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## On a Proposal of Strawson Concerning Context vs. ‘What Is Said’

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### 5.1 Introduction

In<sup>1</sup> human communication using natural language, there is potential for a certain intricacy regarding the communicative mode and ‘what is said’ (Ziff, 1972). The following anecdote comes from Johnson-Laird (1990, p. 7):

Once upon a time Stalin read out in public a telegram from Trotsky: ‘You were right and I was wrong. You are the true heir of Lenin. I should apologize. Trotsky.’ According to Leo Rosten, a Jewish tailor then stepped from the crowd and explained to Stalin how he ought to have read the message:

You were right and I was *wrong*? You are the true heir of Lenin? I should apologize????!

While one appreciates the crucial role of intonation in this story, most of us also realize that it is the historical background through which the intended meaning is contextually determined in this case. Thus, Stalin’s rendering of the individual words or phrases do make sense but it is the ‘deconstructive’ reading of the tailor that goes to

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show the determinate meaning of Trotsky's message.

But, how does one *really* purport to know the intended meaning of a given message? Few would deny that in the process of construing meaning, one is caught in the act of contextualizing —placing things in context.<sup>2</sup> In studying this act in any detail, it is unavoidable to notice the interaction of authorial intentions and context. And it turns out that in his most recent volume of essays, Strawson has considerable things to say on this very question.

Strawson's book is entitled *Entity and Identity*, and the essays which treat the afore-mentioned question at some length appear as Chapters 11 and 12 (Strawson, 1997a,b). In these essays,<sup>3</sup> Strawson advances a particularly attractive threefold distinction regarding how context bears on the meaning of 'what is said' when a sentence is uttered (Ziff, 1972). In his view, three senses (sense-A-meaning, sense-B-meaning, and sense-C-meaning) capture increasingly more intricate and progressively richer aspects of what is said. But Strawson cautions that his proposed scheme may still be simplistic, since the situation may be more complicated than the scheme suggests, and raises various points to make it more adequate.

In this paper, we'll (i) review the original scheme of Strawson and summarize his improvements to his own scheme, and (ii) add our own improvements to make it even more thoroughgoing. Overall, we'll defend the versatility of Strawson's framework. On the other hand, we'll also show that unless it is elaborated with several considerations (mostly based on a viewpoint regarding context as a social construct (Akman, 2000) and contextualizing as a form of social action) it cannot function as a realistic initiative towards building common sense models of how intended meaning is achieved.<sup>4</sup>

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<sup>2</sup>Modern literary theory distinguishes between an author's intended meaning and whatever *significances* a reader finds in the text. Not all patterns and relationships found by the reader in a text can be attributed to authorial intentions. The producer of a text, Eco (1984, p. 7) claims, "has to foresee a model of the possible reader [...] supposedly able to deal interpretatively with the expressions in the same way as the author deals generatively with them." This possible reader Eco calls the *model reader*. In order to make his text communicative, the author has to make sure that the totality of 'codes' upon which his work is built is the same as that shared by the model reader.

<sup>3</sup>The original essays were published considerably earlier. Thus, Chapter 11, "Austin and 'Locutionary Meaning'," first appeared in Isiah Berlin et al., eds., *Essays on J. L. Austin*, Oxford University Press (1973). A partial translation of Chapter 12, "Meaning and Context," appeared in *Langages* 17 (1970), with the title "Phrase et acte de parole."

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## 5.2 Strawson's Scheme

Strawson tackles the riddle of how context influences intended meaning by first proposing a simple question and an economic answer. He then attends to the complications which seem not to be easily resolvable by the latter.

Assume that a certain sentence *S* of a language *L* (e.g. English) was seriously uttered on some occasion. (N.B. The adverb "seriously" plays a crucial role, as we'll later see.) Assume further that *X*, the hearer, possesses only that much information, i.e. *X* knows that *S* was uttered but knows nothing about the identity of *Y*, the speaker, or the nature or date of the occasion. (In various places in the sequel, this restriction will be relaxed.) Let us grant *X* full mastery of the syntax and semantics of *L*; thus, *X* is assumed to have ideally complete knowledge of *L* (lexicon plus grammar). The question is as follows (Strawson, 1997a, p. 192):

[I]s there any sense in which *X* can be said to know *the meaning of precisely what was said on the occasion in question?*

Strawson's proposed scheme to investigate this problem consists of erecting three progressively richer senses of meaning which he dubs sense-A-meaning, sense-B-meaning, and sense-C-meaning.

