register. A d.c. biased input signal (V_{IN}) is applied to the input gates (IG1⁺ and IG2⁻) while the other input gates (IG2⁺ and IG1⁻) are held at higher and lower voltages $(V_H \text{ and } V_L)$, respectively. As a result, complementary potential wells of $(V_H - V_{IN})$ and $(V_{IN} - V_L)$ are formed at each floating diffusion. The sizes of the diffusions are chosen so that their difference is proportional to the filter coefficient.

Signal charges sampled and retained in the potential wells using the potential equilibration method can be written as follows:

$$Q_{j}^{+} = C_{j}^{+}(V_{H} - V_{IN}) \tag{2}$$

$$Q_{j}^{-} = C_{j}^{-}(V_{IN} - V_{L}) \tag{3}$$

These charges are put in the register and are summed as shown by arrows. The sum is proportional to the product of the input signal and net weighting coefficient $(C_j = C_j^+ - C_j^-)$.

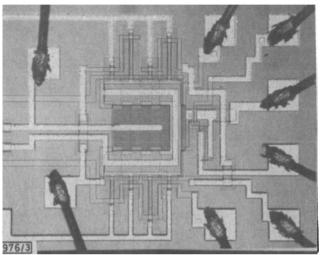


Fig. 3 Photomicrograph of the m.c.c.d. bandpass filter

Fig. 3 shows a photomicrograph of the fabricated 2nd-order m.c.c.d. recursive filter, which realises a complex conjugate pair of poles and one zero and functions as a narrow bandpass filter. The net weighted signal charges from each input stage are delayed and progressively summed in the register, and are detected by a precharged diode and a one-stage source follower at the output. The output signal is then sampled and changed into signal charges again at the feedback input stages to complete the feedback loop.

Device performance: The frequency response of the device operated at 4 kHz is shown in Fig. 4, where the centre frequency of 699 Hz and Q of 39 are obtained. The maximum input voltage is $0.1V_{rms}$ and the overall input/output gain at the resonant frequency is 28 dB. These are in good agreement with the theoretical values.

In this experiment, the output signal was fed back through a noninverting amplifier and coupling capacitor, because the on-chip source follower had not sufficient gain to achieve unit open-loop gain.

Conclusion: A new c.c.d. recursive filter has been demonstrated using the input-weighted m.c.c.d. technique. This 2nd-order

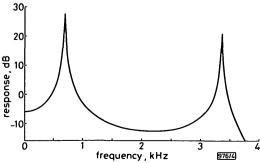


Fig. 4 Frequency response of the m.c.c.d. recursive filter

structure can be easily expanded to a filter of higher order by adding some input stages. This approach promises the realisation of the fully integrated recursive filter on a very small silicon area.

Y. MIYAMOTO

27th March 1979

K. TANIKAWA

H. SEI

S. KATO*

Fujitsu Laboratories Ltd. & Fujitsu Ltd.* Hyogo-ku, Kobe, Japan 652

References

- 1 TAO, T. F., IAMSAAD, V., HOLMES, S., FREUND, B., SEATRE, L., and ZIMMERMAN, T. A.: 'Sampled analog c.c.d. recursive comb filters'. Proceedings of the 1975 international conference on the application of charge-coupled devices, 1975, San Diego, pp. 257-266
- YEOW, Y. T., and MAVOR, J.: 'Influence of charge-transfer inefficiency on c.c.d. recursive-filter performance', *Electron. Lett.*, 1976, 12, pp. 125-127
- STEENAART, w., and FERGUSON, T.: 'Design of c.c.d. recursive filters'. Proceedings of the 1978 European conference on circuit theory and design, 1978, Switzerland, pp. 175-180
- 4 OHTSUKI, O., SEI, H., TANIKAWA, K., and MIYAMOTO, Y.: 'C.C.D. with meander channel'. Proceedings of the 1976 international conference on technology and application of charge-coupled device, 1976, Edinburgh, pp. 38-42
- 5 MIYAMOTO, Y., TANIKAWA, K., and SEI, H.: 'Meander channel c.c.d. transversal filter', *Electron. Lett.*, 1978, 14, pp. 538-539
- 6 KNAUER, K., PFLEIDERER, H. J., and KELLER, H.: 'CCD transversal filters with parallel-in/serial-out configuration', Siemens Forsch-Entwicklungsber. 7, 1978, 3, pp. 138-142

0013-5194/79/110320-02\$1.50/0

MODULATION TRANSFER FUNCTION FOR THE ACOUSTIC MICROSCOPE

Indexing terms: Acoustic microscopes, Optical transfer function

A simple method to measure the modulation transfer function of an acoustic microscope is described. Theoretical results are compared with experimental measurements. An explanation of 'shadowing' found in acoustic images is given.

