Sterilization of PMMA microfluidic chips by various techniques and investigation of material characteristics

Cansu Yavuz^a, Samad Nadimi Babil Oliaei^b, Barbaros Cetin^c, Ozlem Yesil-Celiktas^{a,*}

^a Novel Fluidic Technologies and Applications Group, Department of Bioengineering, Faculty of Engineering, Ege University, 35100 Bornova, Izmir, Turkey

^b Microsystem Design and Manufacturing Center, Mechanical Engineering Department, Ihsan Dogramaci Bilkent University, 06800 Ankara, Turkey

^c Microfluidics & Lab-on-a-chip Research Group, Mechanical Engineering Department, Ihsan Dogramaci Bilkent University, 06800 Ankara, Turkey

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ABSTRACT

The sterilization of microfluidic chips is a vital step of the fabrication process prior to the customer use in biomedical applications. The aim of this study was to analyze the influence of different sterilization techniques and to compare the characteristics of the material before and after sterilization of polymethylmethacrylate (PMMA) microchips. For this, supercritical carbon dioxide (SC-CO₂) along with standard sterilization methods such as ultraviolet (UV), heat (autoclaving), ethylene oxide (EtO) and hydrogen peroxide (H₂O₂) were applied. The treated microchips were analyzed by Scanning Electron Microscopy, Differential Scanning Calorimetry, Fourier Transform Infrared Spectroscopy and Laser Scanning Microscopy in order to ascertain any changes in the chemical structure and surface morphology. The optimum sterilization parameters for SC-CO₂ were elicited as 120 bar, 40 °C and 60 min which provided complete sterility and did not alter the main properties of the polymer along with EtO and H₂O₂ sterilizations unlike heat and UV treatments. However, surface roughness and microchannel profiles were negatively affected. Although complete sterility was achieved, each protocol has its own strengths and weaknesses.

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1. Introduction

In the past decade, the application of microfluidic technology has grown rapidly in life sciences [1,2]. Recent developments in microfluidics revealed some functions that is included in synthesis and analysis of chemical and biological materials such as genes and proteins, drug delivery, medical diagnostics, cell culture, understanding cell behavior and cell-handling [3,4]. Microchips have several advantages such as fast screening of parameters, rapid mass and heat transfer, low reagent consumption, small and controlled microenvironments and reproducibility [5]. The materials used for construction of microreactors are of prime importance and vary based on the targeted application. Polymethylmethacrylate (PMMA) is commercially the most important member of a range of acrylic materials and used primarily in plastic applications due to excellent mechanical and chemical properties [6]. As PMMA is disposable, biocompatible, transparent, impermeable to air, effective to fabricate and suitable for mass fabrication, it is preferred as

a polymeric material in the fabrication of microfluidic devices [2,4] and medical applications [6]. Applications of microfluidic systems based on cell and tissue culture are now emerging as physiologically relevant microenvironments can be fabricated by *in vitro* cell culture. Microfluidic cell culture poses elicitation of specific sterilization requirements. Current methods of sterilization for the removal of microorganisms from medical devices include steam autoclaving, ethylene oxide treatment, UV and hydrogen peroxide treatment [7–9]. However, the techniques have obvious drawbacks and cannot be applied for sterilization of some materials such as polymeric medical devices and heat-sensitive biomaterials [8–10].

Sterilization with supercritical carbon dioxide (SC-CO₂) is reported as an alternative technique for sterilization of biological pathogens [7]. Carbon dioxide has many features such as low critical temperature and pressure, is inexpensive, non-toxic, inert and non-flammable. Moreover, it can be completely removed from the material that is processed with SC-CO₂ [11–13]. Steam sterilization is the most common technique because of its low cost, effectiveness, easiness to process and not producing toxic compounds [14]. However, steam sterilization operates at 121 °C so heat-sensitive materials will be damaged or destroyed. Therefore, UV and ethylene oxide sterilization can be applied to heat-labile materials [10]. Ethylene oxide is flammable and toxic, ethylene oxide residues on

* Corresponding author.

E-mail addresses: ozlem.yesil.celiktas@ege.edu.tr, ozlemyesil@gmail.com (O. Yesil-Celiktas).