### 5.2.1 Sense-A-Meaning

Sense-A-meaning is *linguistic* meaning. Suppose *S* is free of ambiguity, or more realistically, *X* is informed which of the alternative readings of *S* is the right one, i.e. the one meant by *Y*. (It is beside the point, for the time being, *how* *X* could be told which of the possible lexical items or syntactic constructions *Y* actually had in mind in uttering *S*.) We then say that *X* knows the *sense-A-meaning* of 'what is said'.

An important characteristic of such meaning is that if he has access to it, then *X* can give a correct translation of *S* into another language *L'* (e.g. French), which *X*, once again, is assumed to know perfectly well. In other words, when sense-A-meaning is under consideration, *X* basically knows neither more nor less than what he needs to know in order to translate *S* into a sentence *S'* of *L'*.

Consider the following example (due to Strawson) as *S*: "The collapse of the bank took everyone by surprise." The designation of the word "bank" varies with different uses. But once the intended des-

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associated the former with the 'locutionary' act performed in making the utterance, and the latter with the 'illocutionary' act. In his chapter on Austin, Strawson uses the threefold distinction to examine Austin's work; his standpoint is that what Austin means by locutionary meaning is not very clear. On the other hand, in "Meaning and Context" the threefold distinction *itself* is examined in detail. (Our remarks will generally bear on the contents of this essay.)



ignation is clarified, then the translation of  $S$  from  $L$  to  $L'$  proceeds smoothly. Also witness Perry's similar remarks (Perry, 1998, p. 2): "An ambiguous expression like 'bank' may designate one kind of thing when you say 'Where's a good bank?' while worried about finances, another when I use it, thinking about fishing. [...] Is the speaker holding a wad of money or a fishing pole?"

To summarize the preceding paragraphs,

sense-A-meaning  $\approx S \text{ (} \textcircled{1} \text{) A-knowledge (} \textcircled{2} \text{) disambiguating knowledge,}$

where *A-knowledge* is the ideally complete knowledge of the lexicon and grammar of  $L$ . In this mock equation, the interpretations of " $\approx$ " and " $\text{ (} \textcircled{1} \text{)}$ " are somewhat procedural; that is, the equation states that sense-A-meaning is obtained (approximated, if you will) by just understanding  $S$  in the light of A-knowledge and disambiguating knowledge (and with a propensity toward accurate translation of  $S$  into any other, equally rich language).

### 5.2.2 Sense-B-Meaning

Strawson's sense-B-meaning is *linguistic-cum-referential* meaning.  $X$  will learn the *sense-B-meaning* of  $S$  if he has access to the references of proper names or indexicals which may be contained in  $S$ .

An example might illustrate the difference between sense-A and sense-B meanings. If  $S$  is the sentence "He stood on his head since then," and if  $X$  is further told that this potentially ambiguous sentence has its natural reading where "his" is co-indexed with "he," then  $X$  can easily translate  $S$  to say, French. When  $X$  does that accurately, it would show that  $X$  understood the sense-A-meaning of  $S$ . Now suppose  $X$  has no idea who "he" stands for and which time point "then" denotes. This might not pose a problem for the translation. But if  $X$  additionally learns the reference of "he" (say, J. L. Austin) and "then" (say, New Year's Day, 1955) then  $X$  would know a richer meaning, the sense-B-meaning of  $S$ .<sup>5</sup>

In a style suggested by the earlier equation,

sense-B-meaning  $\approx$  sense-A-meaning  $\text{ (} \textcircled{1} \text{) B-knowledge,}$

where *B-knowledge* includes in addition to A-knowledge the knowledge of the reference of proper names and indexical expressions that

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<sup>5</sup>One may object to the preceding analysis by noting that there are naturally occurring contexts in which the particular  $S$  of this example might have metaphorical meaning. Or at least, this is exactly what happens when one replaces  $S$  with a similar sentence "He stood on his own feet since then," meaning: he thought and acted independently since then. We agree and note that this is precisely the point of Strawson's imposition, viz.  $S$  is uttered seriously. More on this later.

might be occurring in *S*. Again, this mock equation can be interpreted as follows: sense-B-meaning is obtained by scrutinizing sense-A-meaning in the light of B-knowledge.

