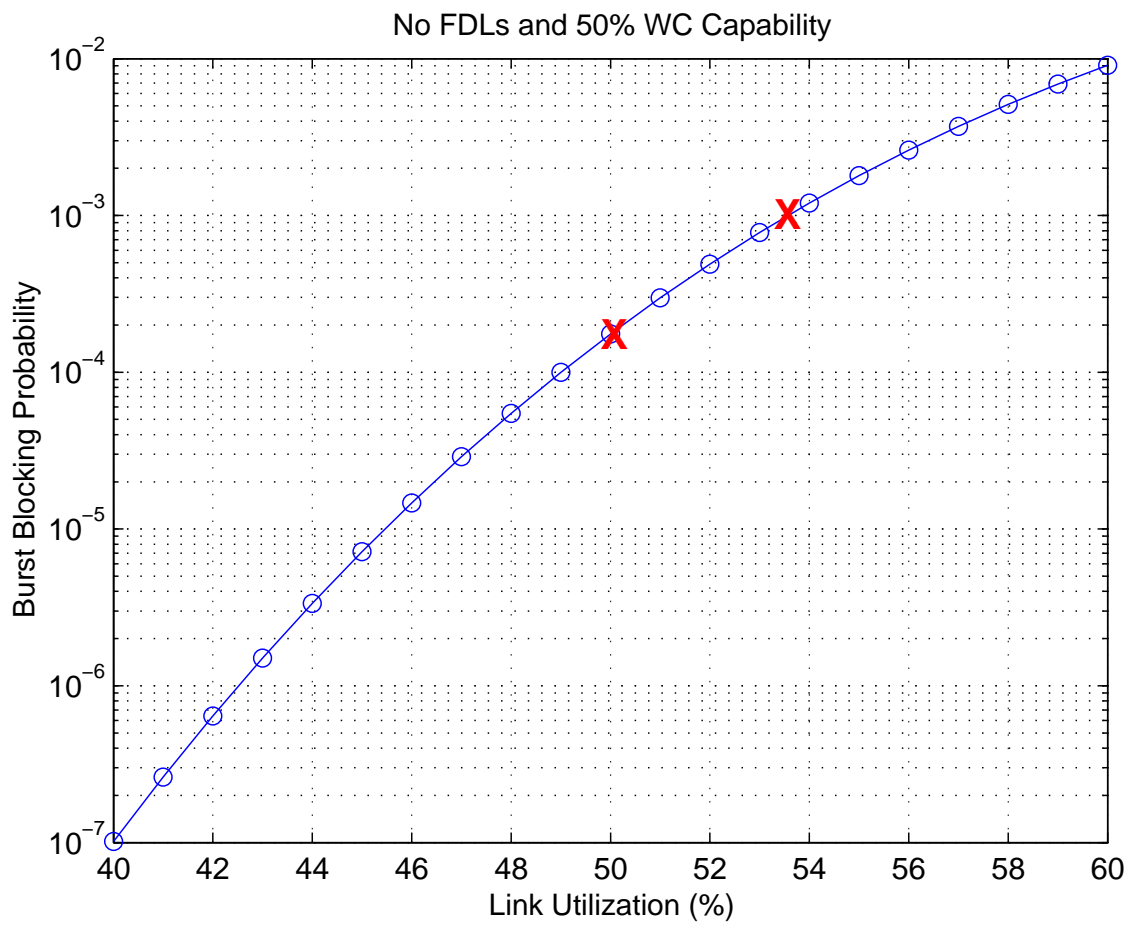


Figure 4.3: Results obtained by using numerical algorithm in [5] for no FDL case.

in Scenarios A and B ( $P_{loss} = 8.4 \cdot 10^{-6}$  and  $7.9 \cdot 10^{-6}$ , respectively) are less than the desired blocking probability the EC was set for (i.e., we recall desired  $P_{loss} \approx 3.2 \cdot 10^{-5}$ ). Similar results also hold for Scenario D. The provisioned burst blocking probability was obtained using the Poisson arrival assumption but with the D-ABR burst shaping protocol the burst arrival process becomes more regular than Poisson thus reducing the Coefficient of Variation (CoV) of the arrival process. Such a reduced CoV has an improving effect on burst blocking performance [5] and therefore the results are as expected. In this sense, the provisioned QoS under the Poisson assumption provides a lower bound on the measured steady-state blocking performance. However, there may be some situations where the input traffic rates are very bursty and the above argument does not work.

Moreover, Scenarios A and B differ from each other in the link delay value which does not seem to have much of an impact on the steady-state blocking probability. However, the D-ABR algorithm performance at the instant of abrupt changes (i.e.,  $t = 150s$  or  $t = 300s$ ) is significantly better for Scenario A than B; note the number of burst drops that take place at  $t = 150s$  for these scenarios. The settling time is defined as the time it takes to reach a steady state in control systems terminology. The RTT (Round Trip Time) is the time delay of the system, which increases also the settling time of the control system. The RTT in Scenario A is much less than that of Scenario B, which explains the difference in the transient response of these two scenarios. As an example, the effective bit rate of LP traffic for Class 4 is depicted before and after  $t = 300s$  in Fig. 4.5. Scenario A which has a smaller RTT and therefore a smaller ERICA averaging interval  $T_a$  reaches the steady-state much faster than Scenario B.

We also study the service differentiation aspect below. The HP and LP smoothed throughputs are depicted in Fig. 4.6 for Scenario D for which the blue (red) line is used for denoting HP (LP) throughput. The results demonstrate that the effective capacity of the optical link at the OBS node is distributed

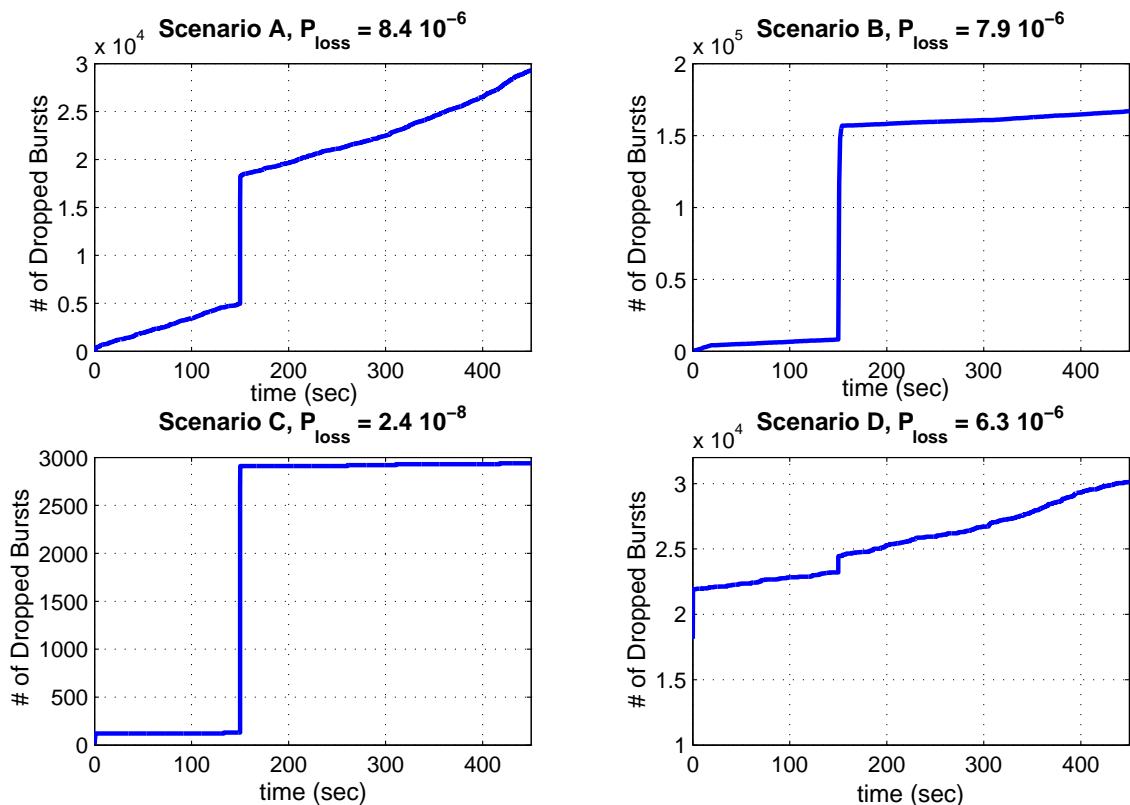


Figure 4.4: Total number of dropped bursts at the OBS node in time  $(0, t)$  for the Scenarios A-D.

using prioritized max-min fair share; we refer to [18] for a max-min fair share calculation algorithm. To show this, we focus on the time interval  $0s \leq t < 150s$  as an example. In this time interval, the aggregate HP demand is  $400 Gbps < EC$ , therefore the max-min share vector for HP traffic is  $(35, 15, 18, 12, 0)$  where the  $i_{th}$  entry of this vector represents the HP throughput of the  $i$  th class light-paths. If the remaining capacity  $EC - 400 Gbps = 100 Gbps$  is allocated to LP traffic on a max-min fair share-basis, then the max-min fair share vector for LP traffic is found to be  $(5, 5, 0, 5, 5)$ . Fig. 4.6 shows that the max-min fair shares are attainable using the distributed D- ABR protocol proposed in this thesis. One can show that this argument is valid for the other time intervals as well. Figures 4.7, 4.8, and 4.9 demonstrate the results for Scenarios A, B, and C respectively. Again from results we see that D-ABR protocol allocates the available bandwidth between contending sources fairly.