The scanning acoustic microscope used mainly in reflection mode finds applications in material science¹ and biology with its improving resolution.² Characterisation of the imaging performance is important in understanding the behaviour of the system.³ In this letter, a simple approximate method is described to measure the 'modulation transfer function' (m.t.f.) of the acoustic microscope. Experimental results are compared with calculated curves.

As depicted in Fig. 1, the imaging system consists of a piezoelectric transducer at plane 0, an acoustic lens with focal

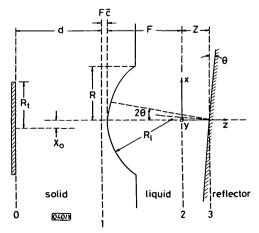


Fig. 1 Geometry and co-ordinate system used in analysis

planes 1 and 2 and the reflector object at plane 3. The acoustic lens serves to focus the waves incident on plane 1 to plane 2. Using the similarity with an optical system, a modulation transfer function can be defined. For this purpose one has to borrow from optics the assumption that the object acts like a 'multiplicative' factor. That is to say $u^{-}(x, y) = u^{+}(x, y)t(x, y)$ where u^+ is the incident field, t is the multiplicative object function and u^- is the reflected field. The validity of this assumption is questionable, because the angular spectrum of the field incident on the object is very broad (750°) as opposed to the narrow spectrum that is encountered in an optical system. This assumption neglects the fact that each plane-wave component of the angular spectrum is treated differently by the reflection process. Nevertheless, it simplifies the theory to a level at which the transfer function can be written as the convolution of the 'generalised pupil function' with itself.5 Therefore we write

$$\mathscr{H}(f_x, f_y) = K \int_{-\infty}^{\infty} G(x, y) G(f_x \lambda_0 F - x, f_y \lambda_0 F - y) dx dy \quad (1)$$

where f_x and f_y are spatial frequencies, K is a normalisation constant, F is the focal length of the lens, λ_0 is the wavelength in the liquid and G is the generalised pupil function. The transfer function is directly related to the resolution of the system and it takes the form of a 'Chinese hat function' if G is a simple circular pupil. 4G should include factors such as the illumination of the lens at plane 1 (u_1^+) , transmission function at the lens surface and the pupil function. Hence we write $G(x, y) = u_1^+(x, y)P(x, y)$.

Note that the transfer function above is similar but not identical to that of an incoherent optical system. It does not necessarily have its maximum at $f_x = f_y = 0$, since G may not be circularly symmetric.

Now assume that there is a perfect reflector at plane 3. In this situation the reflected field at plane 1 can be written as⁶

$$u_1^-(x, y) \approx u_1^+(-x, -y)P(-x, -y)P(x, y)$$

 $\times \exp[-jZk_0(x^2 + y^2)/F^2]$

where the superscripts + and - refer to the fields propagating in +z and -z directions, respectively. Now let us tilt the reflector an angle θ with respect to plane 3. Using the paraxial approximation one finds that the reflected field at plane 1 is shifted laterally from its original position by $F \sin 2\theta \approx 2\theta F$:

$$u_1^-(x, y) \approx u_1^+(2\theta F - x, -y)P(2\theta F - x, -y)P(x, y)$$

 $\times \exp\{-jZk_0[(\theta F - x)^2 + y^2]/F^2\}$

Since the output of the transducer can be expressed as⁶

$$V = \iint\limits_{-\infty}^{\infty} u_1^+(x, y)u_1^-(x, y) \ dx \ dy$$

we find

$$V(Z, \theta) \approx \int_{-\infty}^{\infty} u_1^+(x, y) u_1^+(2\theta F - x, -y) P(2\theta F - x, -y)$$

$$\times P(x, y) \exp \{-jZk_0[(\theta F - x^2) + y^2]/F^2\} dx dy$$
(2)

Comparing eqns. 1 and 2, one concludes that

$$\mathcal{H}(f_x, 0) \approx V(0, f_x \lambda_0/2)$$

Therefore the m.t.f. can be found by measuring the output amplitude as a function of the tilt angle. A tilt angle of θ corresponds to a spatial frequency of $2\theta/\lambda_0$. It is obvious that by tilting the reflector in the y-direction as well one can generate the whole two-dimensional function. This tedious experimental procedure can be simplified if one uses a spherical

reflector, since a sphere has a reflecting surface at all angles. The radius of the sphere must, of course, be much greater than the size of the focused beam.