### 5.2.3 Sense-C-Meaning

Finally, Strawson offers sense-C-meaning as the *complete* meaning of a message. *Sense-C-meaning* is obtained by adding to sense-B-meaning the illocutionary force (à la Austin) of what was said, together with a complete grasp of how what was said is intended (by *Y*) to be understood (by *X*). Thus,

$$\text{sense-C-meaning} \approx \text{sense-B-meaning} \text{ \& } \text{C-knowledge,}$$

where *C-knowledge* consists of—in addition to B-knowledge—the illocutionary force of *S* plus the true intent of *Y*. For instance, if *S* is the sentence “Don’t sign that contract yet,” then *X* needs to know whether this was issued as a request, a command, a piece of advice, or what have you. This is the dimension of meaning Austin captured with the phrase ‘illocutionary force’.<sup>6</sup>

There is a related but distinct notion: it may be that *Y* intends to be taken to be implying by *S* something which does not ensue from *S*’s sense-B-meaning alone. Assume that both *X* and *Y* know (and know each other to know) that their mutual friend *Z* declined an honor conferred upon him by a church. When *Y* says “It is the sign of a feeble mind to turn down a gift from God,” the meaning of what he said would not be fully understood by *X* if *X* fails to recognize that *Z* is being labeled as the decrepit one by *Y*. Grice (1989) was in some sense the first to produce an elucidation of how a speaker can communicate more than what his words explicitly say. Since Strawson does cite Grice, it is safe to predict that he has in mind the same kind of systematic Gricean principles underlying pragmatic ‘implication’.

### 5.2.4 An Inequality

With the preceding three equations at hand, we can write the mock inequality

<sup>6</sup>Strawson understands illocutionary force as having to do simply with what the speaker means. However, illocutionary force has to do with what is conventionally constituted by the locutionary act being performed in context. Witness the following caveat of Austin (1976, pp. 116–117): “I cannot be said to have warned an audience unless it hears what I say and takes what I say in a certain sense. [...] So the performance of an illocutionary act involves the securing of *uptake*.” In a nutshell, then, one decides on what interpretation to accept by examining uptake—the (conversational) process through which lines of reasoning are developed/modified (Gumperz, 1997).

sense-A-meaning  $\prec$  sense-B-meaning  $\prec$  sense-C-meaning,

where progressively richer senses of meaning are obtained by moving from left to right in the inequality. Since  $X$  employs (in proceeding from  $S$  to sense-A, sense-A to sense-B, and sense-B to sense-C) A-knowledge, B-knowledge, and C-knowledge, respectively, the progression in meaning will in general be *additive*. However, sometimes the move from one sense to another is really no move at all. A fitting example comes from mathematics: let  $S$  be a sentence expressing a proposition of arithmetic, e.g. "There is always a prime number greater than a given natural number." In this case, the move from sense-A to sense-B is no move at all because the statement  $S$  expresses an analytic truth.

How about C-knowledge? Can its contribution also be null sometimes?<sup>7</sup> The answer is not in the affirmative, despite what Strawson thinks.

To see this, take an explicitly performative statement such as "I order you to drop that gun." Together with Strawson, we may, at first, be inclined to accept that knowledge of the force of this  $S$  can be taken to belong to the sense-A-meaning. However, this is not really to follow Austin (1976). To give an example, if a mutinous private in the British army purported to order his sergeant to drop his gun and the cowardly sergeant did so, then a court martial would definitely rule that there was no order (or nothing with the force of an order), because a private cannot give an order to a sergeant. In other words, it is one thing for a type to be meant to be tokened in an act with a certain force and another thing for the token *actually* to realize an act with that force.

### 5.2.5 Leech's Scheme

Another threefold distinction due to Leech is worth indicating at this point. Leech states that specification of context has the effect of narrowing down the communicative possibilities of a message. He says that in particularizing meaning, context helps in the following ways (Leech, 1981, p. 67):

- (A) Context eliminates certain ambiguities or multiple meanings in the message (e.g. lets us know that *page* in a given instance means a boy attendant rather than a piece of paper).
- (B) Context indicates the referents of certain types of word we call deictic (*this, that, here, there, now, then, etc.*), and of other expressions of definite meaning such as *John, I, you, he, it, the man*.