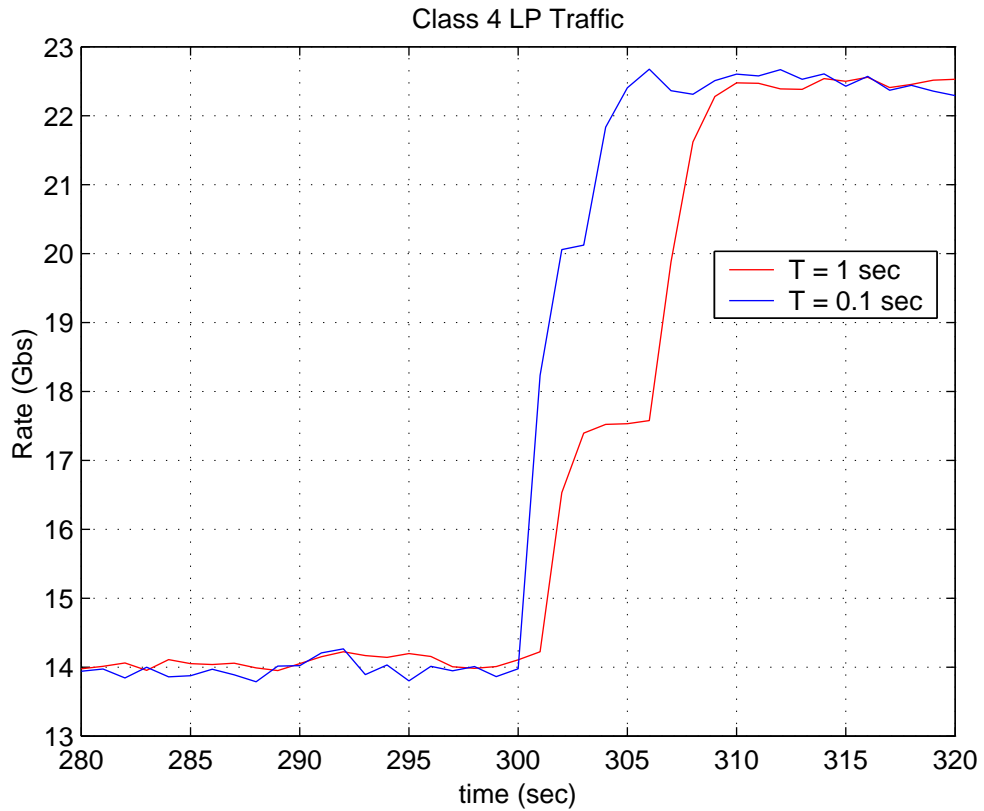


Figure 4.5: The transient response of the system upon the traffic demand change at  $t = 300$ s in terms of the throughput of class 4 LP traffic.

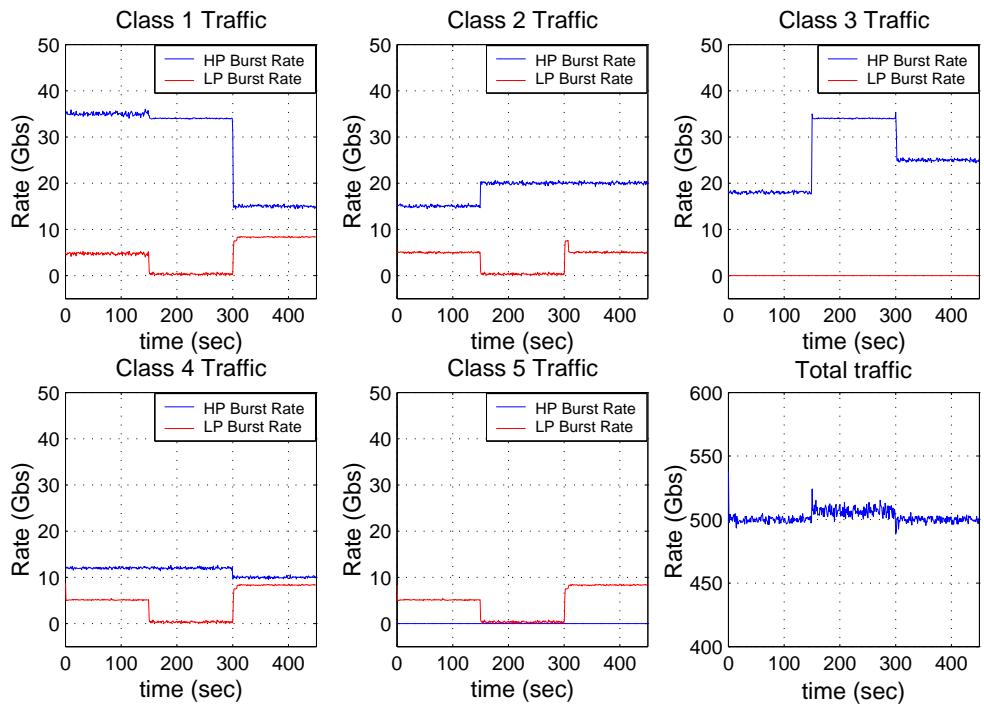


Figure 4.6: The HP and LP smoothed throughputs for Scenario D. Blue (red) line denotes HP (LP) throughputs.

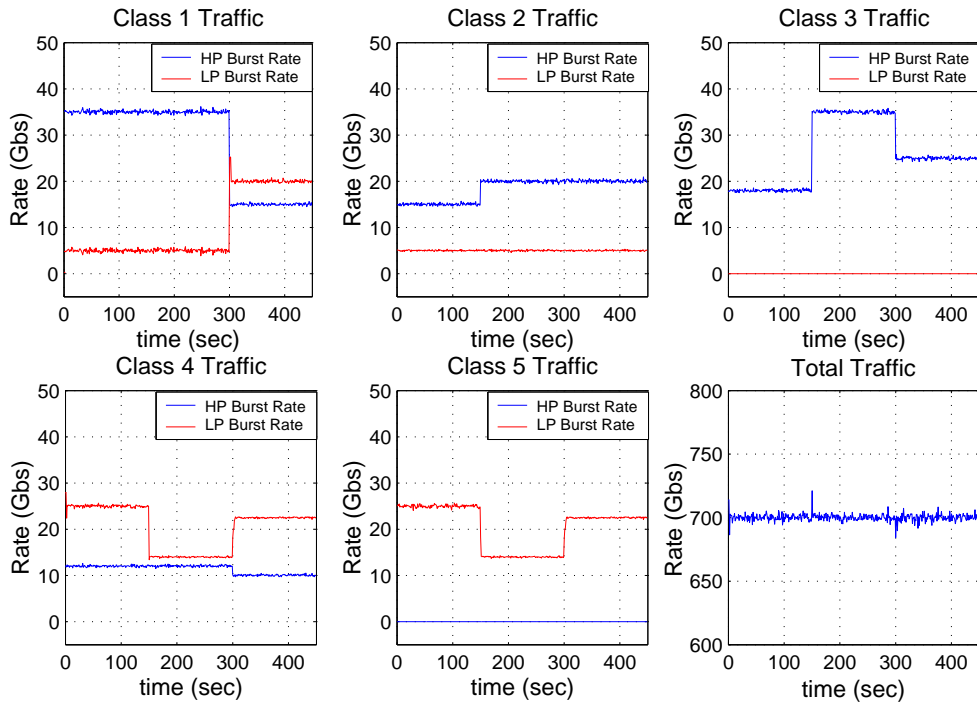


Figure 4.7: The HP and LP smoothed throughputs for Scenario A. Blue (red) line denotes HP (LP) throughputs.

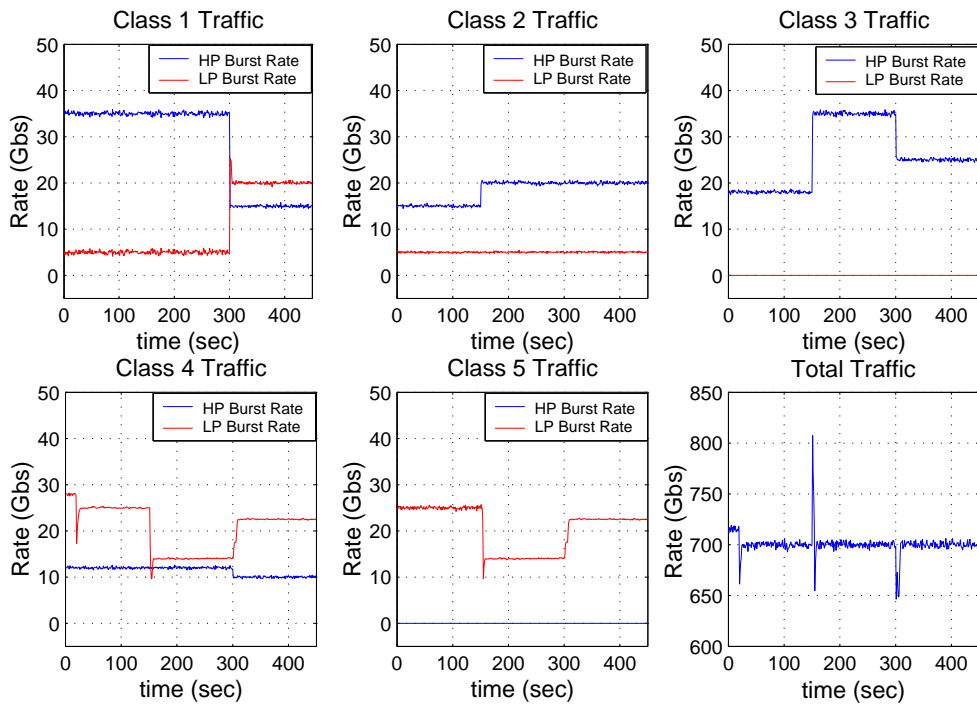


Figure 4.8: The HP and LP smoothed throughputs for Scenario B. Blue (red) line denotes HP (LP) throughputs.

