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I.V. Ostrovskii

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On the Zero Distribution of Remainders of Entire Power Series

I. V. OSTROVSKII*

*Department of Mathematics, Bilkent University, 06533 Bilkent,
Ankara, Turkey and B. Verkin Institute for Low Temperature
Physics and Engineering, 47 Lenin ave, 61164 Kharkov, Ukraine*

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It has been shown by the author that, if all remainders of the power series of an entire function f have only real positive zeros, then $\log M(r, f) = O((\log r)^2)$, $r \rightarrow \infty$. The main results of the paper are the following: (i) if at least two different remainders have only real positive zeros, then $\log M(r, f) = O(\sqrt{r})$, $r \rightarrow \infty$; (ii) this estimate cannot be improved even in the case if one replaces two by any given finite number of remainders.

Keywords: Angular zero distribution; Entire function; Power series; Remainder

AMS Classification Categories: 30D20, 30D10

1. INTRODUCTION

Let

$$f(z) = \sum_{k=0}^{\infty} a_k z^k \quad (1)$$

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be a power series with infinite radius of convergence. Let

$$r_n(z) = \sum_{k=n}^{\infty} a_k z^k \quad (2)$$

be its n th remainder.

In [3] the following theorem has been proved.

THEOREM A *If, for all sufficiently large n , the remainders r_n have only real nonnegative zeros, then*

$$\log M(r, f) = O((\log r)^2), \quad r \rightarrow \infty.$$

This bound is the best possible in the sense of order.

On the other hand, the following theorem holds.

THEOREM B *If there exist two values, n_1 and n_2 , such that the remainders r_{n_1} and r_{n_2} are different and have only real nonnegative zeros, then*

$$\log M(r, f) = O(\sqrt{r}), \quad r \rightarrow \infty. \quad (3)$$

This bound is the best possible in the sense of order.

In a weaker form, this theorem has been proved in [3]; in the above formulation, we will prove it here.

The question arises what will happen when one considering other sets of values of n (than those mentioned in Theorems A and B), for which remainders r_n have only real nonnegative zeros. We are going to prove that any finite set of values of n does not imply any better bound, than (3). More precisely, we will prove the following theorem.

THEOREM C *Let $N \geq 2$ be an arbitrary integer. There exists an entire function f satisfying*

$$\liminf_{r \rightarrow \infty} \frac{\log M(r, f)}{\sqrt{r}} > 0 \quad (4)$$

and such that the remainders $r_0, r_1, r_2, \dots, r_N$ are different and have only real nonnegative zeros.

2. MAIN LEMMA

Denote by \mathbf{T} the class of all real entire transcendental functions f satisfying the condition: there exists a constant $\alpha_f > 0$ such that all roots of the two equations:

$$f(z) - \alpha_f = 0, \quad f(z) + \alpha_f = 0 \quad (5)$$

are positive.

LEMMA *If $f \in \mathbf{T}$, then there exists a real constant $\beta \neq 0$ such that $zf(z) + \beta \in \mathbf{T}$.*

Proof We will use the following properties of a function $f \in \mathbf{T}$:

- (i) f is of order not greater than $1/2$.
- (ii) Zeros $\{a_k\}_{k=1}^{\infty}$ of f and zeros $\{b_k\}_{k=1}^{\infty}$ of f' are positive simple and interlace, i.e.,

$$0 < a_1 < b_1 < a_2 < b_2 < a_3 < \dots$$

- (iii) $|f(b_k)| \geq \alpha_f$ for $k = 1, 2, \dots$

These properties can be found in [2, §1] where reality instead of positivity of roots of (5) is considered.

If $f \in \mathbf{T}$, then $f(z^2)$ belongs to the class considered in [2] therefore (i)–(iii) follow easily.

Without loss of generality we can assume that $f(0) > 0$. Then f is decreasing on

$$(-\infty, b_1] \cup [b_2, b_3] \cup [b_4, b_5] \cup \dots$$

and is increasing on

$$[b_1, b_2] \cup [b_3, b_4] \cup [b_5, b_6] \cup \dots$$

Evidently (see Fig. 1), for sufficiently small $\varepsilon > 0$, the number of roots of the equation

$$f(x) = \frac{\varepsilon}{x}$$

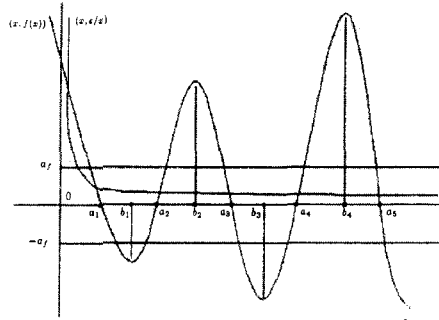


FIGURE 1

lying on the interval $[0, b_k]$, $k = 1, 2, \dots$, is not less than $k + 1$. Hence the function $zf(z) - \varepsilon$ has at least $k + 1$ zeros on $[0, b_k]$, $k = 1, 2, \dots$.