A y.i.g. sphere of diameter 380 μ m is used as a reflector to measure the m.t.f. of a lens with parameters $R_1 = 34$, R = 29, $R_t = 102$, d = 200 and F = 39, all in micrometres. First the y.i.g. ball is moved in the x- and y-directions (no z-movement) until the time delay of the return pulse is minimised. This aligns the centre of the ball with the lens axis. Then the ball is stopped in the x- and y-directions while the z position is adjusted each time for maximum return pulse amplitude. This amplitude is then recorded to generate the function $|\mathcal{H}(f_x, f_y)|$. In Fig. 2 the measured points are shown for a cross-section of the function. We observe that m.t.f. is not symmetrical about the origin, which suggests that the illumination of the lens (u_1^+) is not centred correctly. This may arise from the misalignment of the transducer and lens axes (labelled X_0 in Fig. 1) during fabrication.

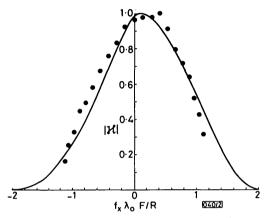


Fig. 2 Measured (dots) and calculated (solid line) m.t.f. for an acoustic microscope system

Eqn. 2 can be generalised by including a reflectance function ${\cal R}$ as follows:

$$V(Z, \theta, \phi) \approx \iint_{-\infty}^{\infty} u_1^+(x, y) P(x, y) u_1^+(2\theta F - x, 2\phi F - y)$$

$$\times P(2\theta F - x, 2\phi F - y) \Re(x/F - \theta, y/F - \phi)$$

$$\times \exp\{-jZk_0[(x - \theta F)^2 + (y - \phi F)^2]/F^2\} dx dy$$
(3)

where ϕ is the tilt of the reflector in the y-direction.

In Fig. 2 we also show m.t.f. calculated by eqn. 3, where an offset X_0 of 25 μ m is assumed. The reflectance function \mathscr{R} has a negligible effect for $Z \approx 0$, therefore $\mathscr{H}(f_x, f_y) \approx V(0, f_x \lambda_0/2, f_y \lambda_0/2)$ is a good approximation.

An acoustic image of an aluminium surface recorded at 1500 MHz with the same lens is presented in Fig. 3. The diamond mark is an 'inverted pyramid indent' on the surface and the upper two faces are brighter than the two lower ones, displaying the unsymmetrical nature of the m.t.f. The same argument can be used to explain the 'shadowing' found in many acoustic images.

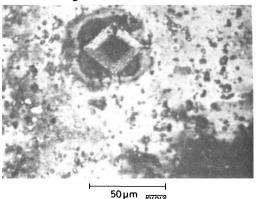


Fig. 3 Acoustic image of an aluminium surface with a resolution about 0.8 μ m ($\lambda_0 = 1$ μ m)

In conclusion, we have described a simple method to characterise an acoustic lens. By measuring m.t.f. one can deduce the resolution of the imaging system. This measurement also gives a direct feedback for the fabrication about the illumination of the lens and the alignment of the transducer.

The author wishes to thank V. Jipson for suggesting the spherical reflector and Professor C. F. Quate for valuable suggestions. This research was supported by the Air Force Office of Scientific Research.

ABDULLAH ATALAR

23rd April 1979

Edward L. Ginzton Laboratory Stanford University Stanford, California 94305, USA

References

- 1 ATALAR, A., JIPSON, V., KOCH, R., and QUATE, C. F.: 'Acoustic microscopy with microwave frequencies', Ann. Rev. Mater. Sci., 1979, 9, pp. 255-281
- 2 JIPSON, v., and QUATE, C. F.: 'Acoustic microscopy at optical wavelengths', Appl. Phys. Lett., 1978, 32, pp. 789-791
- 3 WICKRAMASINGHE, H. K.: 'Contrast and imaging performance in the scanning acoustic microscope', J. Appl. Phys., 1979, 50, pp. 664-672
- 4 GOODMAN, J. W.: 'Introduction to Fourier optics' (McGraw-Hill, New York, 1968), chaps. 4-6, pp. 57-140
- 5 LEMONS, R. A.: 'Acoustic microscopy by mechanical scanning'. Ph.D. Thesis, Stanford University, 1975
- 6 ATALAR, A.: 'An angular spectrum approach to contrast in reflection acoustic microscopy', J. Appl. Phys., 1978, 49, pp. 5130-5139
- 7 JIPSON, v.: Private communication
- 8 LEMONS, R. A., and QUATE, C. F.: 'Integrated circuits as viewed with an acoustic microscope', Appl. Phys. Lett., 1974, 25, pp. 251-253

0013-5194/79/110321-03\$1.50/0

CHARGE STORAGE IN S.A.W. MEMORY CORRELATORS

Indexing terms: Acoustic-surface-wave devices, Correlators, Dynamics of semiconductor diodes

A general formula, that takes into account the recombination of the minority carriers in the neutral region, is given for the stored charge in a p^+ -n s.a.w. memory correlator operated in the parametric mode.