Fig. 1. Injected parts of PMMA (A) and the single channel microfluidic chip (B).

the surfaces can cause hemolysis and become carcinogen [7,10]. In addition, the sterilization period is very long [8]. Hydrogen peroxide is not toxic and it has a highly microbicidal effectiveness against a broad spectrum of microorganisms [15]. Hydrogen peroxide plasma produces large amounts of free radicals in order to achieve sterilization. These free radicals may adversely affect the chemistry of the sterilized material [9]. This technique is safe for the human body and harmless to the environment [8]. UV radiation has the DNA as main target for microorganisms elimination [14].

In this study, supercritical CO₂ sterilization of PMMA microchip was investigated and compared with those of standard sterilization techniques both in terms of sterility and possible changes in the characteristics of the polymeric material. Scanning Electron Microscopy (SEM), Differential Scanning Calorimetry (DSC) and Fourier Transform Infrared Spectroscopy (FTIR) were used to determine physical and chemical properties of material after sterilization. Although, various studies were reported in regards to terminal sterilization of bacterial endospores [9,16] and microbial inactivation using high pressure carbon dioxide [17–19], sterilization of a microfluidic chip using supercritical carbon dioxide has not been presented before to the best of our knowledge.

2. Materials and methods

2.1. Materials

Tryptic Soy Broth (TSB) and Thioglycollate Broth (TGB) were obtained from Sigma. Sterilization paper was purchased from Tyvek roll and chemical indicator was purchased from Sterrad for hydrogen peroxide treatment. Ethanol was purchased from Merck. Nanopure water used in the analysis was prepared by using in-house nanopure water system (SartoriusArium 611, Sartorius-Stedim, Gottingen, Germany). Sterile cabin and incubator were used for incubation under steril conditions (Jauan-MS12/Class II, Forma series II-Hepa class 100 respectively). Ethylene oxide sterilizer was used (Axis AX-60 & AX135 series) and UV sterilizer was used (GOLDTERM, UV 1679 model).

2.2. Fabrication of microfluidic chips

The PMMA microchip was fabricated using injection molding process, where the mold was fabricated out of blank (unmachined) mold by using the high precision CNC system (Deckhel Maho DMU 50) at Bilkent University Micro System Design and Manufacturing Center. The mold material was chosen as the stainless mold steel CK – 50 AISI 1.1050. Four-tooth coated carbide tools were preferred in the machining process and a 5° draft angle was introduced at the side-walls of the microchannels and the mold cavity for the ease of demolding. During the machining of the mold, firstly, the

runner, gate and mold cavities were roughly machined and then the base and side-walls of the cavity were machined with 0.5 mm tolerance. After the machining, a surface finish operation was performed by using a grinding machine and a grinding paste in order to increase the surface quality. Lengths of the microchannels were 20 mm and each has a width and a depth of 240 μm. Evonik plexiglas 6N (PMMA-Acrylics) was used as the material. The injection was performed using a plastic injection molding machine with a maximum injection pressure of 90 MPa [20]. The injected parts can be seen in Fig. 1.

3. Sterilization

3.1. Supercritical CO₂ sterilization

Supercritical CO₂ sterilization was carried out using SFE-100 System (Thar Instruments, Inc., UK, 2006). Microchips were packed in sterilized cartridges and placed into the reaction chamber. Operating parameters for sterilization were temperature (25, 40, 50 and 60 °C), pressure (70, 100, 120, 150 and 250 bar) and time (30, 45, 60 and 90 min). Sterilizations were performed under automated control of temperature and pressure. The process was started by reaching the set temperature and pressure values. Flow rate was 10 g/min for all sterilization processes. At the end of sterilization process, the valve of CO₂ tube was sealed off for depressurization and releasing CO₂ from the system was approximately 45 min.

3.2. Heat sterilization

Heat sterilization or autoclaving is a relatively simple process that exposes the device to saturated steam at 121 °C for 20 min at a pressure of 115 kPa. The process kills microorganisms by destroying metabolic and structural components essential to their replication.

3.3. UV sterilization

For UV radiation, the microchips were exposed to UV light (254 nm) for 45 min on each side, totaling up to a treatment of 90 min. Microreactors were placed into petri dishes.