<sup>7</sup>In which case the move from B to C might still be regarded as an addition, even if it is the minimal addition that *there is nothing to be added* to the B-meaning.

- (C) Context supplies information which the speaker/writer has omitted through ellipsis (e.g. we are able to appreciate that *Janet! Donkeys!* means something like 'Janet! Drive those donkeys away!' rather than 'Janet! Bring those donkeys here!', or any other of the indefinitely many theoretical possibilities).

Clearly, (A) states the so-called *disambiguating role* of context and immediately brings to mind Strawson's sense-A-meaning. Likewise, (B) is along the lines of Strawson's sense-B-meaning. Finally, although the singling out of ellipsis might at first sight seem way too specific, it is clear that Leech is talking in (C) about a particular way of how speaker's intention is to be inferred. His example has the same import as Strawson's sense-C-meaning, viz. the requirement that the reader must be aware of all that was intended by the speaker. "Janet! Donkeys!" is recurrently used by aunt Betsey Trotwood in *David Copperfield*; it is an order to her maid to carry out the routine task of driving donkeys off the grass.

### 5.3 Dependence on Context

Having defined the three senses of meaning, A-, B-, and C-, Strawson turns to the following question: what specific differences are there in the ways in which the meaning of 'what is said' depends on context in the three cases? In particular, in which cases and to what degree can this dependence be itself represented as governed by *linguistic rule or convention*?

Obviously, context bears on determination of sense-A-meaning in just those situations where *S* suffers from syntactic and/or lexical ambiguity. However, disambiguation of *S* by context at this level is not in general a matter of linguistic rule or convention. Rather, it is a matter of general relevance; see the earlier example of Perry regarding which meaning of "bank" might be more plausible. In the same vein, Leech (1981, p. 69) states that it is relevant to the interpretation of "Shall I put the sweater on?" to know whether sweaters heated by electric power are on the market. This shows, in a rather strong sense, that the study of interpretation-in-context is closely tied to the *encyclopedic knowledge* about the world.

Context bears on the determination of sense-B-meaning in all cases except those where B-knowledge adds nothing to A-knowledge. And surely there are some semantic rules of natural language moderating such contextual dependence. Here's what Perry says about indexicals (1997, pp. 597-598):

There is an intimate connection between the meanings of "I" and "the



person who utters this token", even if it falls short of synonymy. The second phrase does not *have* the meaning of "I", but it *gives* part of the meaning of "I". It supplies the condition of designation that English associates with "I". [...] Here are the conditions of designation for some familiar indexicals [...]:

- **I**:  $u$  [an utterance of "I"] designates  $x$  iff  $x$  is the speaker of  $u$
- **you**:  $u$  [an utterance of "you"] designates  $y$  iff  $\exists x(x$  is the speaker of  $u$  &  $x$  addresses  $y$  with  $u$ )
- **now**:  $u$  [an utterance of "now"] designates  $t$  iff  $\exists x(x$  is the speaker of  $u$  &  $x$  directs  $u$  at  $t$  during part of  $t$ )
- **that  $\phi$** :  $u$  [an utterance of "that  $\phi$ "] designates  $y$  iff  $\exists x(x$  is the speaker of  $u$  &  $x$  directs  $u$  towards  $y$ )

It is noted, however, that B-knowledge is not wholly under the governance of language rules (cf. Perry's caveat above: "... part of the meaning..."). For instance, with the demonstrative "here" there arises the question of how large a region to consider: "It is always very hot here at this time of the day" ("here": in this room or in this town?).

Similarly, an utterance of "We must sell those HAL stocks now" would signify different time points when it is made by a portfolio manager sitting at his on-line terminal ("now": in a couple of seconds) and by an executive during a luncheon with his assistants ("now": this afternoon).

## 5.4 Amendments

Strawson enumerated several points at which his threefold distinction is too crude to provide for all the complexities of language use. Despite the title of this section, he did not always suggest these as amendments to his scheme; sometimes he was content with just jotting them down.