A surface-wave diode storage correlator is a three-port device capable of correlating a broad-band modulated carrier signal S(t) which is written or stored into the device with another signal R(t) having the same carrier frequency. Because of its potential importance in signal-processing systems, some attempts have been made to obtain an understanding of the basic operation of this device. $^{1-4}$

The purpose of this letter is to summarise the analytical results we have obtained for the so-called 'parametric mode' of correlation with such a device with the full details of the analysis to be reported elsewhere. A qualitative description of the device operation is presented first, followed by a summary of the key steps of the analysis and a general formula for the stored charge in the diodes is given. Finally, our results are compared with the theoretical results published by Borden.³

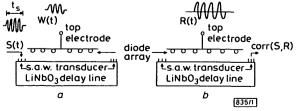


Fig. 1 Parametric mode for acoustic-surface-wave correlator

- a Write-in process
- b Read-out process

The device, which is shown in Fig. 1, consists of a piezoelectric substrate (usually LiNbO₃) with two transducers, deposited at the far ends, which are used to launch acoustic surface waves. A silicon diode array is mounted adjacent to the delay line with a metal plate connected to the back of the diode array. The recording and storage of the signal S(t) is called the writing process. In this letter a particular mode, called the 'parametric mode', is considered, where an r.f. potential W(t) is applied to the plate to interact with the potential produced by the acoustic surface wave S(t) launched by one of the transducers and travelling beneath a diffused p^+ -n diode array. Owing to the diode nonlinear characteristic, a stationary wave with spatial period corresponding to the acoustic surface wave is created, thus charging the diodes. The read-out is the process that results in an output at one of the transducers being the correlation of the stored charge pattern and another r.f. potential R(t) applied to the plate.

The analysis presented is based on the model proposed by Ingebrigtsen² and Borden³ with a per-unit-area equivalent circuit shown in Fig. 2. The r.f. signal to be stored, S(t), excites a

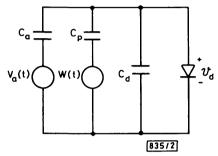


Fig. 2 Equivalent network model

surface wave potential $V_a = V_{a0}$ sin $(\omega t - kz)$, which is coupled to the diode at location z through C_a , and the coupling of the writing signal $W(t) = V_{p0}$ sin ωt to the diode is through C_p , with V_{a0} , C_a and C_p as defined in Reference 3. The diffused p^+ -n diode used in conjunction with this parametric mode is represented by a constant depletion-layer capacitor at zero bias C_{d0} and a current generator accounting for the diode conduction current. By solving the p^+ -n diffusion equation together with the circuit equations of Fig. 2, the stored charge in the diode can be solved for and written as:

$$Q_s(t) = (KTC_T/q) \ln \left[1.0 + Af(t)\right] \tag{1}$$

where

$$C_T = C_a + C_p + C_{d0}$$

$$A = \frac{q^2 p_{n0}}{kTC_T} \sqrt{\left(\frac{D_p}{\pi}\right) \left\{ \exp\left(\frac{qC_p V_{p0}}{kTC_T} \cos kz\right) I_0\left(\frac{qC_a V_{a0}}{kTC_T}\right) \right\}}$$

$$f(t) = \sqrt{(\pi \tau_p)(t/\tau_p + \frac{1}{2})} \operatorname{erfc} \left\{ \sqrt{(t/\tau_p)} \right\} + \sqrt{(t) \exp(-t/\tau_p)}$$

 p_{n0} is the thermal-equilibrium minority-carrier concentration, D_p and τ_p are the minority carrier diffusion constant and lifetime, respectively, and I_0 is the modified Bessel function of order zero.

Eqn. 1 is a general formula describing the relation between the stored charge in the diode and the charging time for a given acoustic signal V_{a0} and writing signal amplitude V_{p0} ; although it tends to confirm the logarithmic charging behaviour with respect to time that has been observed by many authors, ^{1.4} the argument of this functional dependence is different from that previously reported, and clearly shows the dependence on the minority-carrier lifetime τ_{a} .

Eqn. 1 can be greatly simplified for the following cases:

Case 1: For charging times much shorter than the minority-carrier lifetime $(t_s \ll \tau_p)$, eqn. 1 becomes

$$Q_s(t_s) = \frac{kTC_T}{q} \ln \left(1 + 2A\sqrt{t_s}\right) \tag{2}$$

in contrast to Borden's4 result, which is

$$Q_s(t_s) = \frac{kTC_T}{a} \ln (1 + Bt_s)$$
 (3)