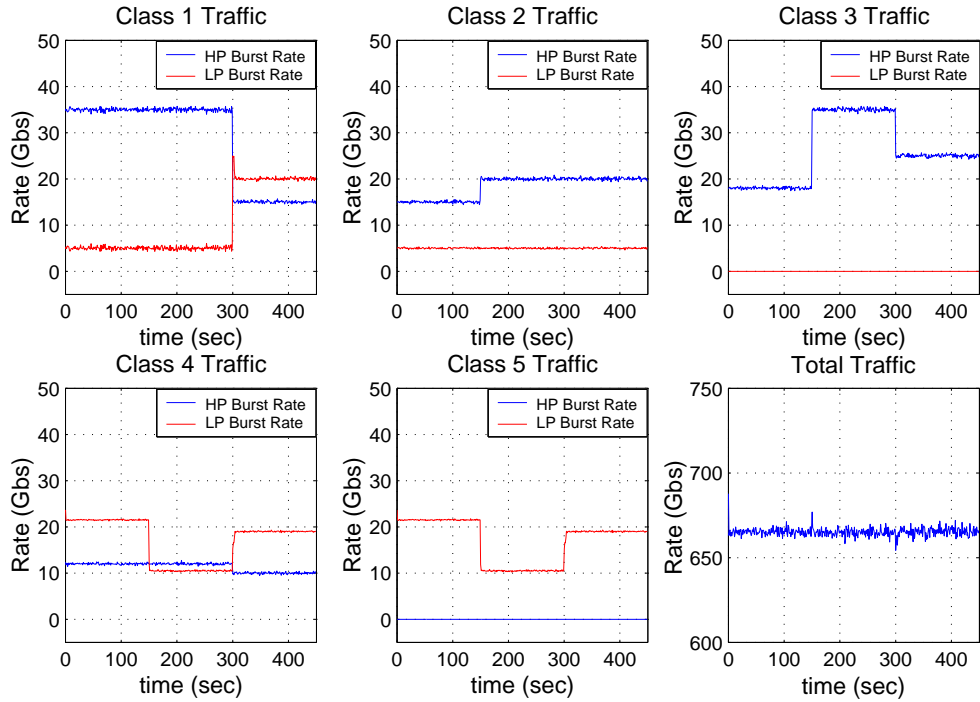


Figure 4.9: The HP and LP smoothed throughputs for Scenario C. Blue (red) line denotes HP (LP) throughputs.

## 4.2 General Fairness Configuration - 1

In this part we have used the General Fairness Configuration 1 (GFC-1) topology used by ATM forum [38]. GFC-1, shown in Fig. 4.10, is a five-switch parking lot configuration with multiple bottlenecks and used to test the max-min fairness of the algorithm. We have chosen this network configuration to show that our proposed algorithm also works in a more general network than the one used in the previous section. In this network configuration, there are 6 sources with multiple flows: A, B, C with three flows, D and E with 6 flows and finally F with 2 flows. We define a flow as the traffic between an ingress node and an egress node. In simulations, each flow contains two classes of traffic, namely HP and LP traffic. By using multiple flows instead of using separate sources for each flow as in usual GFC-1, we attempt to validate the proposed edge scheduler for multiple destinations.

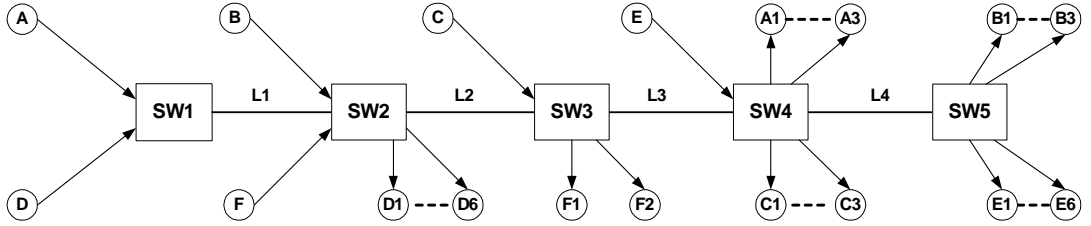


Figure 4.10: General Fairness Configuration (GFC) 1 simulation topology.

All links in the given topology have the same delay  $D = 2 \text{ msec}$ . Each of the fibers has  $K = 100$  wavelength channels. The capacity  $p$  of each channel is assumed to be 9.32 Gbps. The burst length is exponentially distributed with mean 20 Kbytes. The burst inter-arrival times are also exponentially distributed. We set all the bucket sizes to  $B = 2 \text{ Mbytes}$  and all the HP and LP queues maintained at the ingress nodes are assumed to have infinite storage capacity as in the previous example. The RM cells are sent every  $T = T_a$  seconds. The RIF is set to 1/16. Each of the ingress nodes is connected to one single OBS core node using  $M = 100$  tuneable lasers which enables the sources to use full capacity of their link. The parameters used in simulations are summarized in Table 4.3. The target link utilization for a burst blocking probability of  $10^{-3}$  is obtained by the numerical algorithm in [5] as 0.536 as shown in Fig. 4.3. From now on, we use ERICA algorithm with per-VC CBR measurement option in the simulations. We have performed two simulations by using two different traffic demand matrices for the above topology, which are given in Tables 4.5 and 4.6. The flows belonging to the same source have identical traffic rates and entries in the tables show the traffic rates of the flows belonging to the same source. Table 4.4 shows the total HP traffic demands and the remaining LP capacities on  $L1, \dots, L4$  for either of the traffic demand matrices.

Averaging interval, $T_a$	0.001 <i>sec</i>
RM inter-arrival time, $T_{RM}$	0.001 <i>sec</i>
# of WCs	50
# of FDLs	0
# of wavelengths per fiber	100
Bandwidth of each wavelength	9.32 <i>Gbps</i>
Target utilization	0.536
Effective capacity of a link	500 <i>Gbps</i>
Target burst blocking probability	$10^{-3}$
Rate Increase Factor (RIF)	1/16
# of tunable lasers in an edge node, $M$	100
Bucket size, $B$	250 <i>Kbytes</i>
HP queue size	$\infty$
LP queue size	$\infty$

Table 4.3: Simulation Parameters for GFC-1.

Link ID	Simulation 1		Simulation 2	
	HP Demand ( <i>Gbps</i> )	Remaining LP Capacity ( <i>Gbps</i> )	HP Demand ( <i>Gbps</i> )	Remaining LP Capacity ( <i>Gbps</i> )
L1	400	100	200	300
L2	200	300	300	200
L3	200	300	300	200
L4	300	200	200	300

Table 4.4: Total HP Traffic demands and remaining LP capacities on links 1,2,3 and 4.

In both cases the bandwidth is first allocated, in a distributed way of course, to HP traffic and remaining capacity is shared among LP flows by using max-min fairness. In Simulation 1,  $L1$  is a bottleneck for LP flows belonging to sources A and D,  $L4$  is a bottleneck for LP flows belonging to sources B and E. The LP flows belonging to sources A and D are allowed to transmit at a rate of 11.11 *Gbps* whereas the LP flows belonging to sources B and E are allowed to transmit at a rate of 22.22 *Gbps* as given in Table 4.8. The remaining LP capacity from A and B flows on  $L2$  is shared fairly between source F flows and the remaining LP capacity from A and B on  $L3$  is shared fairly among LP flows belonging to source C. In Simulation 2, there is a single bottleneck,

Flow	$0 \leq t(sec) \leq 6$	
	HP Traffic Demand ( Gbps)	LP Traffic Demand ( Gbps)
A (x 3)	25	50
B (x 3)	16.67	50
C (x 3)	25	100
D (x 6)	54.17	50
E (x 6)	41.67	50
F (x 2)	37.50	125

Table 4.5: Traffic demand matrix for simulation 1.

Flow	$0 \leq t(sec) \leq 6$	
	HP Traffic Demand ( Gbps)	LP Traffic Demand ( Gbps)
A (x 3)	25	50
B (x 3)	16.67	50
C (x 3)	58.33	100
D (x 6)	20.83	50
E (x 6)	25	50
F (x 2)	87.50	125

Table 4.6: Traffic demand matrix for simulation 2.