3.4. Ethylene oxide sterilization

The ethylene oxide (EtO) sterilization process utilizes EtO which has bactericidal, sporicidal and virucidal effects in nucleic acids causing cell injury or death. In this study, microreactors were exposed the EtO concentration of 5 mg/ml for 3 h at 55 °C and aeration for 12 h.

Fig. 2. Surface roughness measurements on the channel surface (A) and on the part surface (B) along with channel profile measurements (C).

3.5. Hydrogen peroxide sterilization

Hydrogen peroxide has bactericidal, virucidal, sporicidal, and fungicidal properties. Although most microbial forms are killed in less than 1 h, it takes hours to eliminate spores. It should be stored in a cool place and protected from the light. The microchips were treated with hydrogen peroxide (HP) for 1 h and stored at a cool place protected from light for about 48 h.

3.6. Determination of sterility

The sterility was determined by incubating the sterilized microchips in tryptic soy broth (TSB) and thioglycollate broth (TGB) to assess the microbiological loads at two different incubation temperatures (27 °C and 37 °C) for a duration of 7 days. Sterility tests were repeated three times.

3.7. Characterization

The sterilized microchips were analyzed using Scanning Electron Microscopy (SEM), Fourier Transform Infrared Spectroscopy

(FTIR) and Differential Scanning Calorimetry (DSC) in order to assess microchannel sterility and possible deformations in PMMA.

3.7.1. Scanning Electron Microscopy (SEM)

The microchips were viewed by scanning electron microscope (FEI, Quanta 200 F). The samples were dried under vacuum (1 bar) at room temperature and then sputter-coated with a 10 nm thick gold layer before imaging (Gatan 682, precision etching coating system).

3.7.2. Differential Scanning Calorimetry (DSC)

Thermal behavior of the microchips was measured by differential scanning calorimetry (TA Instruments, Q2000), where the samples were packed into aluminum and DSC sample pans with the lid tightly crimped. Nitrogen was purged at a flow rate of 50 ml/min and measurements were made in temperature range between 30 and 250 °C at a heating rate of 10 °C/min.

3.7.3. Fourier Transform Infrared Spectroscopy (FTIR)

Fourier transform infrared spectra of the microchips were recorded from 8000 cm^{-1} to 200 cm^{-1} (Bruker VERTEX 70 with Hyperion Scanning Microscope).

3.7.4. Surface roughness and profile characteristics

In order to investigate the effect of different sterilization techniques on surface quality and profile of the microchannels, 3D topography of the channels was obtained using Keyence VK-X100 laser scanning microscope. Arithmetic roughness average (R_a) was used as a measure of surface roughness which is defined as the arithmetic average height of surface irregularities (peaks and valleys) from the mean line within the scanning length. Averaging was performed on the measured surface topography both on the microchannel surface and part (chip) surface. For the measurements on the channel surface, 20 samples with a scanning length of 1.25 mm at three different locations were measured as depicted in Fig. 2A. For the measurements on the chip surface, 20 samples with a scanning length of 1.25 mm at four different locations were measured as depicted in Fig. 2B. In order to remove the effect of waviness on measured roughness values, a cut-off filter of 0.25 mm was applied to the measured roughness profile. The effect of primary surface profile on the roughness was eliminated by applying suitable mathematical (tilt and curvature) corrections.

The average profile of the microchannels was obtained using profile measurements at 100 different locations equally spaced within the area of interest and the illustration of the process is given in Fig. 2C.

4. Results and discussion

4.1. Sterilization techniques applied to microchips

Optimization of supercritical CO₂ sterilization procedure was the core objective of this study. Initially, various pressures (70, 100, 120, 150 and 250 bar) from subcritical to supercritical were applied at a flow rate of 10 g/min while keeping the temperature and time constant at 40 °C and 60 min, respectively. Although rapid pressurization/depressurization cycles of SC-CO₂ were reported to cause membrane disruption and cell lysis [21,22], the release of CO₂ from the system was kept approximately 45 min to avoid swelling of the polymer. Subsequent to SC-CO₂ sterilization, treated microchips were incubated in tryptic soy broth and thioglycollate broth at two different temperatures (27 °C and 37 °C) for about 7 days. Tryptic soy broth and thioglycollate broth was used for determination microbiological loads for aerobic microorganisms and anaerobic microorganisms, respectively [23]. Under these conditions, the experiment at 120 bar revealed the best solution in terms of sterility.