Let us consider the rectangle

$$Q_{k,R} = \{z : |\operatorname{Re} z| < b_k, |\operatorname{Im} z| < R\}, \quad k = 1, 2, \dots$$

and show that, for k large enough, we can choose R so large that the following inequality holds

$$|zf(z)| > \varepsilon, \quad \text{for } z \in \partial Q_{k,R}. \quad (6)$$

The Hadamard product representation

$$f(z) = f(0) \prod_{k=1}^{\infty} \left(1 - \frac{z}{a_k}\right) \quad (7)$$

implies that $|f(x + iy)|$ is an increasing function of $|y|$ for any fixed x . Since $|f(b_k)| \geq \alpha_f$, $|f(-b_k)| > f(0)$, this implies that $|f(\pm b_k + iy)| \geq \min(\alpha_f, f(0))$ for all real y and hence $|zf(z)| > \varepsilon$ on the lines $\{z : \operatorname{Re} z = \pm b_k\}$ for large enough k . Since (7) implies also that $|f(x + iy)| \rightarrow \infty$ as $|y| \rightarrow \infty$ uniformly on any compact set of values of x , we can choose R so large that $|zf(z)| > \varepsilon$ on the horizontal sides of $Q_{k,R}$. Thus, (6) holds.

Since the number of zeros of $zf(z)$ in $Q_{k,R}$ is equal to $k + 1$, Rouché's theorem implies that all zeros of $zf(z) - \varepsilon$ in $Q_{k,R}$ are positive. We conclude that all zeros of $zf(z) - \varepsilon$ are positive for all sufficiently small ε .

Let us fix such an ε and consider $F(z) = zf(z) + \beta$ with $\beta = -\varepsilon/2$. Then all zeros of both functions $F(z) - |\beta|/2 = zf(z) - 3\varepsilon/4$ and $F(z) + |\beta|/2 = zf(z) - \varepsilon/4$ are positive. Thus, $F \in \mathbf{T}$. ■

3. PROOF OF THEOREM C

Firstly, let us do two following remarks. (i) If $f(z)$ and $r_n(z)$ are defined by (1) and (2) respectively, then the 'normalized' remainders

$$t_n(z) := z^{-n}r_n(z), \quad n = 0, 1, 2, \dots$$

satisfy the equation

$$t_{n-1}(z) = zt_n(z) + a_{n-1}, \quad n = 1, 2, \dots$$

(ii) Theorem C will be proved if we construct an entire function f satisfying (4) and such that $t_0, t_1, t_2, \dots, t_N$ have only nonnegative zeros.

Let us choose as t_N any entire function belonging to \mathbf{T} and satisfying (4). For instance, we can choose $t_N(z) = \cos\sqrt{z}$. Then, by the main lemma, we choose a real constant $a_{N-1} \neq 0$ such that $t_{N-1}(z) = zt_N(z) + a_{N-1}$ belongs to \mathbf{T} . Using the lemma once again, we choose $a_{N-2} \neq 0$ such that $t_{N-2}(z) = zt_{N-1}(z) + a_{N-2}$ belongs to \mathbf{T} and so on. Repeating these reasonings, we conclude that the function

$$f(z) = a_0 + a_1z + a_2z^2 + \dots + a_{N-1}z^{N-1} + z^N t_N(z)$$

has the desired properties. ■

4. PROOF OF THEOREM B

We will prove a more general result. To formulate it, let us consider a finite system of rays

$$D = \bigcup_{j=1}^p \{z : \arg z = \alpha_j, 0 \leq |z| < \infty\},$$

$$0 \leq \alpha_1 < \alpha_2 < \dots < \alpha_p < 2\pi,$$

and set

$$\gamma = \min\{\alpha_{j+1} - \alpha_j : j = 1, 2, \dots, p\}, \quad \alpha_{p+1} = \alpha_1 + 2\pi.$$

THEOREM D *Let $f(z)$ be an entire function. Assume that there exist two different polynomials, P_1 and P_2 , such that all but a finite number of zeros of functions*

$$f - P_m, \quad m = 1, 2,$$

are located on the system D . Then

$$\log M(r, f) = O(r^{\pi/\gamma}), \quad r \rightarrow \infty.$$

We obtain Theorem B by applying Theorem D to the system D consisting of one positive ray ($\gamma = 2\pi$) and to

$$P_m(z) = \sum_{k=0}^{n_m-1} a_k z^k, \quad m = 1, 2.$$

To prove Theorem D, we apply the following result (see [1, Ch. VI, §2, Theorem 2.4]):

THEOREM *Let F be a meromorphic function such that all but a finite number of its zeros and poles are located on a system D . If F has a finite nonzero Borel exceptional value, then*

$$T(r, F) = O(r^{\pi/\gamma}), \quad r \rightarrow \infty.$$

If f is a transcendental entire function satisfying conditions of Theorem D, then all but a finite number of zeros and poles of the meromorphic function

$$F(z) = \frac{f(z) - P_1(z)}{f(z) - P_2(z)}$$

are located on the system D . Moreover, since

$$F(z) - 1 = \frac{P_2(z) - P_1(z)}{f(z) - P_2(z)},$$

the value 1 is Borel exceptional for F . Hence, by the theorem quoted above, we have

$$T(r, F) = O(r^{\pi/\gamma}), \quad r \rightarrow \infty.$$

Since $T(r, f) = T(r, F) + O(\log r)$, $r \rightarrow \infty$, we get

$$\log M(r, f) \leq 3T(2r, f) = O(r^{\pi/\gamma}), \quad r \rightarrow \infty. \quad \blacksquare$$

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