$L3$ , which is the bottleneck link of A and B LP flows. The remaining capacity from A and B LP flows is shared between contending flows fairly. Table 4.7 shows the max-min fair share rates of HP flows and simulation results for both simulations 1 and 2. We observe that simulation results are consistent with the ideal max-min fair share rates. Similarly, Table 4.8 shows the simulation results and ideal max-min fair share rates of LP flows for simulations 1 and 2. Figures 4.11 and 4.12 show the HP and LP smoothed throughputs as a function of time for Simulation 1 and Simulation 2 respectively. Simulation results demonstrate that our proposed algorithm achieves differentiation among HP and LP traffic, and distributes the remaining capacity from HP traffic on a max-min fair share basis among LP flows. Furthermore, the proposed edge scheduler operates successfully for multiple destinations as conjectured. Finally,

Flow	Simulation 1		Simulation 2	
	Ideal max-min Fair Share ( <i>Gbps</i> )	Simulation Results ( <i>Gbps</i> )	Ideal max-min Fair Share ( <i>Gbps</i> )	Simulation Results ( <i>Gbps</i> )
A (x 3)	25	25.10	25	25.05
B (x 3)	16.67	16.68	16.67	16.61
C (x 3)	25	25.01	58.33	58.24
D (x 6)	54.17	54.25	20.83	20.83
E (x 6)	41.67	41.56	25	24.92
F (x 2)	37.50	37.39	87.50	87.50

Table 4.7: HP traffic max-min fair shares.

Flow	Simulation 1		Simulation 2	
	Ideal max-min Fair Share ( <i>Gbps</i> )	Simulation Results ( <i>Gbps</i> )	Ideal max-min Fair Share ( <i>Gbps</i> )	Simulation Results ( <i>Gbps</i> )
A (x 3)	11.11	11.47	22.22	22.41
B (x 3)	22.22	23.02	22.22	22.44
C (x 3)	66.67	65.85	22.22	22.77
D (x 6)	11.11	11.29	38.89	39.08
E (x 6)	22.22	22.47	38.89	38.23
F (x 2)	100.00	98.64	33.33	32.85

Table 4.8: LP traffic max-min fair shares.

Table 4.9 shows the bursts blocking probabilities on links 1, . . . , 4. We see that the steady-state measured burst blocking probabilities in both simulations and on all links are less than the desired blocking probability the EC was set for (i.e., we recall desired  $P_{loss} \approx 10^{-3}$ ).

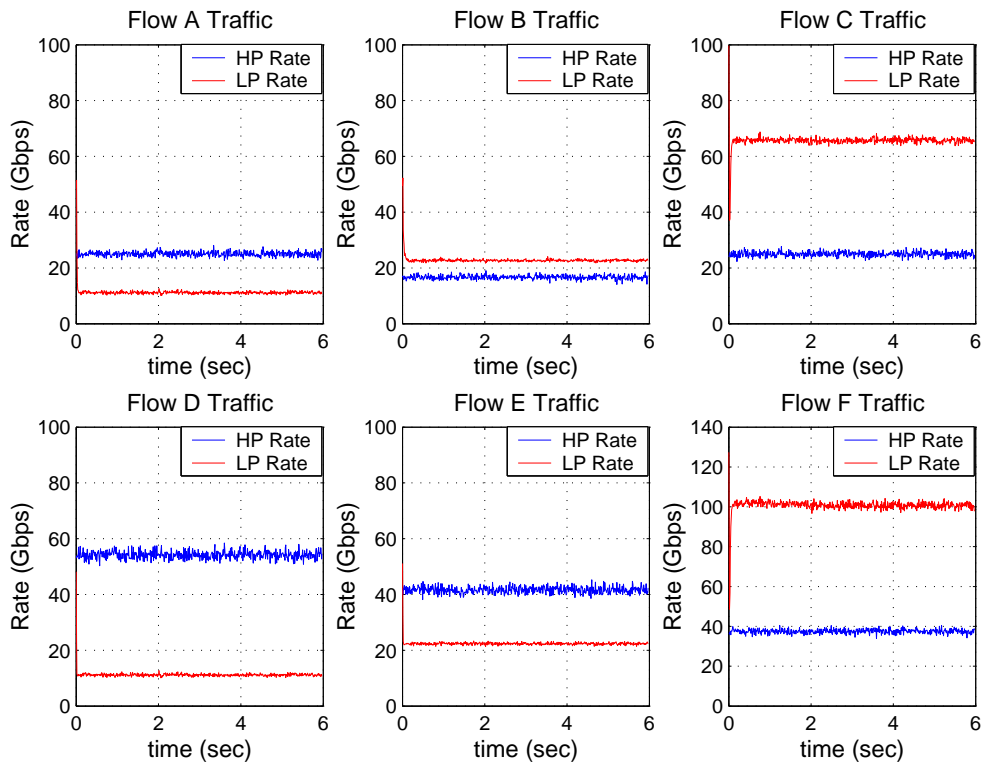


Figure 4.11: HP and LP smoothed throughputs for simulation 1.

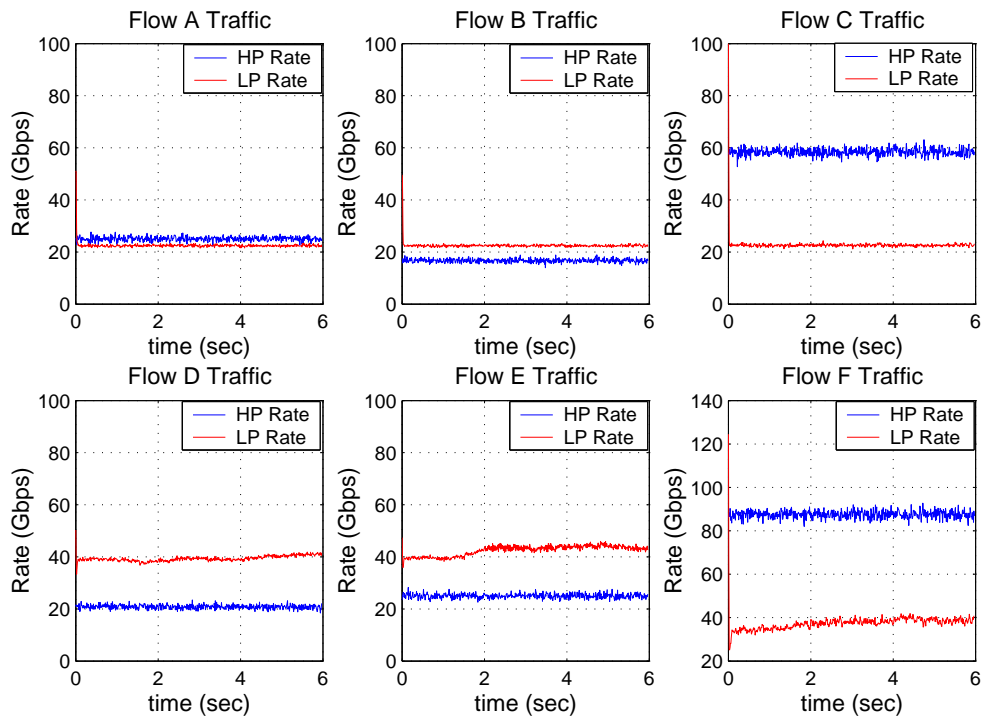


Figure 4.12: HP and LP burst smoothed throughputs for simulation 2.



Link	Burst Blocking Probability	
	Simulation 1	Simulation 2
<i>L1</i>	$4.77 \cdot 10^{-6}$	$2.73 \cdot 10^{-6}$
<i>L2</i>	$8.19 \cdot 10^{-5}$	$2.06 \cdot 10^{-4}$
<i>L3</i>	$1.84 \cdot 10^{-4}$	$4.51 \cdot 10^{-4}$
<i>L4</i>	$6.67 \cdot 10^{-6}$	$3.32 \cdot 10^{-6}$

Table 4.9: Link burst blocking probabilities.

### 4.3 Two Switch Topology

In this section, we have used the two-switch topology given in Fig. 4.13 in our simulations. The link between S1 and S2, i.e. L1, is the bottleneck link of the network. Both E1 and E2 have two flows, one is destined for E3 and the other is destined for E4. All links in the above topology have the same delay  $D = 2msec$ . Each of the fibers has  $K = 100$  wavelength channels. The capacity  $p$  of each channel is assumed to be 2.5 Gbps. The burst length is exponentially distributed with mean 20 Kbytes. The parameters used in the simulation are summarized in Table 4.10. For this numerical example, queue lengths are finite and burst drops at the electronic queues are also taken into account. All flows have identical HP and LP rates. For HP bursts Poisson arrivals are assumed and for LP bursts inter-arrival times between bursts are based on a two-state MMPP (Markov Modulated Poisson Process) chain as shown in Fig. 4.14. HP traffic rate is chosen as 8.5 Gbps for each flow so that the total HP traffic demand on L1 is 34 Gbps. The remaining capacity for LP traffic, 100 Gbps, is shared between LP flows fairly, i.e.  $\lambda_{FS} = 25 Gbps$  for each flow. In the “low” state of the MMPP chain the burst rate,  $\lambda_L$ , is equal to  $\lambda_{FS} - \Delta\lambda$  and in the “high” state burst rate,  $\lambda_H$ , is equal to  $\lambda_{FS} + \Delta\lambda$ . The time interval that a source remains in each state is deterministic and denoted by  $T$ . We have used deterministic waiting times so that all the sources increase their rates at the same time making L1 heavily