### 5.4.1 Semantic Creativity

According to the inequality given earlier, some sense- A-meaning is always included in the complete meaning of 'what is said'. This is due to the nature of construction of sense-C-meaning. However, isn't it unrealistic to suppose that all meanings of a particular word are listed priorly in  $X$ 's ideal lexicon? Consider the interpretation of a morphologically complex word  $w$ . Word formation rules might constrain but do not fully determine the interpretation of  $w$ . To put it mildly, the linguistically specified meaning of  $w$  may and frequently does go beyond what is available from its compositional subparts (Chierchia and McConnell-Ginet, 1990, pp. 366-370).

For example, Aitchison (1997, pp. 16-17) remarks that newspapers can popularize new words such as *yomp* and *wimp*. Yomp (to march

with heavy equipment over difficult terrain) was a military term used frequently during the Falklands War. Wimp (an ineffectual person) originated in the U.S.; just remember your generic White House correspondent during the Gulf War: "President Bush has finally shaken off his wimp image."

Récánati uses the term *contextual sense construction* to refer to the general problem. He notes that sometimes the conventional sense of the subparts of a complex phrase and the way they are syntactically brought together is insufficient to evaluate the semantic value of the complex phrase. His examples are particularly forceful (Récánati, 1994, p. 343):

Thus 'he finished the book' can mean that he finished reading the book, writing it, binding it, tearing it into pieces, burning it, and so forth [...]; 'finger cup' will mean either 'cup having the shape of a finger' or 'cup containing a finger of whisky' or 'cup which one holds with one finger', or whatever [...]; 'John's book' can mean 'the book that John owns, wrote, gave, received', or whatever [...]. In all such cases there is not a 'selection' from a *limited* range of *pre-existing* interpretations for the complex phrase. Rather, an indefinite number of possible interpretations can be constructed in a *creative* manner. [our italics]

Strawson finds his scheme too simple when it comes to such matters of semantic creativity. A compromise can be made by allowing *X*'s ideal dictionary be updated by adding the new (extended) meaning of a new word. However, he sees this as a sacrifice of his ground rules: when we do this, we make *X*'s dictionary follow his understanding rather than his understanding obey his dictionary.

#### 5.4.2 Seriousness

Let us return to a crucial proviso in the original formulation, i.e. that a certain sentence *S* of a language *L* be *seriously* uttered. This implies that an *ironical utterance* of *S* is regarded as non-serious. However, ironical utterances make up quite a large crowd and cannot be so easily dismissed as aberrations.

The essential problem posed by ironical utterances is that a declarative sentence uttered ironically may express an idea that contradicts the idea which it professes to express. Consider saying "Oh, you are always so tidy!" to a janitor and meaning that he has made a mess again. Or consider related variants such as *understatements*, e.g. saying "It was rather concise" and meaning that it (e.g. a televised speech by the president) was extremely terse.

As (Strawson, 1997b), p. 222) notes, in these cases "we cannot say that the C-meaning includes and adds to the B-meaning, but only that

the C-meaning *contradicts* the apparent B-meaning.”

*Figurative* uses pose a similar problem. Harris (1996, p. 112) says:

If I say “Miller pulls off these tricks with string and sealing wax, false bottoms and sleight of hand,” the statement will not be taken as figurative if I’m referring to an amateur magician, though it will be if the context makes it clear that I am referring to J. H. Miller the critical theorist.

### 5.4.3 Reference

Reference has always been a grand issue in studies of contextualism in the philosophy of language, and it is only normal that Strawson notes that sometimes a given *S* admits different interpretations where in one interpretation a certain constituent of *S* (e.g. a definite description) has a referential use whereas in some other interpretation it doesn’t.

Suppose we pick as *S* the sentence “The next parliamentary elections will resolve the matter.” The descriptive phrase may be used to refer to a definite event (say, the elections scheduled to June 8, 2004) or *S* may be used with the intention of saying “Whenever the parliamentary elections are carried out, the matter will be resolved.”

### 5.4.4 Translation Proper

An Italian saying, “Traduttore, traditore” (The translator is a betrayer), hints at the potential problems one can encounter in acquiring sense-A-meaning.

In a landmark essay on translation, Jakobson (1992) distinguishes three ways of interpreting a verbal sign. Intralingual translation (rewording) interprets verbal signs by means of other signs of the same language. Interlingual translation (translation proper) interprets verbal signs by means of some other language. Finally, intersemiotic translation (transmutation) interprets verbal signs by means of signs of non-verbal sign systems.