As a second step, various temperatures were tested (25, 40, 50 and 60 °C), whereas the pressure was set to 120 bar and the flow rate to 10 g/min while and time was 60 min. Temperatures

Fig. 3. SEM micrographs regarding surface of untreated PMMA microchip (A), microchips after SC-CO₂ treatment in 70 bar (B), 100 bar (C), 120 bar (D), 150 bar (E) and 250 bar (F) along with microchips after standard sterilization treatments namely, heat (G), ethylene oxide (H), hydrogen peroxide (I) and UV (J).

Fig. 4. DSC thermograms of untreated PMMA microchip (A), microchips treated with SC-CO₂ at 70 bar (B), 100 bar (C), 120 bar (D), 150 bar (E), 250 bar (F) and also microchips treated with standard techniques namely, UV (G), hydrogen peroxide (H), ethylene oxide (I) and heat (J).

above 40 °C along with the pressure changed the transparency of the polymer entirely to opaque. Therefore, the optimum temperature was elicited as 40 °C which sustained the transparency and the sterility of the microchip. Finally, sterilization time was considered by treating the microchips for 30 min, 45 min and 60 min while the other parameters were kept constant. Consequently, the sterilization protocol was ascertained to be operated at a pressure of 120 bar, a temperature of 40 °C and a time of 60 min.

Within this study, the microchips were also subjected to saturated steam at 121 °C for 20 min by autoclaving, whereas they were exposed to UV light at 254 nm for 45 min on each side, totaling up to a treatment of 90 min. As for chemical sterilizations, the chips were treated with hydrogen peroxide and ethylene oxide. Subsequent to sterilization, the same sterility procedure was applied to the treated microchips.

4.2. Effects of sterilization techniques on material characteristics

The effects of different sterilization procedures were investigated in terms of material characteristics. The surface morphologies were evaluated using SEM micrographs of untreated and treated PMMA microchips (Fig. 3). Increase in the pressure adversely affected the surface morphology which was particularly observed in PMMA microchips treated at 150 and 250 bar (Fig. 3E and F) appearing as cavities and fractures on the surface, whereas the surfaces of the microchips treated at 70 and 120 bar (Fig. 3B and D) seem as smooth as the untreated. However, sterility could not be achieved with the treatment performed at 70 bar. As for the standard procedures, the surfaces of autoclaved microchips were negatively altered right after the treatment visibly leading

to opaque microchips and that was supported by the SEM micrographs (Fig. 3G). Surprisingly, irregularities were also observed in SEM micrographs of UV sterilized microchips (Fig. 3J). On the other hand, both hydrogen peroxide and ethylene oxide treatments revealed smooth surfaces without causing any adverse effects on the material (Fig. 3H and I).

Additionally, DSC thermograms were used to interpret the effect of pressure on glass transition temperature (*T_g*) of untreated and treated microchips (Fig. 4).

The glass transition temperature, that is, onset of change in the heat capacity was used to describe the motion of the polymer chain segment. PMMA is reported to have a glass transition temperature of about 100–105 °C [24]. Similar *T_g* values were obtained for the microchips treated with heat, UV, hydrogen peroxide and ethylene oxide being little higher than *T_g* of untreated microchip which was 91.56 °C. Considering supercritical CO₂ treated microchips, increase in pressure from 70 to 250 bar has not resulted in a significant difference in *T_g* values (Fig. 4B–F). In a study, at lower pressures up to about 400 bar, *T_g* decreased linearly with pressure [25] as a wide range of pressure was applied. Basically, four types of glass transition behavior of polymers in compressed gases were reported using a lattice theory and the Gibbs–Di Marzio criterion which were interpreted as a function of three factors: the solubility of gases in polymer, the flexibility of the polymer and the critical temperature of the fluid. It has been stated that the glass transition behavior of PMMA in CO₂ showed a retrograde vitrification which corresponded to Type IV [24]. CO₂ induces polymer plasticization causing an increase of the inter-chain distances as well as of the degree of chains-segmental mobility and increase the diffusion rates in the polymer matrix [26]. Appearance of Type IV behavior in

Fig. 5. FTIR spectra of untreated PMMA microchip and microchips after SC-CO₂ and standard sterilization treatments.

