Adolescent risk-taking as a function of prenatal cocaine exposure and biological sex

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1. Introduction

Previous research on the effects of prenatal cocaine exposure (PCE) has demonstrated that there are both cognitive and regulatory impairments during childhood that are associated with cocaine exposure (Ackerman et al., 2010). Further, these impairments often manifest most strongly when moderated by biological sex and, in some cases, by environmental risk (Lewis and Kessler, 2011). In particular, PCE males who are also from high risk environments tend to show increased impairments for sustained attention, inhibitory control, emotion regulation, aggression, and other antisocial behavior problems throughout childhood (Bandstra et al., 2001; Delaney-Black et al., 2004; Dennis et al., 2006; Kessler et al., 2011; Richardson et al., 2009). Though more limited, research on preadolescence seems to indicate a continuation of the cognitive and regulatory impairments found at earlier ages (Bada et al., 2011; Bennett et al., 2007, 2013; Bridgett and Mayes, 2011; Carmody et al., 2011). With the onset of adolescence comes an increase in particular types of risk-taking behavior (Steinberg, 2004), making this an important developmental period in which to examine the effects of PCE. The central question being asked by the current study is whether prenatal exposure to cocaine can predict adolescent risk-taking, especially for males, while controlling for the effects of early environmental risk.

Liu and Lester (2011) have proposed a developmental model for how PCE impacts dopamine and hypothalamic–pituitary–adrenal (HPA) systems during early brain development. They discuss some of the short-term consequences of disruption to these systems but argue that there are also long-term effects that may manifest after “prolonged incubation”. These long-term effects are a consequence of both adaptations of the brain to the early prenatal cocaine environment as well as interference to the extensive brain maturational processes that take place during adolescence. In particular, PCE may affect the prefrontal cortex (PFC) as indicated by imaging studies showing children with PCE to exhibit differences in activation of the PFC during a response inhibition task as well as differences in the microstructure of frontal white matter (Sheinkopf et al., 2009; Warner et al., 2006). A more recent study of young adolescents found that, relative to non-exposed peers, the PCE group had lower fractional anisotropy in the right arcuate fasciculus (axons connecting the Broca’s area and Wernicke’s area) and the structural abnormalities were related to executive functioning (Lebel et al., 2013). Such differences may negatively impact the
cognitive processes of regulation, attention, and inhibition. In turn, these cognitive and regulatory abilities may be essential for proper impulse control, rule compliance, and decision-making that involve the potential for risk-taking.

Adolescence presents children with new realms of potential social interactions as well as expanded independence. Consequently, the opportunity and prevalence of risk-taking behaviors increase during adolescence (Jessor, 1991; Steinberg, 2004) and may set the stage for maladaptive behavior in adulthood. Risk-taking behaviors are those that are defined by their potential for harm as well as reward (Leigh, 1999). Research focused on risk-taking in adolescents has often used self-report measures of the frequency of prior health risk behaviors (CDC, 2011). This raises two issues: first, the prevalence of health risk behaviors does not provide researchers with a person-level variable for how to understand the propensity of different groups to engage in risk-taking. That is, measures of prior risk-taking behavior are not optimal for efforts aimed at preventing those behaviors in the first place. Second, although other measures may capture aspects of personality that are related to risk-taking (e.g., sensation seeking, impulsivity, etc.), they tend also to rely on subjective reporting (Lejuez et al., 2002).

In an effort to address these limitations, Lejuez et al. (2002) developed the Balloon Analogue Risk Task (BART). The BART is an objective measure of decision-making that captures the harm versus reward dynamic characteristic of risk-taking. Previous research has demonstrated that the BART is reliable both within a single session as well as across administrations (Lejuez et al., 2003a; White et al., 2008). With respect to validity, research has consistently demonstrated that performance on the BART is related to real-world risk-taking behavior from a variety of domains—substance use, health and safety, and delinquency (Aldin et al., 2005; Fernie et al., 2010; Lejuez et al., 2003a,b). Finally, the reliability and validity of the task have also been demonstrated for inner-city adolescents (Lejuez et al., 2007).