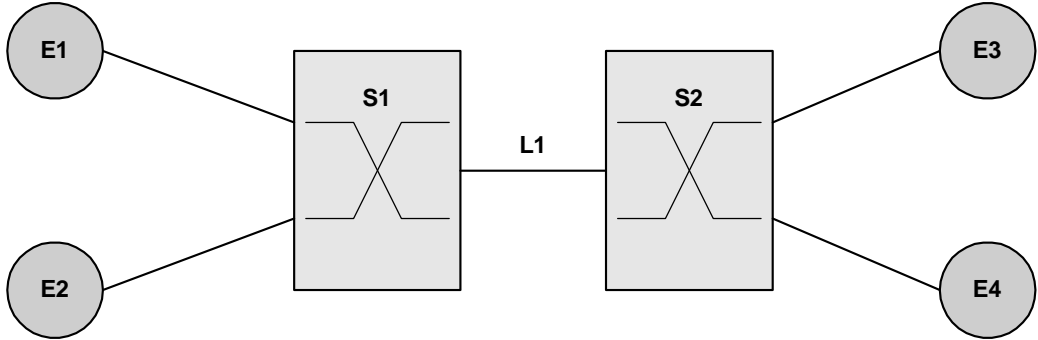


Figure 4.13: Two switch topology used in simulations.

congested. By this way, we can analyze the effect of  $\Delta\lambda$  on the performance of proposed algorithm more easily. Fig. 4.15 presents the traffic rate change for LP flows. We have chosen  $T$  as  $100\text{ msec}$  and performed simulations for different values of  $\Delta\lambda$ . We have also performed simulations for the no flow-control case where the edge nodes send the bursts to the core network whenever a burst is formed. We compare the simulation results for OBS with flow control and without flow control. Tables 4.11 and 4.12 show the LP burst blocking rates at the edge node, at the core network and the total burst blocking rates for flow controlled OBS and OBS without flow control respectively. Similarly, Tables 4.13 and 4.14 present the HP burst blocking probabilities.

Fig. 4.16 demonstrates the overall gain of flow controlled OBS over OBS without flow control in terms of burst blocking probabilities. As shown in the figure, the gain first increases with increasing  $\Delta\lambda$  and makes a peak at  $\Delta\lambda = 7.5\text{ Gbps}$  and then decreases approximately to 1 with further increase in  $\Delta\lambda$ . For  $\Delta\lambda < 10\text{ Gbps}$  the queue does not fill completely and we see that there is no burst drop at the queues. For  $\Delta\lambda \geq 10\text{ Gbps}$ , queues start to fill and burst drops occur at the queues. This explains why the overall gain decreases for  $\Delta\lambda \geq 10\text{ Gbps}$ . By increasing buffer sizes in the edge node, we can decrease the burst blocking probability at the expense of increasing end-to-end delays. Fig. 4.17 shows the LP burst blocking probability gain achieved at the core network. The burst

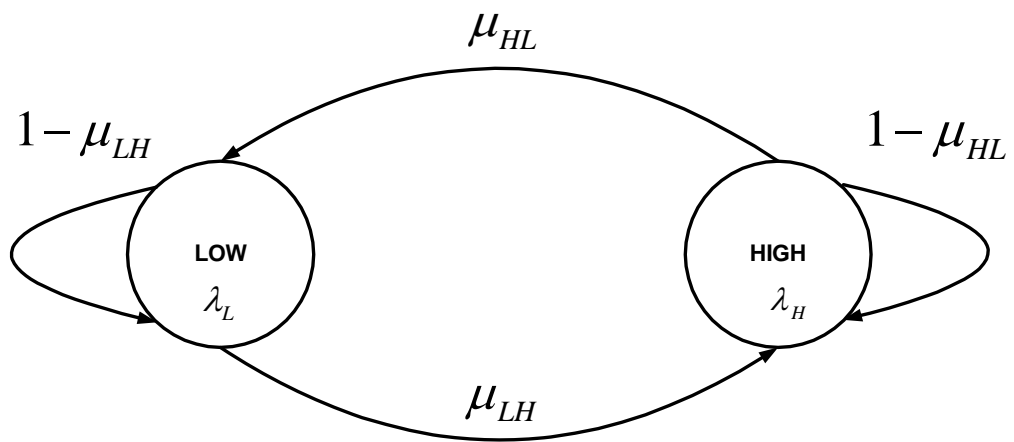


Figure 4.14: Two-state Markov Chain traffic model.

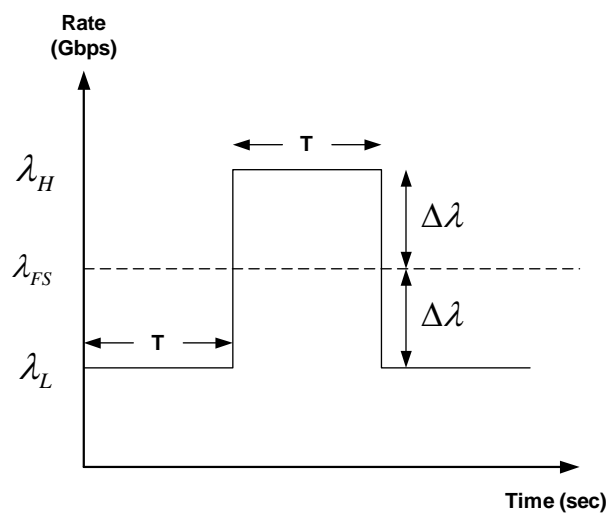


Figure 4.15: LP throughput for 2-switch topology

Averaging interval, $T_a$	0.001 <i>sec</i>
RM inter-arrival time, $T_{RM}$	0.001 <i>sec</i>
# of WCs	50
# of FDLs	0
# of wavelengths per fiber	100
Bandwidth of each wavelength	2.5 <i>Gbps</i>
Target utilization	0.536
Effective capacity of a link	134 <i>Gbps</i>
Target burst blocking probability	$10^{-3}$
Rate Increase Factor (RIF)	1/16
# of tunable lasers in an edge node, $M$	100
Bucket size, $B$	250 <i>Kbytes</i>
HP queue size	125 <i>Mbytes</i>
LP queue size	125 <i>Mbytes</i>

Table 4.10: Simulation parameters for 2-switch topology.

blocking probability at the core network is less than the target burst blocking probability ( $10^{-3}$ ) except for the worst case as presented in Table 4.11.

The results for HP flows demonstrate that the blocking probability of HP bursts increases with increasing  $\Delta\lambda$  but the HP burst blocking probability of OBS with flow control is 100 times better than the HP burst blocking probability of OBS without flow control for  $\Delta\lambda = 10$  *Gbps* and it is 18 times better for the worst case where  $\Delta\lambda = 25$  *Gbps*. Fig. 4.19 provides the total gain achieved in terms of burst blocking probability as a function of  $\Delta\lambda$ . Figures 4.20 and 4.21 show the HP and LP burst blocking probabilities as a function of  $\Delta\lambda$  for OBS with flow control and OBS without flow control respectively. We see that our proposed protocol provides service differentiation between HP and LP classes successfully when the network is heavily congested at the same time guaranteeing a certain QoS for HP bursts for most of the time. In Fig. 4.20, there is a reduction in the burst blocking probability for  $\Delta\lambda = 5$  *Gbps*. This may be explained with the reduction in the CoV of the burst arrival process [5]. When  $\Delta\lambda = 0$ , the queues are empty for most of the time as presented in Fig. 4.22 and the bursts are sent towards the network with little shaping. When  $\Delta\lambda = 5$  *Gbps*, LP bursts

$\Delta\lambda$ Gbps	LP Burst Blocking Rates for OBS with Flow Control		
	at the edge node	at core network	Total
0	0	$8.19 \cdot 10^{-5}$	$8.19 \cdot 10^{-5}$
5	0	$4.16 \cdot 10^{-5}$	$4.16 \cdot 10^{-5}$
7.5	0	$5.89 \cdot 10^{-5}$	$5.89 \cdot 10^{-5}$
10	$9.81 \cdot 10^{-4}$	$1.37 \cdot 10^{-4}$	$1.12 \cdot 10^{-3}$
12.5	$2.43 \cdot 10^{-2}$	$2.84 \cdot 10^{-4}$	$2.45 \cdot 10^{-2}$
15	$5.19 \cdot 10^{-2}$	$3.74 \cdot 10^{-4}$	$5.23 \cdot 10^{-2}$
25	0.15	$9.26 \cdot 10^{-3}$	0.16

Table 4.11: LP burst blocking probabilities for flow controlled OBS.

$\Delta\lambda$ Gbps	LP Burst Blocking Rates for OBS without Flow Control		
	at the edge node	at core network	Total
0	0	$7.74 \cdot 10^{-5}$	$7.74 \cdot 10^{-5}$
5	0	$1.72 \cdot 10^{-3}$	$1.72 \cdot 10^{-3}$
7.5	0	$6.00 \cdot 10^{-3}$	$6.00 \cdot 10^{-3}$
10	0	$1.52 \cdot 10^{-2}$	$1.52 \cdot 10^{-2}$
12.5	0	$3.01 \cdot 10^{-2}$	$3.01 \cdot 10^{-2}$
15	0	$5.07 \cdot 10^{-2}$	$5.07 \cdot 10^{-2}$
25	0	0.16	0.16

Table 4.12: LP burst blocking probabilities for OBS without flow control.