In order to demonstrate the difficulty of translation proper, he gives an example from Russian (Jakobson, 1992, p. 148):

In order to translate accurately the English sentence “I hired a worker,” a Russian needs supplementary information, whether this action was completed or not and whether the worker was a man or woman, because he must make his choice between a verb of completive or noncompletive aspect [...] and between a masculine and feminine noun [...]. If I ask the utterer of the English sentence whether the worker was male or female, my question may be judged irrelevant or indiscreet, whereas in the Russian version of this sentence an answer to this question is obligatory. On the other hand, whatever the choice of Russian grammatical forms to translate the quoted English message, the translation

will give no answer to the question of whether I “hired” or “have hired” the worker, or whether he/she was an indefinite or definite worker (“a” or “the”).

## 5.5 Further Points

The following are not so much weaknesses of Strawson’s scheme as possible avenues of research for streamlining it.

### 5.5.1 Radical Interpretation and Presemantic Uses

Regarding sense-A-meaning, the following singularity needs to be noticed: if his A-knowledge is null then *X* cannot even set himself to the study the question properly. This remark should not be taken as an avowal of the impossibility of radical interpretation. When *X* is a *radical interpreter* who must interpret *L* from scratch, he must do so in the absence of any antecedent understanding of *L*, and only using evidence which is plausibly available to him (Davidson, 1984).

That this is difficult, on the other hand, is something even Davidson himself accepts to a large extent (Kent, 1993): “It would beg the question, in trying to study the nature of interpretation, to assume that you know in advance what a person’s intentions, beliefs, and desires are. [...] There is no master key or framework theory that you can have prior to a communicative interaction or situation.”

Sometimes context is used to figure out which language is being spoken. Consider a well-known example due to Perry (2000, p. 314):

Ich! (said by several teenagers at camp in response to the question, “Who would like some sauerkraut?”)

Perry says that knowing that this took place in a German rather than an American camp might help one to see that it was made by eager German teenagers rather than American teenagers repelled by the very idea. In this case, context (or rather its *presemantic* use) is pertinent to figuring out which language is being used.

### 5.5.2 Contextual Domains and Subjective Adjectives

A discussion given in (Récanati, 1998) refers to the fact that natural language quantifiers often seem implicitly restricted. When *S* is the sentence “The president shook hands with everyone,” *X* is inclined to think that “everyone” must range over the domain of people who attended the press conference or the reception or the fund-raising dinner or whatever—not everyone in the whole world. Along similar lines, when *Y* utters “Most beggars attended the bash” he is likely to allude to a particular group of beggars (say, those in his neighborhood); it is from this group that many joined the festivities.



In dealing with *subjective (relative)* adjectives such as “large,” the context contributes to meaning in a decisive way. Consider this (Chierchia and McConnell-Ginet, 1990, p. 374): “Lee built a large snowman.” If Lee is a toddler playing in the backyard of his house, the snowman is probably at most as big as Lee himself. On the other hand, if Lee is a teenager competing in a snow carnival, the snowman is probably much bigger than Lee.

One way of dealing with the context-dependent nature of relative adjectives is to assume that the context provides us with a set of comparison classes. Still, with sentences like “A large tadpole is not a large animal” the problem remains unresolved; in the same context different comparison classes are needed for the first and second occurrences of the adjective.

### 5.5.3 Context Renewal

Consider an on-going conversation between *X* and *Y*. *Y* utters *S*, *X* in return utters *S'*, *Y* in return utters *S''*, and so on and so forth. In order to understand say, *S''*, *X* would need to use the previous discourse, or the meaning of ‘what was said earlier’.

That an interactional context is continually being developed with each successive utterance is an observation Heritage (1984) has made in his work on ethnomethodology. According to him, utterances and the social actions they embody are treated as doubly contextual. First, utterances and actions are *context-shaped*. This means that their contributions cannot be adequately appreciated unless the context in which they operate is taken into account. Second, utterances and actions are *context-renewing*. Every utterance will form the subsequent context for some following action in a sequence; it will thus contribute to the contextual framework which lets one understand the next action. Additionally, each action will function to renew context, where renewal is understood as one or more of the processes of maintaining, adjusting, altering, and so on.