Recent research has demonstrated that PCE is related to poor inhibitory control, impulsivity, and aggression, and factors such as these form the basis of subsequent risk-taking and antisocial behavior more broadly (Bendersky et al., 2006; Bendersky and Lewis, 1998; Bridgett and Mayes, 2011; Campbell et al., 2000; Richardson et al., 2011). There is also a growing body of evidence indicating that males may be more affected by PCE than females and this effect remains robust after controlling for environmental risk variables (Bennett et al., 2013; Delaney-Black et al., 2004; Lewis and Kestler, 2011; Liu and Lester, 2011). Controlling for environmental risk is especially important for research on the effects of PCE because many children with PCE reside in high risk environments (Bendersky et al., 1996; Bendersky et al., 2006). Finally, given the relative lack of adolescent data on PCE and risk-taking behavior and the reliance on self-, caregiver-, and teacher-reports in this literature, the current study explored the relation between PCE and biological sex on risk-taking propensity during adolescence while controlling for early environmental risk. Specifically, we hypothesized that PCE males would show a higher propensity for risk-taking than unexposed males or females from either group.

2. Methods

2.1. Participants

One hundred and fourteen adolescents completed the BART task during their 16-year laboratory visit as part of a larger longitudinal study examining the developmental effects of prenatal cocaine exposure (Kestler et al., 2011). Mothers were recruited from hospital-based prenatal clinics in low socioeconomic status areas of Philadelphia and Trenton. Children were excluded from the study if they were born before 32 weeks of gestation, required special care or oxygen therapy for more than 24 h, exhibited congenital abnormalities, were exposed to opiates or PCP in utero, or were born to mothers infected with HIV.

Two hundred and fifty eight children participated in the first laboratory visit at 4 months and were invited back for follow-up sessions after that. Of the 114 adolescents who participated in the current study, 56 were male and 58 were female with a mean age of 16.0 years (SD = 0.29 years). The participants were predominantly African-American (96%) and 48 (42%) were exposed to cocaine. Comparing those who participated at 16 years (current-sample) and those who did not (4-month-sample) there were no significant differences in biological sex (Malecurrent-sample = 50%, Male4-month-sample = 50%; p = .96; missing data = 0%), cocaine exposure (Exposedcurrent-sample = 62%, Exposed4-month-sample = 69%; p = .50; missing data = 0%), neonatal medical risk (Mcurrent-sample = 5.68, SD = 4.16, M4-month-sample = 5.40, SD = 4.13; p = .63; missing data = 27%), or environmental risk (Mcurrent-sample = 3.58, SD = 1.63, M4-month-sample = 3.80, SD = 1.70; p = .31; missing data = 20%). Multiple imputation was performed on the data set in order to provide accurate statistical estimates for missing data on alcohol, cigarette, marijuana, and environmental risk variables (Schafer and Graham, 2002) using SPSS Version 21.0 MCMC algorithm. Data were assumed to be missing at random (MAR) conditional on the observed data. Although five imputation datasets are often sufficient to eliminate bias, estimates are more efficient with a larger number of imputations and as such twenty imputations were performed (Rubin, 1987; Schafer and Graham, 2002).

2.2. Procedure

The participants came with their parent (typically their mother) and were seen at birth, every 4 months during their first year, and every 6 months thereafter with the exception of the 9.5-year laboratory visit. This schedule allowed for a maximum of 30 visits and children were typically seen within a few weeks of their birthday early in the study extending out to within 3–4 months of their birthday by late adolescence. During their visits, children were assessed on a number of instruments used to measure their emotional, behavioral, and cognitive functioning. At the 16-year visit, the participants were administered the computer-based Balloon Analogue Risk Task (BART) to assess risk-taking.

2.3. Measures

2.3.1. Predictors of adolescent risk-taking behavior

2.3.1.1. Prenatal substance exposure. Maternal use of cocaine during pregnancy is consistently associated with the use of alcohol, cigarettes, and marijuana. Accordingly, substance use information was obtained from a semi-structured interview administered to mothers within two weeks of their infant’s birth. Questions included information about the frequency and amount of the mother’s use of cocaine, alcohol, cigarettes, and/or marijuana during their pregnancy. Children were classified as exposed or unexposed to cocaine on the basis of both neonatal meconium screens and maternal interviews. There were no discrepancies between biological and self-report measures of prenatal cocaine exposure. A maternal report for 16 of the 258 children was missing because mothers were not available for an interview but these children were retained on the basis of their meconium screens. Prior reports from this sample have explored dosage considerations for cocaine exposure; however, in those analyses, the dichotomous measure was better able to predict outcomes (e.g., Bendersky et al., 2006; Bendersky and Lewis, 1999; Bennett et al., 2007, 2008). Accordingly, cocaine was dichotomized into exposed and unexposed groups (1 vs. 0).