$\Delta\lambda$ Gbps	HP Burst Blocking Rates for OBS with Flow Control		
	at the edge node	at core network	Total
0	0	$7.32 \cdot 10^{-5}$	$7.32 \cdot 10^{-5}$
5	0	$1.51 \cdot 10^{-5}$	$1.51 \cdot 10^{-5}$
7.5	0	$4.52 \cdot 10^{-5}$	$4.52 \cdot 10^{-5}$
10	0	$1.02 \cdot 10^{-4}$	$1.02 \cdot 10^{-4}$
12.5	0	$2.06 \cdot 10^{-4}$	$2.06 \cdot 10^{-4}$
15	0	$1.10 \cdot 10^{-3}$	$1.10 \cdot 10^{-3}$
25	0	$4.64 \cdot 10^{-3}$	$4.64 \cdot 10^{-3}$

Table 4.13: HP burst blocking probabilities for flow controlled OBS.

$\Delta\lambda$ Gbps	HP Burst Blocking Rates for OBS without Flow Control		
	at the edge node	at core network	Total
0	0	$6.98 \cdot 10^{-5}$	$6.98 \cdot 10^{-5}$
5	0	$1.49 \cdot 10^{-3}$	$1.49 \cdot 10^{-3}$
7.5	0	$4.83 \cdot 10^{-3}$	$4.83 \cdot 10^{-3}$
10	0	$1.10 \cdot 10^{-2}$	$1.10 \cdot 10^{-2}$
12.5	0	$1.99 \cdot 10^{-2}$	$1.99 \cdot 10^{-2}$
15	0	$3.14 \cdot 10^{-2}$	$3.14 \cdot 10^{-2}$
25	0	$8.19 \cdot 10^{-2}$	$8.19 \cdot 10^{-2}$

Table 4.14: HP burst blocking probabilities for OBS without flow control.

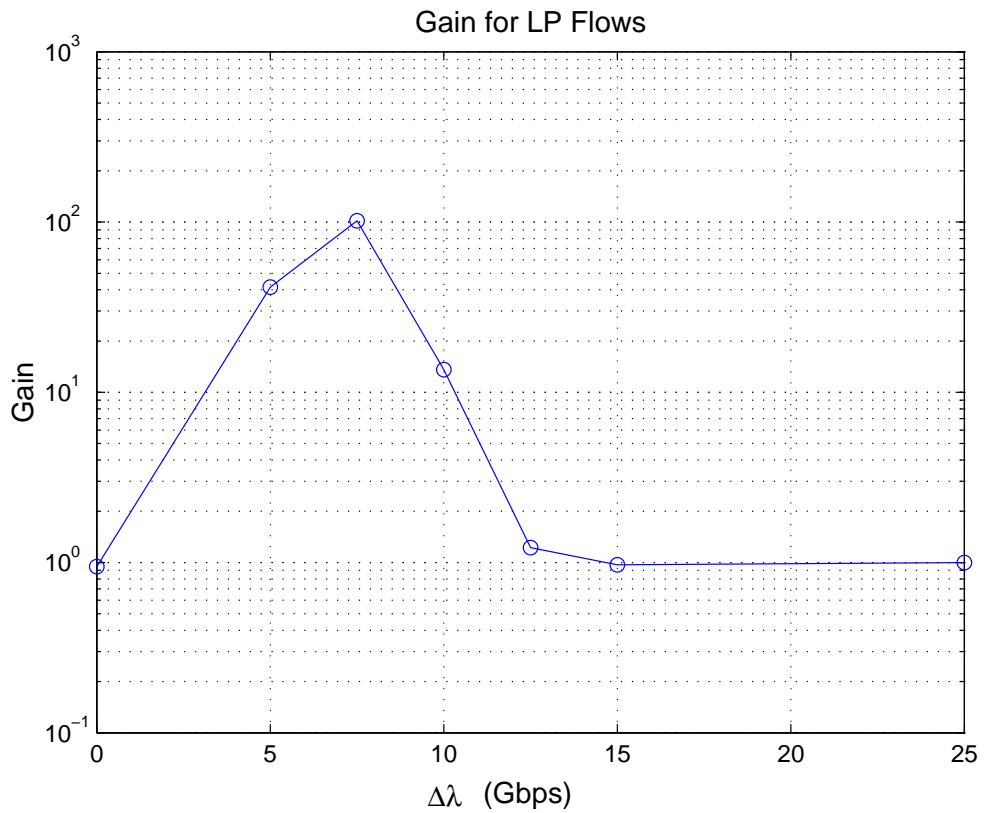


Figure 4.16: Overall gain for LP flows as a function of  $\Delta\lambda$ .

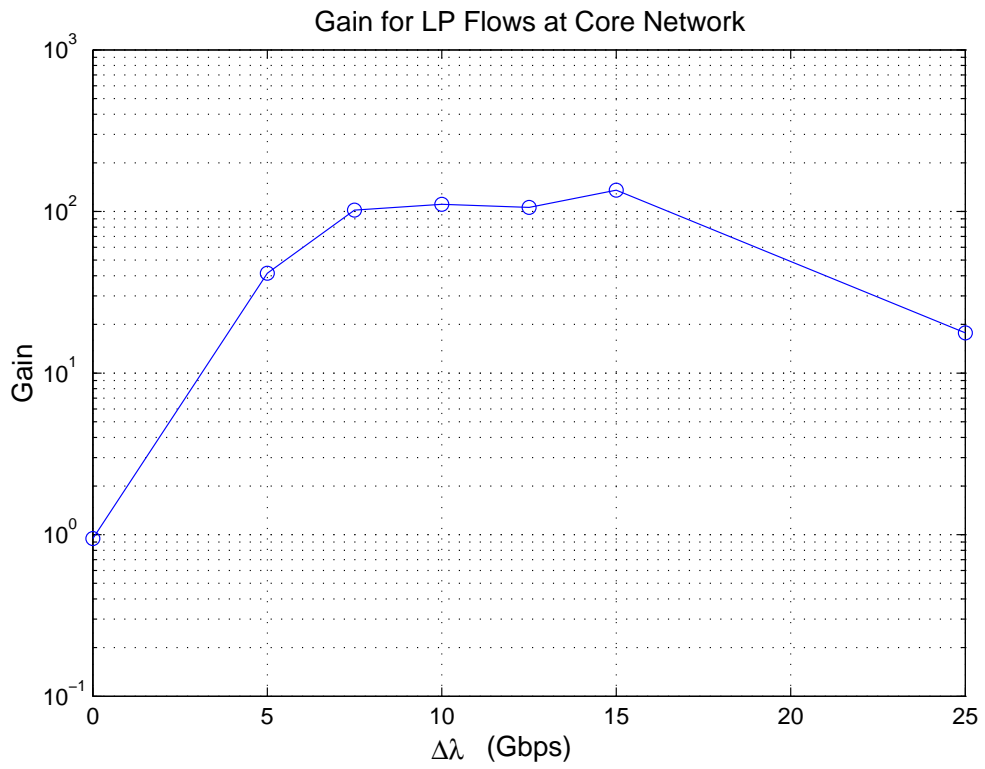


Figure 4.17: Gain for LP flows at the core network as a function of  $\Delta\lambda$ .

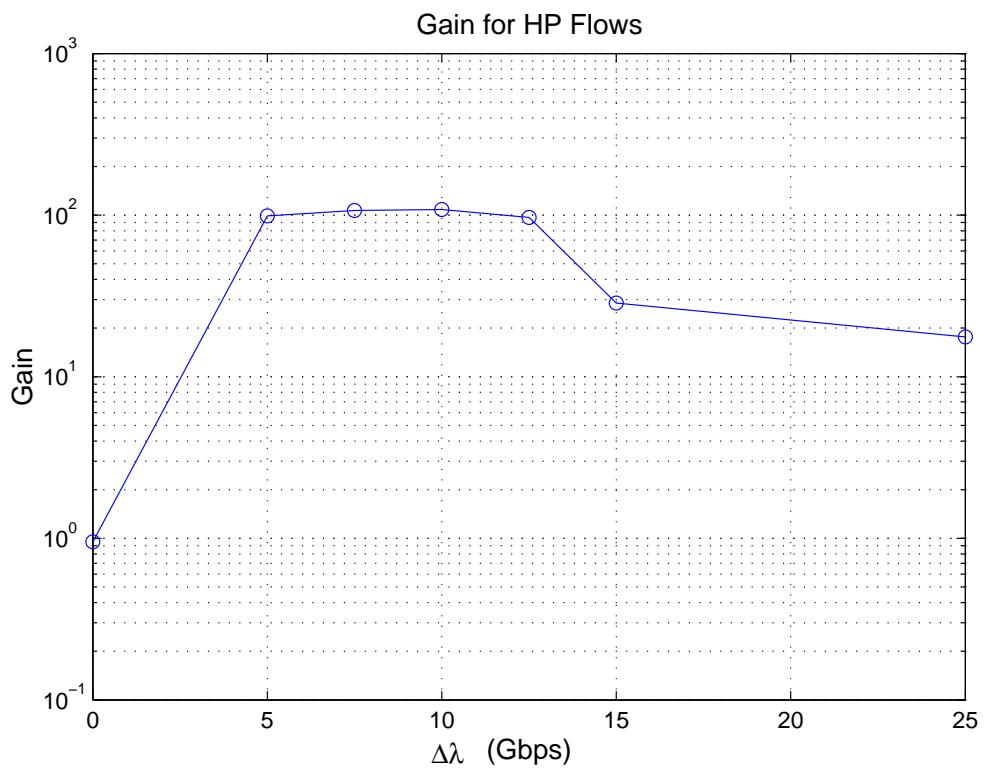


Figure 4.18: Overall gain for HP flows as a function of  $\Delta\lambda$ .