In the remainder of this section we look at contributions similar in nature to Heritage’s. Our general point is that at the level of sense-C-meaning Strawson’s scheme would benefit from enhancements of socio-cultural nature.<sup>4</sup>

### 5.5.4 Communicative Competence

Gumperz (1997, pp. 40–41) regards communicative competence as “the knowledge of linguistic and related communicative conventions that speakers must have to initiate and sustain conversational involvement.”

<sup>4</sup>See (Istaitieh and Akman, 2002) for recent work on social aspects of context.

This requires knowledge of social and cultural rules of a language (in addition to a knowledge of grammatical) and preferably addresses the competences of actual speakers, not an idealized standard.

Gumperz (1992) introduces what is known as a *contextualization cue*. He confirms that a given aspect of linguistic behavior (e.g. lexical, prosodic, phonological, etc.) can function as a cue, indicating those aspects of context which are to be taken into account to interpret what is said by a speaker. Contextualization cues hint at relevant aspects of the social context (via particular codes, styles, and dialects), thus enabling participants in a discourse to reason about their respective communicative intentions and purposes.

He also notes that because of its cultural base, the meaning of a conversation is frequently different for different participants if they are not members of the same speech community. Gumperz (1993) offers a case study of how differences in the use of contextualization cues between a native speaker of English and a non-native yet fluent speaker of English cause a serious breakdown in communication.

As another example of a cross-cultural communicative event, Saville-Troike (1989, pp. 131-132) observed the following exchange in a kindergarten on a reservation:

A Navajo man opened the door to the classroom and stood silently, looking at the floor. The Anglo-American teacher said 'Good morning' and waited expectantly but the man did not respond. The teacher then said 'My name is Mrs. Jones,' and again waited for a response. There was none.

The whole exchange is more enlightening but this brief excerpt will serve to illustrate our point. The man's silence is appropriate from a Navajo perspective; it shows respect. What is more, a religious Navajo taboo prohibits individuals from saying their own name. Mrs. Jones's expectation is also reasonable from an Anglo-American perspective; the man must have returned her greeting, identified himself, and stated his reason for being there. It turns out that he was there to take his son, Billy, and that Billy is more accustomed to the Anglo-American ways than his stoic father. As he walks towards his father he waves at Mrs. Jones and says 'Bye-bye' to which she responds similarly.

## 5.6 Conclusion

The originator of a message usually assumes quite a bit of background knowledge on the part of an addressee (Leech, 1981, p. 66). The task of the addressee is to narrow down the list of meanings available to him and attain the intended meaning. Originally, the message may be re-

plete with several potential meanings. By ‘enveloping’ it in increasingly narrower contexts, the number of meanings is reduced. Eventually, it is hoped that just one meaning is isolated as *the* meaning of the message.<sup>9</sup>

This paper argued that there is a certain persuasive approach to studying the feasibility of this problem, first spelled out in “Austin and ‘locutionary meaning’ ” and later taken up in detail in “Meaning and context,” two early papers by Strawson. The approach is both simple and elegant, and we believe that future studies to formalize context (Akman and Surav, 1996, 1997) might profit from its formulaic nature.

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As for our reconstruction of Strawson’s ideas, we hope that our interpretation and (partial) reworking of his work is pretty much accurate. However, as Johnson-Laird (1990, p. 9) rightly cautions:

[T]here is no end to the process of recovering speakers’ intentions—why they chose to communicate this or that information. And a text does not talk back, and hence as its author’s background assumptions fade into obscurity so its interpreters are free to project ever wider and ever more idiosyncratic readings into it.

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<sup>9</sup>While this would definitely require another, full-fledged treatment elsewhere, it must be noted that via his ingenious construct *grafting*, Derrida has argued against this possibility. With this term he refers to the process of inserting an utterance onto a context that alters its functioning (or imagining a context in which an initially meaningless sentence would have meaning). For Derrida, context is infinitely expandable. And while meaning is context-bound, context is boundless. Sympathizing with Derrida, Culler (1988, p. 148) mentions a court case, *Frugaliment vs. BNS International Sales Corp.*, where several witnesses were summoned to confirm what *chicken* meant in the chicken trade: a bird of any age or a young broiler, fryer, or roaster. The lawyers involved in the case knew that context is produced, and that since context is not saturable, a contextualization is never completed (Edmonds and Akman, 2002).

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