PMMA with CO₂ strongly suggests that sorption of CO₂ into PMMA is high. Indeed, the treatments at 150 and 250 bar visually led to opaque PMMA microchips.

The FTIR spectra of PMMA confirmed the presence of different bonds in the structure (Fig. 5). Polymethacrylates give a series of characteristic infrared bands at 2950, 1722, 1435, 1386, 1238, 1190, 1142, 986, 840, 810 and 751 cm⁻¹ [6,27,28]. Untreated

PMMA showed a band at 2950 cm⁻¹ assigned to C–H stretching. The presence of C=O bending bonds was seen between 750 cm⁻¹ and 810 cm⁻¹. C–C stretching bonds were observed between 900 cm⁻¹ and 1000 cm⁻¹, whereas C–O stretching mode was seen at 1238 cm⁻¹. Additionally, CH₃ deformation was observed between 1300 cm⁻¹ and 1500 cm⁻¹. The peak at 1730 cm⁻¹ indicated C=O skeletal mode and the peak between 3000 cm⁻¹ and

Fig. 6. Average surface roughness.

4000 cm^{-1} was related to the O–H vibrations. The fingerprint region of PMMA is between 600 and 1400 cm^{-1} range, which represents the region where most of the bending vibrations occur and provide information about molecule characteristic. Although all spectra revealed similar peaks in regards to different sterilization techniques, the transmittance values for each treatment varied in regards to absorption of the IR radiation. But formation of new bands was not observed indicating that no significant chemical changes occurred in structure of the materials.

Apart from characterization techniques such as SEM, DSC and FTIR, surface roughness and possible changes in channel profiles

were investigated as well. The measured roughness values for different sterilized samples were compared to that of the untreated case. As seen from the depiction (Fig. 6), each sterilization technique reduced the surface roughness both on the channel and surface of the chips which will be referred as part. However, the reduction is less than 20% except for the SC- CO_2 treatment. Overall, HP and EtO treatments exhibited more prominent effects on part surface than on channel surface. UV treatment had the same effect both on the part and channel surface. On the other hand, SC- CO_2 treatment significantly influenced the surface roughness and affected the part surface more dominantly. As for the autoclaved microchips,

Fig. 7. Channel profile comparisons of untreated vs UV-treated (A), HP-treated (B), EtO-treated (C) and SC- CO_2 -treated (D).

no measurements could be taken due to the fact that the surface and microchannels were badly distorted which was also supported by the SEM micrographs.

Following the surface roughness measurements, the effect of the sterilization techniques on the microchannel profiles was investigated. The average channel profiles for untreated, UV-, HP-, EtO-treated and SC-CO₂-treated microchannels can be seen in Fig. 7. The effects of the HP- and EtO-treatments in the channel profiles were very minor. However, the channels were totally distorted in autoclaved microchips. As for the SC-CO₂ treated microchips, the treatment exhibited adverse effects on the microchannel profile which is undesired for the microfluidic application, since the distortion of the microchannel would affect the flow inside the microchannel. Regarding UV-treatment, although there is not a significant distortion on the left side-wall, a significant deviation from the untreated profile was observed at the right side-wall.

5. Conclusion

In this paper, the focus was on the optimization of supercritical CO₂ sterilization and standard sterilization techniques of PMMA microchips while possible changes in material characteristics were determined as well. Optimum parameters of the new sterilization protocol were 120 bar, 40 °C and 60 min which provided complete sterility and did not cause an adverse effect on the main properties of the polymer based on SEM, DSC and FTIR analyses but somehow exhibited adverse effects on the measured surface roughness and microchannel profile. Heat sterilization resulted in opaque structures, whereas chemical sterilization techniques have not affected material characteristics of PMMA but slight variations were observed in terms of surface roughness and channel profile. However that effect was more prominent in UV treated samples especially regarding channel surface and profile. Taken together, sterilization is of prime importance in biomedical applications along with occupational health and safety issues, the current study highlights the strengths and weaknesses of heat, chemical, irradiation and supercritical CO₂ treatments.

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