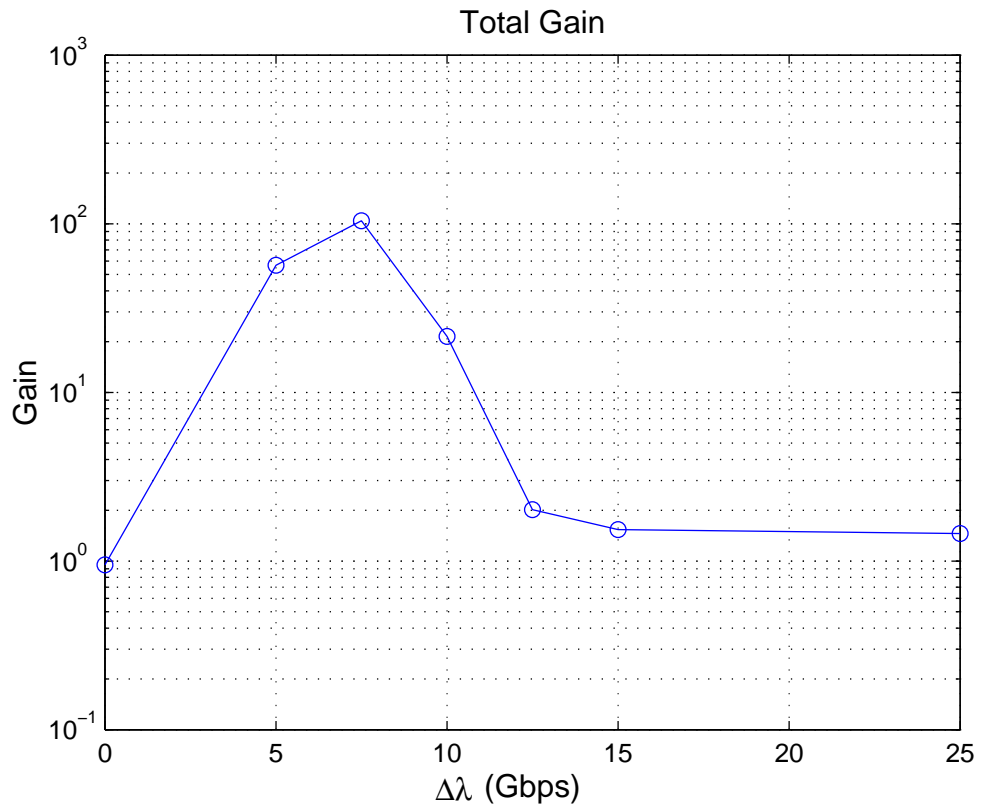


Figure 4.19: Total gain in terms of burst blocking probability as a function of  $\Delta\lambda$ .

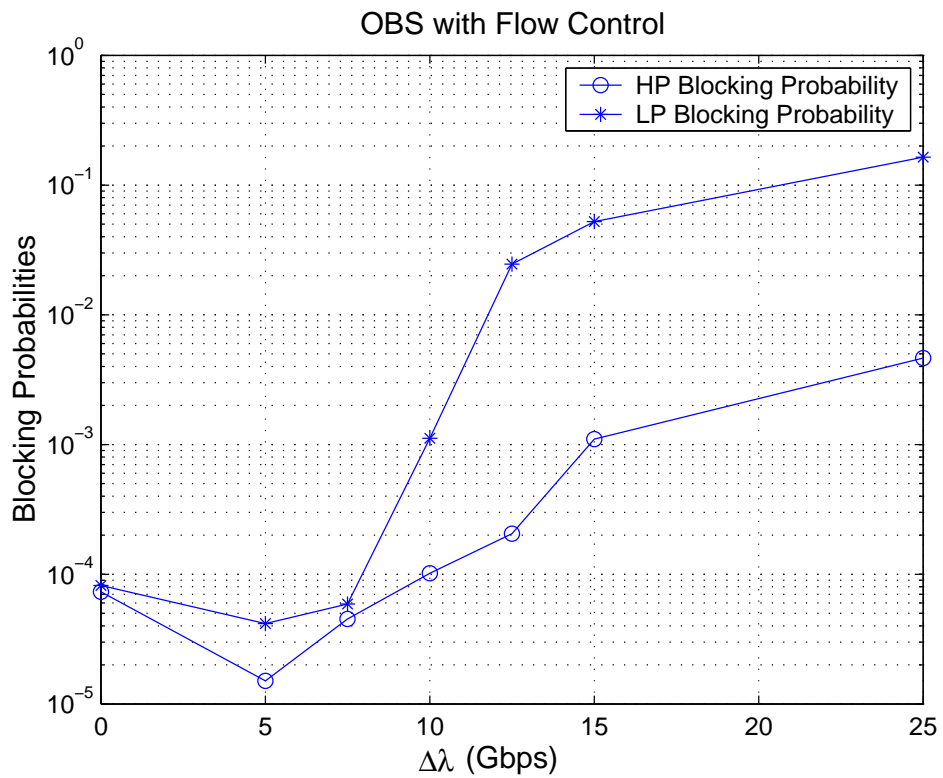


Figure 4.20: HP and LP burst blocking probabilities for OBS with flow control.



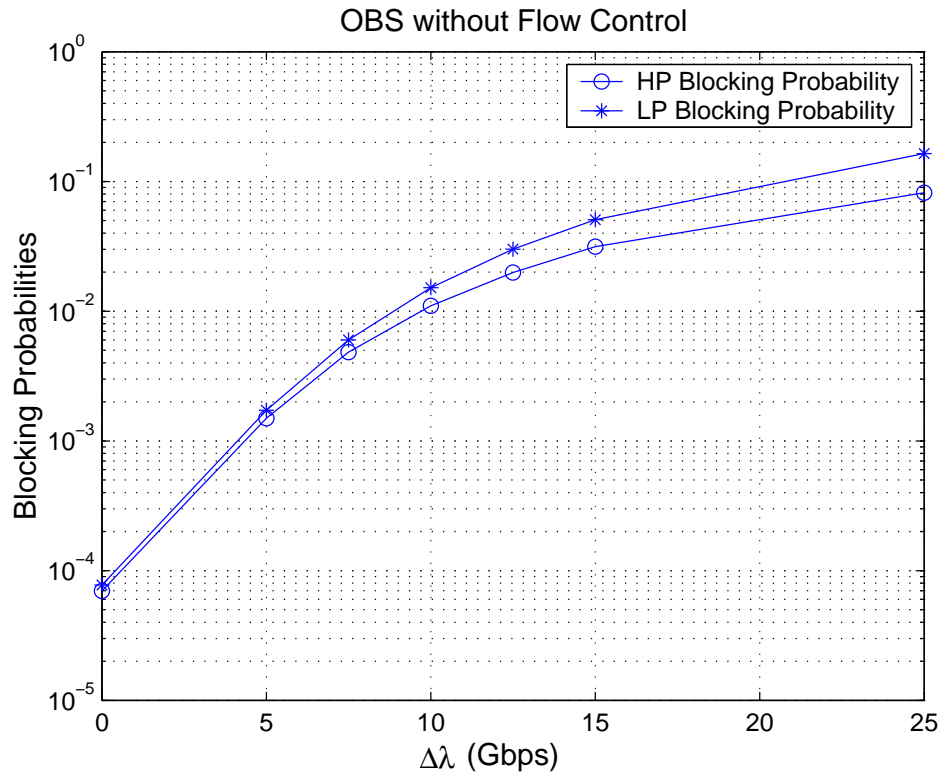


Figure 4.21: HP and LP burst blocking probabilities for OBS without flow control.

