Linear electro-optic coefficient in multilayer self-organized InAs quantum dot structures

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Abstract: The electro-optic coefficients of self-organized InAs quantum dot layers in molecular beam epitaxy grown laser structures in reverse bias have been investigated. Enhanced electro-optic coefficients compared to bulk GaAs were observed.

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OCIS codes: (230.4110) Modulators; (250.7360) Waveguide modulators

Nonlinear optical and linear electro-optic materials find use as switching and modulation devices in photonic integrated circuits. Quantum dots and quantum wells have recently attracted much attention due to their enhanced electro-optic effects. Low dimensional quantum confined structures are expected to significantly enhance the performance of various optoelectronic components. [1] In this regard, we study optical transmission through multilayer InAs quantum dot lasers to investigate the degree of enhancement in electro-optic coefficient.

We worked on two different types of quantum dot structures, with three and five layers of quantum dots. The samples are grown by molecular beam epitaxy. The active region is formed by three or five layers of self-assembled InAs QDs, which are covered by a 5-nm $In_{0.15}$ Ga_{0.85}As QW and separated from each other by a 40-nm GaAs spacer layer. The areal dot density of our lens-shaped QDs is 3×10^{10} cm⁻². The laser cavity is clad by 1.5 µm of Al_{0.7}Ga_{0.3} As, which is *n*-doped on the substrate side and *p*-doped on the top side. Our devices are ridge-waveguide lasers with 8 and 15 µm widths. Both facets are as cleaved. Each sample is 1.6mm long and lasing is peaked at nearly 1285nm [2].

Measurement of the electro-optic coefficients away from EL emission peak was carried out by coupling $1.5 \,\mu m$ light from a tunable laser (Santec Tunable LD Light Source TSL-520) onto one end of a 1.6mm long waveguide with a lens shaped fiber. A controlled DC voltage source was used to apply 0 to 6 Volts reverse bias to the samples. At each voltage level, the transmission through the device is recorded as a function of wavelength. The index of refraction can then be inferred from the Fabry-Perot cavity response. The experimental results and their optimum curve fits are given in **Figures 1** and **2**.



Figure 1. (a) The transmission power versus wavelength of the 5QDs sample. The circular dots show the optimum curve fit and the other curve is the representation of the experimental data at zero Volt. (b) Same graphs for 3QDs sample.



Figure 2. Transmission of the Fabry-Perot modulator as a function of the wavelength for 3QDs and 5QDs structures. Significant tuning is observed with relatively low voltages.

The linear electro-optic coefficients are obtained by fitting the measured data to the equation of transmission through a Fabry Perot modulator [3] and calculating the refractive index difference between two specific voltages. The change in refractive index due to applied voltage is $\Delta n(V)$ is given as [3]

$$\Delta n(V) = \frac{1}{2}n_e^3 r_{41} \frac{V}{t}\Gamma$$

where r_{41} is the electro-optic coefficient, t is the thickness of the epilayer (t=135nm for 3QDs sample and t=225nm for 5QDs sample), n_e is the effective index, V is the voltage difference between two curves and confinement factor Γ is the overlap of the vertical electric field component with the optical mode. The confinement factors of both samples were theoretically calculated using BeamProb simulations and are approximately %10 for 3QDs sample and %15 for 5QDs sample. It is clear that the confinement factor is a combination of the individual confinement factors of each material in the active region of the laser. Each layer contributes a different amount to the mode confinement, roughly proportional to their thickness, and quantum dot layers have the lowest confinement factor compared to GaAs and InGaAs layers due to their small fill factor in the active region. For mode shape calculations, the effect of the quantum dots on confinement due to bulk refractive index difference is neglected; we only considered the contributions of the other layers. This approximation ensures that a conservative estimate of the electro-optic coefficient is made. The results of the measurements are given in Table1.

	Applied	Refractive index	r_{41}/Γ	r ₄₁
Type of sample	Voltage(V)	difference (Δn)	(x10 ⁻¹³ m/V)	(x10 ⁻¹² m/V)
		$(x \ 10^{-5})$		
	-1.5	8.65	4.41	4.41
3QDs sample	-3	21.64	5.51	5.51
(Γ=%10)	-4.5	28.1	4.76	4.76
	-6	36.78	4.69	4.69
	-1.5	7.5	5.98	3.98
5QDs sample	-4.5	19.7	5.18	3.45
(Г=%15)	-6	29.6	5.68	3.79

Table1. Measurement results for 3QDs and 5QDs samples.

In conclusion, we have measured the electro-optic coefficient in multilayer quantum dot structures far away from resonance. We obtained electro-optic coefficients as large as 4.9×10^{-12} m/V for 3QDs sample and 3.75×10^{-12} m/V for the 5QDs sample. This is an enhancement is found in both cases compared to the bulk GaAs [1].

This project is supported by TUBITAK; Grant No: 103T115.

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