Although research focused on prenatal alcohol exposure can dichotomize that variable for some purposes (Sood et al., 2005), research focused on prenatal cocaine exposure tends to maintain the assumption of a dosage response (Mayes et al., 2003; Richardson et al., 2013).
In order to maintain this assumption and reduce skew, the distributions of substance use, the alcohol, cigarette, and marijuana exposures were categorized according to a previously used coding scheme (Bennett et al., 2008, 2013): alcohol (0 = 0 drinks/day, 1 = 0.01–1.00/day, 2 = 1.01–2.00/day, 3 = 2.01–3.00/day, and 4 = more than 3.00/day); cigarettes (0 = 0 cigarettes/day, 1 = 0.01–1.00/day, 2 = 1.01–5.00/day, 3 = 5.01–10.0/day, and 4 = more than 10/day); and marijuana (0 = 0 joints/day, 1 = 0.01–0.50/day, 2 = 0.51–1.00/day, and 3 = more than 1.00/day).

2.3.1.2. Environmental risk index. Environmental risk variables were assessed through maternal interviews during each of the nine laboratory visits: 4-months, 1.5-, 2.5-, 4.5-, 7.0-, 8.5-, 10.0-, 11.5-, and 13.0-years. Risk variables were selected on the basis of prior research and each of them has been shown, individually, to be relevant for predicting developmental outcomes (Sameroff et al., 1987a). All variables were standardized and dichotomized into high risk (top 25%) and low risk (bottom 75%) groups (Sameroff et al., 1987b). For each variable high risk groups received a value of one and low risk groups zero. The risk variables included: maternal life stress based on the Social Environmental Inventory, maternal social support network size based on the Norbeck Social Support Questionnaire, number of regular caregivers (greater number = higher risk), regularity of the child’s schedule and stability of their surroundings based on the Family Chaos Scale (R. Seifer, personal communication), single parenthood (single parent = higher risk), maternal education, and public assistance (public assistance as main source of income = higher risk).

Given that risk factors tend to cluster in the same individual (Masten et al., 1995) and because any single risk variable generally does not predict outcomes as well as a composite, a cumulative environmental risk index score was calculated for each participant. Composite scores are more stable than using individual measures and they are more powerful in terms of detecting effects (e.g., Burchinal et al., 2000).

Given our further interest in controlling for the effects of early environmental risk, which often co-occurs with prenatal substance exposure (Bendersky et al., 1996), we composited the 4-month, 1.5-year, 2.5-year environmental risk scores into a total early environmental risk score. The reason for this decision was based on both theoretical and statistical grounds. Environmental risk is related to children’s early self-regulatory processes (Raver, 2004; Sackett et al., 2010) and such processes have been shown to predict a broad range of developmental and health related outcomes through adolescence (Hoerger et al., 2011; Mischel et al., 2011). Given that these self-regulatory developments take place during the first five years of life, we restricted our potential environmental risk time points to that period (4-months, 1.5-, 2.5-, & 4.5-years). Next, the results from a correlation analysis of those first four time points indicated the strongest relations between the first three. Environmental risk at four months was highly correlated with environmental risk at the first two time points ($r = .55$; $p < .001$) but the magnitude of the correlation dropped substantially at 4.5 years ($r = .28$; $p = .012$). Therefore, in order not to obscure possible effects on adolescent risk-taking that may stem from early, as opposed to later, environmental risk and in accordance with both theoretical and statistical criteria, we combined the first three environmental risk scores.

2.3.2. Measure of adolescent risk behavior

2.3.2.1. Risk-taking. The Balloon Analogue Risk Task (BART) is a computer-based measure designed to assess risk-taking propensity (Lejuez et al., 2002). The task involves pumping up a balloon to earn points that are stored in a temporary bank. When the participants decide, they can stop pumping the balloon and transfer their earnings into a permanent bank; however, if the balloon explodes before the points are transferred, they lose whatever earnings were in the temporary bank. A new balloon appears after either an explosion or a transfer for a total of 30 balloons. Balloon explosions were predetermined and could happen after as few as one pump or as many as 128 pumps. The average balloon explosion point was 64 pumps. Based on the instructions of Lejuez et al. (2002) the participants were not given any specific probability information. Instead, they were instructed, “The bigger you pump up the balloon, the more points you will build up. But if the balloon pops, then you lose the points built up on that balloon”. Consistent with prior research using the BART (Aklin et al., 2005; Fernie et al., 2010; Lejuez et al., 2003a,b), our dependent measure was the adjusted average number of pumps (i.e., average number of pumps on balloons that did not explode). Excluding trials in which balloons explode is preferred because those trials necessarily constrain participant variability for the unadjusted measure (Lejuez et al., 2002). These scores were then dichotomized into high risk (top 25%) and low risk (bottom 75%) groups (Crowley et al., 2009; Sameroff et al., 1987b).