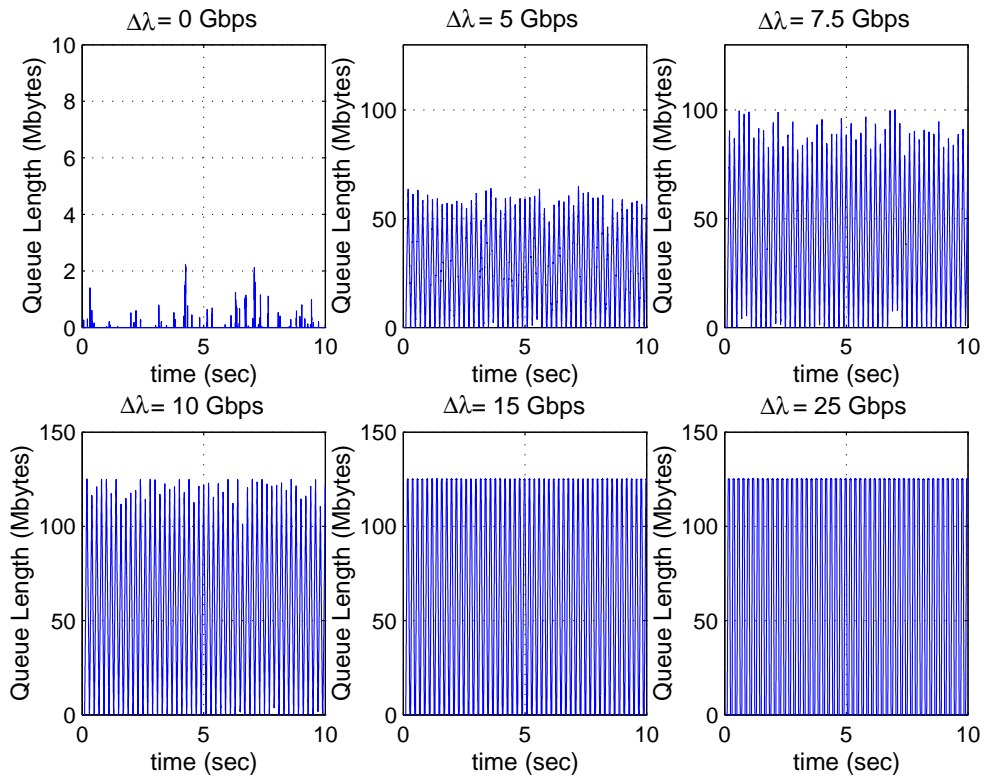


Figure 4.22: LP queue lengths as a function of time for different  $\Delta\lambda$  values.

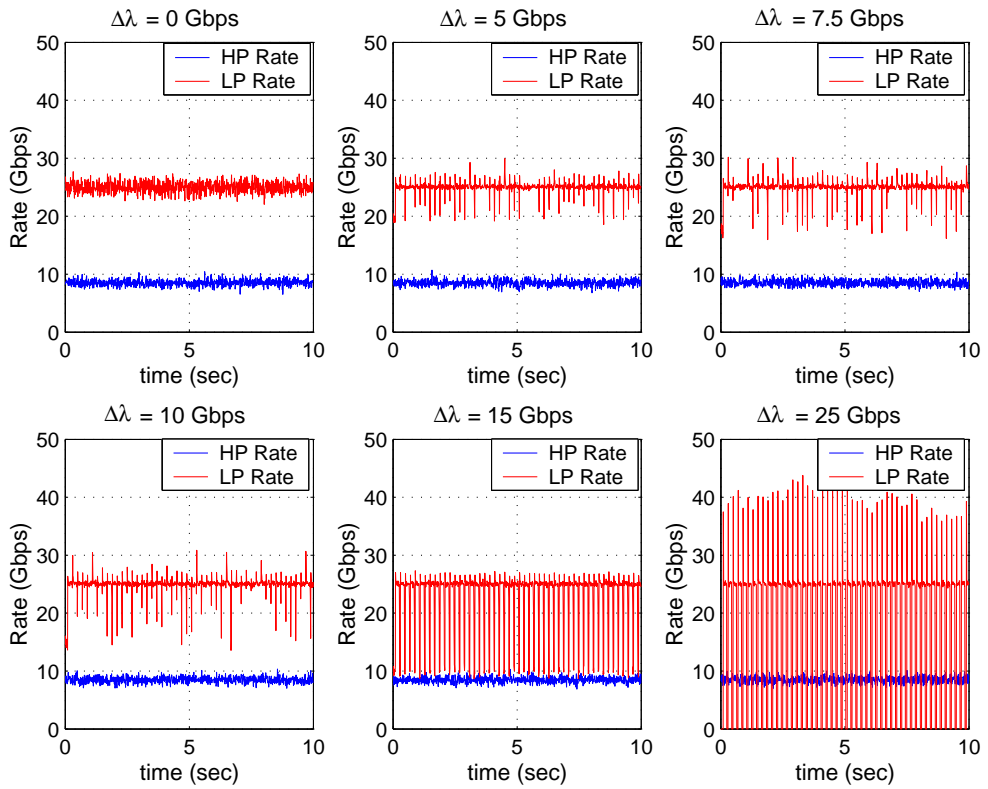


Figure 4.23: HP and LP transmission rates for flow controlled OBS.

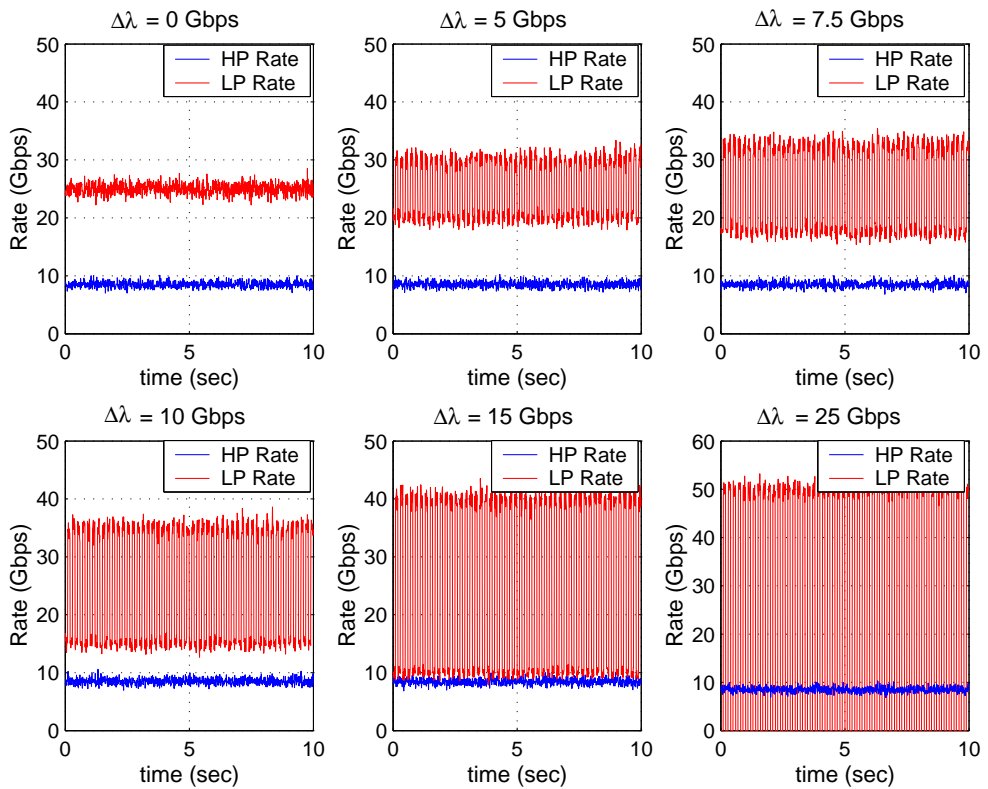


Figure 4.24: HP and LP transmission rates for OBS without flow control.

are queued at the ingress nodes and shaped by the D-ABR protocol before being sent towards the optical domain. Therefore, traffic rates at the output of the ingress node have a smaller CoV for  $\Delta\lambda = 5 \text{ Gbps}$ . The CoV of LP traffic rate is found as 0.111 and 0.069 for  $\Delta\lambda = 0$  and  $\Delta\lambda = 5$  cases, respectively. Such a reduction in the CoV is known to have an improving effect on the burst blocking performance [5]. As  $\Delta\lambda$  increases, the CoV of the shaped traffic also increases. Furthermore, for  $\Delta\lambda \geq 10 \text{ Gbps}$  the queues start to fill up and the burst drops occur at the ingress queues as presented in Table 4.11 and this results in a further increase in the overall burst blocking probability for LP traffic as demonstrated in Fig. 4.20.

In Figures 4.23 and 4.24, HP and LP throughputs are given for flow controlled OBS and OBS without flow control respectively. We see that for  $\Delta\lambda < 15 \text{ Gbps}$  D-ABR protocol keeps the burst transmission rate at 25 Gbps successfully. But as  $\Delta\lambda$  increases LP transmission rate starts to oscillate around 25 Gbps. Fig. 4.22 shows the LP queue length for one of the flows as a function of time. For  $\Delta\lambda < 15 \text{ Gbps}$ , the queue length is less than the maximum queue size for most of the time but for  $\Delta\lambda \geq 15 \text{ Gbps}$  the queue starts to fill completely and as a result burst drops occur at the ingress node.

# Chapter 5

## Conclusions

In this thesis, we study a new control plane protocol, called Differentiated ABR (D-ABR), for flow control and service differentiation in optical burst switching networks. With D-ABR, we show using simulations that the optical network can be designed to work at any desired burst blocking probability by the flow control service of the proposed architecture. This proposed control plane intelligence to minimize burst losses in the OBS domain has a number of advantages such as improving the attainable throughput at the data plane. Moreover, the proposed architecture moves congestion away from the OBS domain to the edges of the network where buffer management is far easier and less costly, substantially reducing the need for expensive contention resolution elements like OXCs supporting full wavelength conversion and/or sophisticated FDL structures. Moreover, D-ABR enables strict isolation among high priority and low priority traffic throughout in OBS networks. This feature of D-ABR can help operators to extend their existing strict priority-based service differentiation policies to OBS domains. Topics that are left open for future research include the study of different rate control algorithms and their comparative performances, the performance of the proposed architecture for elastic traffic, and more realistic traffic models such as TCP.

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