3. Results

3.1. Bivariate correlations

Table 1 presents the correlations between study variables. Male sex and prenatal exposure to alcohol were associated with high risk-taking. PEC, early environmental risk, prenatal cigarette exposure, and prenatal marijuana exposure were unrelated to risk-taking. Prenatal alcohol, cigarette, and marijuana exposure were evaluated in order to determine whether to include them as covariates in the regression analyses. Neither cigarette exposure nor marijuana exposure had $p$ values less than .20 and were thus not included as covariates in predicting risk-taking. Although early environmental risk was not associated with risk-taking at a $p$ value of less than .20, it was retained given our interest in environmental risk and its previously found effects on risk-taking and related competencies (Evans and English, 2002; Fergusson and Woodward, 2000; Scaramella et al., 1998). Further, past research has demonstrated the importance of including environmental risk as a co-predictor for regression analyses involving prenatal cocaine exposure (Bendersky et al., 1996, 2006; Bennett et al., 2013).

3.2. Prenatal cocaine exposure, biological sex, and a sex by cocaine interaction as predictors of adolescent risk-taking behavior

A hierarchical binary logistic regression was conducted to examine the relations of three predictors (biological sex, PEC, and a biological

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1 Separate correlation matrices for males and females indicated that the relation between alcohol exposure and risk-taking was moderated by sex such that only exposed females exhibited significant correlations with risk-taking. Correlations between prenatal cocaine and alcohol exposure were significant for both males and females.
sex by PCE interaction) of risk-taking on the BART while controlling for early environmental risk and prenatal alcohol exposure. Biological sex and PCE were entered in step one to allow for an examination of their main effects, followed by the interaction term in step two. The covariates, environmental risk and prenatal alcohol exposure, were entered in step three.

The full model was statistically significant in differentiating between high and low risk-taking behavior on the BART (χ²(5) = 19.95, p < .001). Table 2 presents the beta weights and significance levels for each variable for each of the three steps. In the full model, biological sex and the biological sex by PCE interaction were significant at p < .05 while the effect of prenatal exposure to alcohol approached significance (p = .053). Both the main effects for PCE and early environmental risk were non-significant predictors of risk-taking. The odds ratio for biological sex indicated that when controlling for the other variables, males were 3.5 times more likely than females to be high risk-takers. Furthermore, the likelihood of scoring high on risk-taking increased as prenatal exposure to alcohol increased such that for each one-point increase of maternal alcohol consumption, the odds of scoring high on risk-taking doubled.

Although early environmental risk did not predict adolescent risk-taking, additional analyses were conducted to explore possible interaction effects between environmental risk and both PCE and biological sex. Neither of these two interaction terms was significant when entered into step three of the model by themselves, together, or in combination with the sex by PCE interaction term from the original analysis. In each case the interaction terms involving environmental risk were not significant and, whenever included, the biological sex by PCE interaction term remained significant.

Additional analyses were conducted to explore the nature of the significant interaction between biological sex and PCE. Two follow-up regression analyses were conducted each using two steps. In step one, the sex by PCE interaction term was entered followed by the control variables in step two. The first follow-up analysis used exposed males as the reference group and found that the models from both steps were significant (χ²(3) = 8.68, p < .05) and (χ²(5) = 13.62, p < .01).

In step one there was a marginally significant effect (p = .06) for exposed males such that they were more likely to score high on risk-taking relative to unexposed males (see Fig. 1). This difference was eliminated after controlling for risk and alcohol exposure in step two (see Fig. 2). The second follow-up analysis used exposed females as the reference group and found that the models from both steps were significant (χ²(3) = 7.11, p < .05) and (χ²(5) = 12.85, p < .01). In step one, exposed females were not significantly different from exposed males (see Fig. 1); however, after controlling for risk and alcohol exposure in step two, there was a significant effect (p < .05) such that exposed females were less likely to score high on risk-taking relative to unexposed females (see Fig. 2).

### Table 2

<table>
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<th>Model</th>
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<td>3.51</td>
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<td>.11</td>
<td>.032*</td>
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</tr>
<tr>
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Note: Env. risk, early environmental risk.

*p < .05, *p < .10.

### Table 3

<table>
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<th>Variable</th>
<th>Proportion of High Risk-Takers</th>
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### Fig. 1

Proportion of children classified as high risk-takers according to sex and prenatal cocaine exposure (expected value = .25) without covariates.

### Fig. 2

Proportion of children classified as high risk-takers according to sex and prenatal cocaine exposure adjusted for prenatal alcohol exposure and early environmental risk (expected value = .25).

### 4. Discussion

The current study examined adolescent risk-taking behavior on the BART and its relation to PCE and biological sex, while controlling for early environmental risk and prenatal alcohol exposure. Adolescence is a particularly important period of development for two reasons: first, adolescence involves increasing independence and exposure to potentially risky activities (Steinberg, 2004); second, adolescence involves significant brain development (Casey et al., 2008). Accordingly, if the detrimental effects of PCE manifest during periods of rapid brain maturation (Liu and Lester, 2011), then it is important for research on the potential effects of PCE to explore the adolescent age group. For example, recent studies have demonstrated the long-term negative impact of PCE on increased adolescent substance use (Delaney-Black et al., 2011; Richardson et al., 2013).

A main finding from the present study was that males were 3.5 times more likely than females to be high risk-takers. This finding is consistent with evidence indicating that males tend to engage in greater real-world risk-taking than females in general (Byrnes et al., 1999; Harris et al., 2006). However, this general sex difference is not uniform across risk-taking domains and in some cases the difference seems to have narrowed over the past few decades of research (Byrnes et al., 1999; Abbott-Chapman et al., 2008). For the BART in particular, the effects of...
than females in some (Lejuez et al., 2002; Lejuez et al., 2007) but not biological sex are more varied. Males have been shown to score higher on risk-taking while stressed females showed increased risk-aversion for females and risk seeking for males. Speciﬁcally, Lighthall et al. (2009) review evidence for the interaction effect is a new manifestation of long-term effects of PCE for emotion regulation, aggression, externalizing problems, and neighborhood violence exposure). While these other variables are clearly important, the current measure has been used previously to demonstrate the impact of environmental risk for other cognitive, emotional, and behavioral outcomes earlier in development (Bennett et al., 2008, 2013; Bendersky et al., 2006; Kestler et al., 2011). A third possibility to explain why early risk did not predict adolescent risk-taking is that the negative effects of early environmental risk are mediated by other variables. Self-regulation abilities or neighborhood environment might mediate the effect of early risk on adolescent risk-taking despite the absence of a direct effect between the two variables (Hayes, 2009). Alternatively, early environmental risk may indirectly influence adolescent risk-taking through a sequence of cascading effects in which early risk represents the ﬁrst “domino” in the sequence.

The present study has several limitations. First, a measure of environmental risk speciﬁc to adolescence would provide a more comprehensive understanding of the potential impact of this variable on risk-taking. Second, although the BART has shown evidence of external validity across a number of categories of risk-taking (Akin et al., 2005; Fernie et al., 2010; Lejuez et al., 2003a,b) the current ﬁndings need to be extended using other “real-world” assessments of risk-taking. Third, this study was conducted with a low SES, urban, predominantly African-American sample and the ﬁndings may not generalize to other populations.

5. Conclusion

With increased independence and opportunity, the prevalence of risk-taking increases during adolescence and may set the stage for maladaptive behavior into adulthood. Therefore, the ability to identify children who are more likely to engage in risk-taking behaviors is a crucial aspect of any efforts aimed at prevention. The results from the current study indicate that PCE, prenatal alcohol exposure, and biological sex are related to the propensity for risk-taking behavior in adolescence. However, we do not know if the biological sex by PCE interaction effect is a new manifestation of long-term “sleeper” effects on adolescent brain maturation (Liu and Lester, 2011) or rather, a continuation of an impairment pattern that has been demonstrated throughout childhood and preadolescence (Kestler et al., 2011). Further, the nature of the biological sex by PCE interaction changed after controlling for alcohol such that PCE females showed signiﬁcantly greater risk-aversion relative to their unexposed peers. Future research will need to consider the possibility that, under certain conditions (e.g., conditions of stress, prenatal substance exposure, etc.), males and females may have different response proﬁles to risk-taking (enhancement vs. aversion) as well as differences in the most relevant predictors of those proﬁles.

Conflict of interest statement

No conﬂicts of interest to declare.

Appendix A. Supplementary data

Supplementary data to this article can be found online at http://dx.doi.org/10.1016/j.ntt.2013.12.003.